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ABSTRACT

The nanoporous oxide $12\text{CaO} \cdot 7\text{Al}_2\text{O}_3$ (C12A7) offers the possibility of capturing large concentrations of environmentally damaging extra-framework species in its nanopores. Using density functional theory with a dispersion correction, we predict the structures and energetics of some heavy metals (Cr, Ni, Cu, Zn, Cd, Hg, and Pb) trapped by the stoichiometric and electrified form of C12A7. In the stoichiometric form, while Zn, Cd, Hg, and Pb are encapsulated weakly, Cr, Ni, and Cu exhibit strong encapsulation energies. The electrified form of C12A7 shows a significant enhancement in the encapsulation of Cr, Ni, Cu, and Pb. Successive encapsulation of multiple Cr, Ni, Cu, and Pb as single species in adjacent cages of C12A7 is also energetically favorable.

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I. INTRODUCTION

Substantial volumes of toxic heavy metals such as Cd, Pb, Cu, Zn, and Cr are produced as a by-product of rapid industrial activity including electroplating, smelting, mining operations, and battery manufacturing.^{1–7} As heavy metals cannot be degraded or destroyed, there is potential damage to the environment and humans when acceptable levels are exceeded. This is because heavy metals damage human physiology directly or indirectly. For example, increased levels of nickel can cause kidney and lung disease;⁸ lead damages the central nervous system, the kidney, the liver, and the reproductive system;⁹ cadmium has been identified by the US Environmental agency as a probable human carcinogen.¹⁰ Consequently, there is a necessity to reduce the level of toxic heavy metals in the environment.

Many technologies such as ion-exchange, solvent extraction, coagulation, and adsorption have been used to remove heavy metals.^{11–15} Significant effort has been devoted to remove heavy metals from polluted water by adsorption as it is an efficient and economical method.¹⁶ A variety of sorbents such as activated carbon, carbon nanotubes, synthetic oxides, and zeolites have been studied extensively.^{17–20} Porous materials are promising candidates as they exhibit a high capacity to incorporate heavy metals.^{21–24}

The nanoporous complex inorganic oxide $12\text{CaO} \cdot 7\text{Al}_2\text{O}_3$ (C12A7) is a candidate material to incorporate large concentration of

heavy metals as it provides 12 subnanometer cages per unit cell.^{25–27} In addition, it exhibits high chemical and thermal stability.²⁷ Its constituent metal oxides (Al_2O_3 and CaO) are highly abundant and non-toxic. The framework of C12A7 is represented using the chemical formula $[\text{Ca}_{24}\text{Al}_{28}\text{O}_{64}]^{4+}$, that is, every cage bears a charge of $+\frac{1}{3}$. The positive charge of the framework is compensated by negative ions occupying some of the cages [e.g., two O^{2-} ions in stoichiometric C12A7 ($\text{C12A7}:\text{O}^{2-}$),^{25,26} four OH^- ions in fully hydrated C12A7,^{28,29} and four electrons in the electrified form of C12A7 ($\text{C12A7}:\text{e}^-$)].^{30,31} The extra-framework O^{2-} ions in stoichiometric C12A7 are relatively loosely bound to the framework and can be either removed or replaced with species such as H^- ,²⁹ Au^- ,³² and O_2 .^{2–33}

In the present study, we use density functional theory (DFT) together with dispersion corrections (DFT + D) to investigate the thermodynamical stability of encapsulating gaseous heavy metals (Cr, Ni, Cu, Zn, Cd, Hg, and Pb) that are present in the form of gaseous atoms in air as air pollutants within both the stoichiometric and electrified forms of C12A7. DFT calculations, in addition to structural information, predict electronic structure and property values.

II. COMPUTATIONAL METHODS

All calculations were carried out using the spin-polarized mode of DFT as implemented in the VASP^{34,35} package.

The exchange-correlation term was modeled using the generalized gradient approximation (GGA) parameterized by Perdew, Burke, and Ernzerhof (PBE).³⁶ The C12A7 lattice is cubic with the lattice constant of 11.99 Å. Stoichiometric and electroneutral forms of supercell contained 118 and 116 atoms, respectively. In all cases, we have used a plane-wave basis set with a cut-off value of 500 eV and a $2 \times 2 \times 2$ Monkhorst-Pack³⁷ k-point mesh, which yields 8 k-points. Further increase in the k-points resulted in a total energy difference of only 0.6 meV per atom. The density of plots (DOSs) were plotted using a $4 \times 4 \times 4$ Monkhorst-Pack k-point mesh. Structural optimizations were performed using a conjugate gradient algorithm³⁸ and the forces on the atoms were obtained via the Hellman-Feynman theorem, including Pulay corrections. In all optimized structures, forces on the atoms were smaller than 0.001 eV/Å and all the values in the atomic stress tensor were less than 0.002 GPa. We define the normalized encapsulation energy per atom to encapsulate n number of heavy metal atoms inside empty cages of the electroneutral form of C12A7 through the following equation:

$$E_{\text{Enc}} = [E(n\text{HM} - \text{C12A7:e}^-) - E(\text{C12A7:e}^-) - nE(\text{HM})]/n, \quad (1)$$

where $E(\text{C12A7:e}^-)$ is the total energy for bulk C12A7:e⁻, $E(n\text{HM}-\text{C12A7:e}^-)$ is the total energy of n number of heavy metal atom occupying the cages, $E(\text{HM})$ is the total energy of an isolated heavy metal atom (the reference state), and n is the number of heavy metal atoms considered in the process.

The inclusion of van der Waals (vdW) interactions is particularly important for the incorporation of highly polarizable heavy metal atoms. Here, semiempirical dispersion correction has been included as implemented by Grimme *et al.*³⁹ [DFT-D3 (zero)] in VASP.

Since the results of the calculations depend on whether they are carried out at isobaric ($P = \text{const}$) or at isochoric ($V = \text{const}$) conditions⁴⁰—for instance, the energy depends on pressure, e.g., see Ref. 41—we clarify that all calculations have been performed here at isobaric conditions and thus no further thermodynamical corrections are needed.

III. RESULTS

A. Structural modeling of C12A7:O²⁻ and C12A7:e⁻

C12A7 exhibits a cubic crystallographic structure with space group $\bar{1}43d$ and lattice constant of 11.99 Å.²⁶ The unit cell is composed of two $12\text{CaO} \cdot 7\text{Al}_2\text{O}_3$ molecules (118 atoms) with 12 cages. Each empty cage has an inner free space of approximately 4 Å radius and contains 16 O ions, 8 Al ions, and 6 Ca ions. The chemical composition of the unit cell can be expressed as $[\text{Ca}_{24}\text{Al}_{28}\text{O}_{64}]^{4+} \cdot (\text{O}^{2-})_2$, where $[\text{Ca}_{24}\text{Al}_{28}\text{O}_{64}]^{4+}$ is a positively charged framework [see Fig. 1(a)] with two extra-framework oxide ions occupying two of the cages [see Fig. 1(b)]. The electroneutral form of C12A7 $[\text{Ca}_{24}\text{Al}_{28}\text{O}_{64}]^{4+} \cdot (\text{e}^-)_4$ replaces the two extra-framework oxygen ions by four electrons; the four electrons are localized in 12 equivalent cages with the average occupation number of $\frac{1}{3}$ electrons per cage.

The starting point of the present study was to reproduce the experimental structure of C12A7:O²⁻ to enable an assessment of

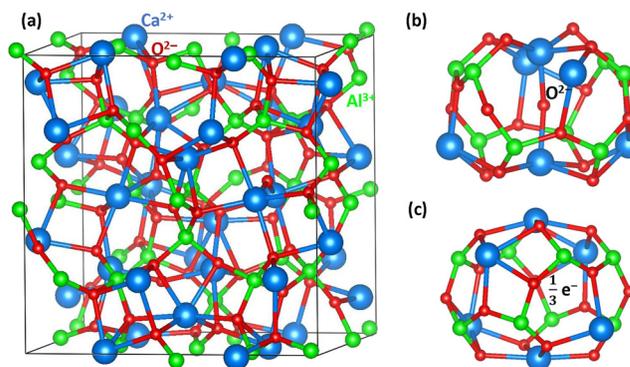


FIG. 1. (a) Unit cell framework crystal structure of $[\text{Ca}_{24}\text{Al}_{28}\text{O}_{64}]^{4+}$ with 12 empty cages (EC), (b) a relaxed cage containing an extra-framework O^{2-} ion in C12A7:O²⁻, and (c) a relaxed cage containing $\frac{1}{3}$ electron in C12A7:e⁻.

the quality and efficacy of the pseudopotentials and basis set used in this study. The calculated equilibrium lattice parameters ($a = 12.04$ Å, $b = c = 12.01$ Å, $\alpha = 90.02^\circ$, $\beta = 89.95^\circ$, and $\gamma = 89.93^\circ$) are in excellent agreement with experimental lattice parameters ($a = b = c = 11.99$ Å and $\alpha = \beta = \gamma = 90.0^\circ$).²⁶ In the relaxed structure of stoichiometric C12A7, the extra-framework O^{2-} ion occupies an “off-center” site. This is due to the strong perturbation between the extra-framework O^{2-} ion and the cage wall [see Fig. 1(b)]. This perturbation introduces a small distortion in the calculated lattice parameters. In the real material, the extra-framework O^{2-} ions are disordered across the material leading to an average cubic symmetry; in the simulation, an ordering is imposed. Nevertheless, the distortion from cubic symmetry is so small that this is an acceptable approximation. The calculated equilibrium lattice parameters for C12A7:e⁻ were $a = b = c = 12.06$ Å and $\alpha = \beta = \gamma = 90.0^\circ$. Thus, the lattice volume of C12A7:e⁻ is only slightly greater than that of C12A7:O²⁻.

B. Electronic properties of C12A7:O²⁻ and C12A7:e⁻

In this section, we briefly describe the electronic structures of the stoichiometric and electroneutral forms of C12A7. The calculated DOS for C12A7:O²⁻ is shown in Fig. 2(a) and is consistent with C12A7:O²⁻ being an insulator. The top of the valence band, formed by the $2p$ states of framework oxide ions, is at approximately 1.3 eV, agreeing well with the previous theoretical study.⁴² The two peaks at 2.8 eV correspond to the $2p$ states of extra-framework oxide ions. The lower energy peak corresponds to the $2p$ states interacting with two Ca^{2+} ions (i.e., bonding) and the higher energy peak is due to the $2p$ states perpendicular to the bonding direction (i.e., nonbonded). The partial distribution of the charge density associated with two extra-framework oxide ions localized within the cages is shown in Fig. 2(c).

Figure 2(b) shows the calculated DOS for C12A7:e⁻. The localized extra-framework electrons within the cages result in the system being metallic. The partial distribution of the charge density associated with the extra-framework localized electrons is shown in Fig. 2(d). The four electrons in a cubic unit cell are uniformly

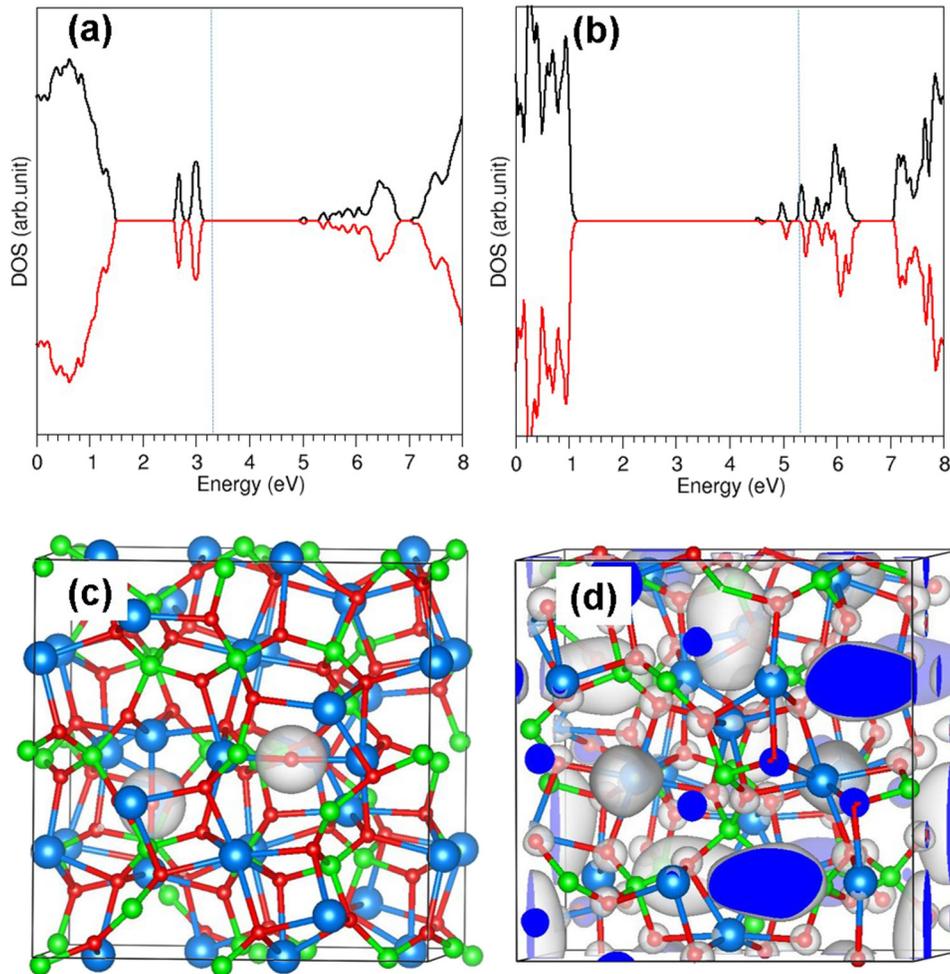


FIG. 2. DOS plots for (a) $C12A7:O^{2-}$ and (b) $C12A7:e^-$ and surfaces of the constant charge density owing to (c) two extra-framework O^{2-} ions in $C12A7:O^{2-}$ and (d) four extra-framework electrons in $C12A7:e^-$.

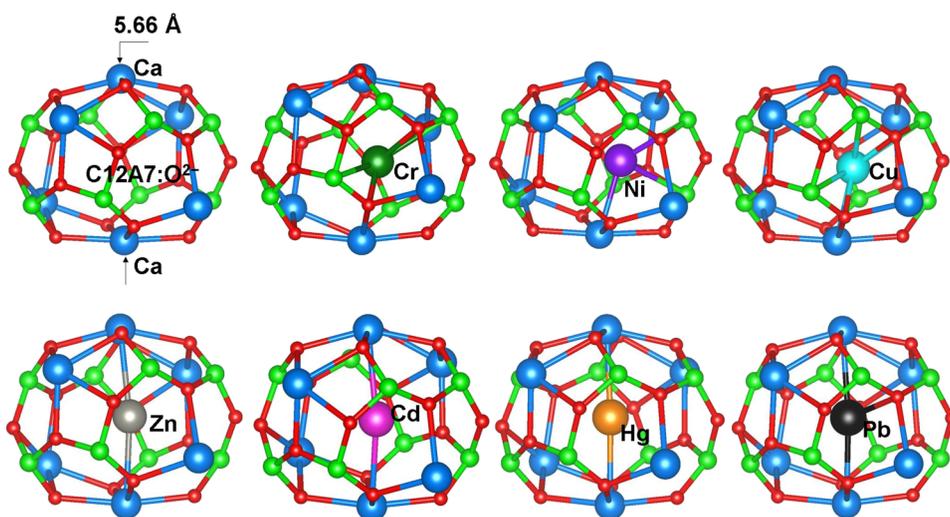


FIG. 3. Relaxed structures of heavy metal atom occupied cages of $C12A7:O^{2-}$.

TABLE I. Encapsulation energies (calculated using the metal atom as the reference state) and Bader charges on encapsulated metal atoms in C12A7:O²⁻.

	Heavy metals						
	Cr	Ni	Cu	Zn	Cd	Hg	Pb
Encapsulation energy (eV)	-1.53	-1.69	-1.20	-0.41	-0.16	-0.28	-0.34
Bader charge (e)	0.27	0.02	0.004	0.03	0.05	-0.05	0.18

distributed to form 12 ellipsoid like isosurfaces (with an average of $\frac{1}{3}$ electrons per cage).

C. Encapsulation of single heavy metal atoms in a cage of C12A7:O²⁻

A single metal atom was incorporated into one of the ten empty cages in stoichiometric C12A7 to investigate the stability of heavy metals inside C12A7:O²⁻. The relaxed structures of the cage containing different metal atoms are shown in Fig. 3. Encapsulation energies calculated using a single atom reference state, and the Bader charge on metal atoms, are reported in Table I. There are different charge analysis methods such as the Hirshfeld charge analysis⁴³ available. In many cases, sum of the calculated partial atomic Bader charges of molecules are not equal to the total charge of the molecule. At any rate, some methods work better than others. Nevertheless, the particular analysis throughout the system would provide the right trend. The degree of interaction between the heavy metals and cage wall ions is depicted in Table II in terms of bond distances.

Zn, Cd, and Hg occupy the center of the cage, forming weak bonds with cage wall Ca²⁺ ions and no significant bonds with Al³⁺ or O²⁻ ions. This is reflected in the very low negative encapsulation

TABLE II. Ca–Ca cross cage distance and bond distances between heavy metals and the cage wall ions in relaxed configurations.

	Ca–Ca (Å)	Ca–HM (Å)	Al–HM (Å)	HM–O (Å)
Cr	5.79	2.88	2.99	2.25
Ni	5.71	2.76	2.88, 2.93	2.16
Cu	5.65	2.84	2.78, 2.94	2.17, 2.20
Zn	5.88	2.93, 2.97
Cd	5.95	2.97, 3.00
Hg	5.94	2.97, 2.98
Pb	6.02	3.00	...	2.80

energies (mainly due to the van der Waals interaction) and small Bader charge (refer to Table I). As the valence electronic configuration ($d^{10}s^2$) of these three metals is complete and stable, they prefer to maintain their unaltered valence electronic configurations. The slightly more favorable encapsulation energy for Zn is due to its smaller size compared to Cd and Hg. Encapsulation of these three metals distorts the Ca–Ca cross cage distance (from 5.66 Å) as defined in Fig. 3. The smaller distortion for Zn (see Table II) is due to its smaller size.

Pb exhibits a weak encapsulation energy commensurate with its smaller Bader charge. This is due to the weak interaction between Pb and O in the cage wall (see Fig. 3). The larger size of Pb introduces the larger distortion in the Ca–Ca cage pole.

Nickel is strongly trapped exhibiting an encapsulation energy of -1.70 eV. This is because of the strong interaction of Ni with O and Ca ions (refer to bond distances in Table II) as evidenced by the slightly off-centered position of Ni in the relaxed structure. The reactivity of Ni with the cage wall is due to its open-shell valence electronic configuration (d^8s^2). The very small Bader charge on Ni (+0.02) is due to the balance of its interaction with cations and anions.

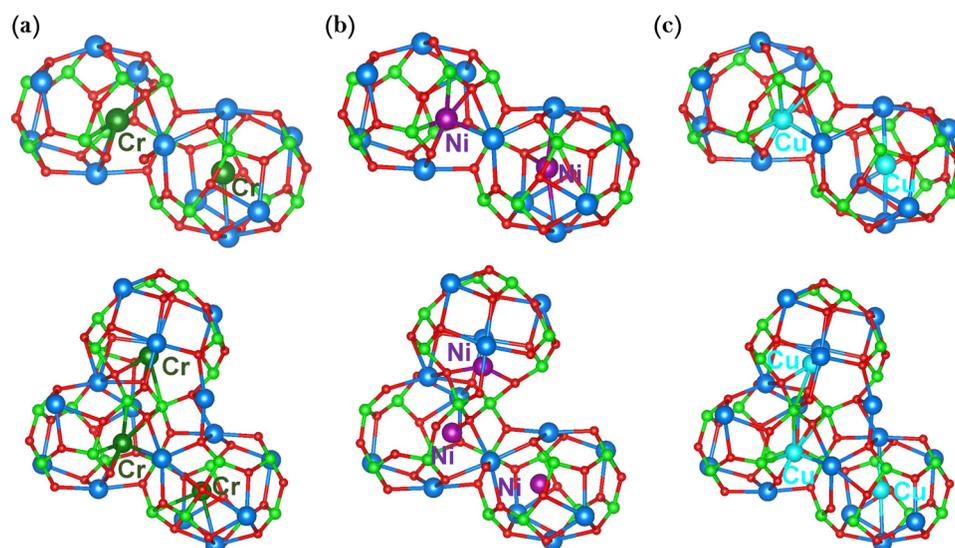
**FIG. 4.** Relaxed cages containing two and three (a) Cu, (b) Ni, and (c) Cr atoms.

TABLE III. Encapsulation energies to add a 2nd and then 3rd heavy metal atom into separate cages in $C12A7:O^{2-}$. Bader charges are also reported.

Properties	Heavy metal M	M:MC12A7:O ²⁻	M:2MC12A7:O ²⁻
Encapsulation energy (eV/atom) with respect to atom	Cr	-0.45 eV	-1.34 eV
	Ni	-2.57 eV	-1.72 eV
	Cu	-2.70 eV	-1.45 eV
Bader charge (e)	Cr	-0.26, +0.81	+0.25, +0.28, +0.45
	Ni	-0.26, +0.26	-0.29, +0.11, +0.16
	Cu	-0.57, +0.51	-0.57, -0.01, +0.52

The negative encapsulation energy for Cr (-1.53 eV) reveals that it is more stable inside the cage than as an isolated atom. As with Ni, there is a slight displacement of Cr toward the cage wall with Cr-O bond formation as evidenced by the Bader charge on Cr (+0.24).

The encapsulation energy for copper is also negative (-1.20 eV), again reflecting the greater bonding afforded by the incomplete valence electronic configuration of Cu ($d^{10}s^1$). The energy is, however, not quite as favorable as for Ni and Cr and this is reflected in the almost zero Bader charge. Nevertheless strong Cu-O interactions give rise to the short bond distances reported in Table II.

Next, we considered up to 3 metal atoms (Cr, Ni, and Cu) occupying separate adjacent cages (i.e., two of the ten initially empty cages contain a single metal atom and then three of the ten cages contain a metal atom). Figure 4 depicts relaxed adjacent cages each containing single metal atoms.

Calculations reveal that the encapsulation energies of the second atom (M:MC12A7:O²⁻) and the third atom (M:2MC12A7:O²⁻) are

negative in all cases (see Table III). The second and third atom incorporation energies for Cr are less negative than the first incorporation energy (refer to Table III). Conversely, the second and third Ni and Cu atoms incorporate more favorably than the first. This is in part due to the charge distribution among multiple atoms encapsulated.

The net charge of $C12A7:O^{2-}$ crystal structure is zero as it is the stoichiometric form of $C12A7$. The Bader charges on the Ni and Cu atoms in $C12A7:O^{2-}$ are almost zero. This indicates that both Ni and Cu atoms prefer to be neutral. The encapsulation energies for the second Ni and Cu atoms are -2.57 eV and -2.70 eV, respectively, and these values are highly negative compared to the respective first encapsulation energy values. According to the Bader charge analysis, it is clear that net charge on two Ni or two Cu atoms is almost zero and atoms become polarized (Cu: +0.51, -0.57; Ni: +0.26, -0.26) with equal opposite charge distribution. The degree of polarization in Cu is greater than in Ni. This polarization makes the lattice more stable. Thus, the second atom encapsulation is more favored compared to its first encapsulation giving more negative encapsulation energy. There is a decrease in the third encapsulation for both Cu and Ni. The Bader charge analysis shows that the net charge on two Cu or three Ni is zero. In both cases, charges on the two atoms are almost as those observed in the case of two atoms. But the third atom almost prefers to be neutral. Thus, the encapsulation energy of the third atom is lower than the second encapsulation energy.

In the case of Cr, the situation is different due to its charges on Cr atoms being different than those on Cu or Ni in the encapsulated structures. The encapsulation energy for the first Cr is -1.53 eV and Cr forms a +0.27 charge. Once the second Cr is encapsulated, the net charge on two Cr atoms is expected to be +0.54. This is achieved by one Cr atom forming +0.81 and the other one forming -0.26. This unequal distribution of charges makes the system less stable. Therefore, the second encapsulation energy is lower than that compared to its first encapsulation energy. The third encapsulation energy of Cr then becomes more negative compared to the second encapsulation energy. This is due to the fact that three Cr atoms almost hold the same amount

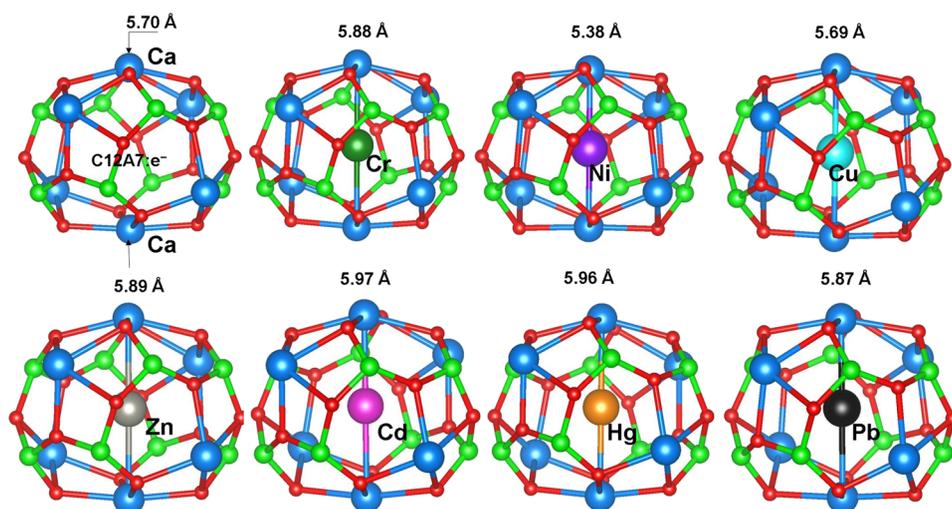
**FIG. 5.** Relaxed structures of heavy metal atoms occupied cages in $C12A7:e^-$.

TABLE IV. Encapsulation energies of heavy metal atoms calculated using the metal atom as the reference state and Bader charges on encapsulated metal atoms in C12A7:e^- .

	Heavy metals						
	Cr	Ni	Cu	Zn	Cd	Hg	Pb
Encapsulation energy (eV)	-1.94	-3.94	-3.29	-0.66	-0.38	-0.52	-2.38
Bader charge (e)	-0.33	-0.98	-0.61	-0.20	-0.14	-0.29	-1.38

TABLE V. Cage pole Ca–Ca and Ca–HM distances observed in the relaxed structures.

Atom	Ca–Ca (Å)	Ca–HM (Å)
Cr	5.88	2.94
Ni	5.38	2.69
Cu	5.69	2.85
Zn	5.89	2.94
Cd	5.97	2.99
Hg	5.96	2.98
Pb	5.87	2.93, 2.94

of positive charges as a single Cr atom does in the case of first encapsulation.

D. Encapsulation of single metal atoms in C12A7:e^-

The relaxed configurations of single heavy metal atoms occupying a cage in C12A7:e^- are shown in Fig. 5. All the atoms occupy positions close to the center of the cage (between two Ca ions in the cage wall). Encapsulation energies and Bader charges on metal atoms are reported in Table IV. To compare the deformation of the occupied cage with the unoccupied cage, Ca–Ca distances (as defined in Fig. 5) for relaxed structures are reported in Table V; the distance in the unoccupied cage is 5.70 Å.

The electrified form of C12A7 encapsulates all metal atoms more favorably than the stoichiometric form. Significant enhancement in the energy is predicted for Cr, Ni, Cu, and Pb. Notably, in all cases, there is no significant interaction between cage wall ions (Al^{3+} or O^{2-}) except the two Ca^{2+} ions at the cage poles. This is due to the electron transfer which enables the metals to occupy the center of the cage and to form an attractive interaction with those two Ca^{2+} ions.

Zn, Cd, and Hg exhibit the lowest encapsulation energies in C12A7:e^- due to their complete valence electronic configuration. They are only able to accommodate a very small change (≤ -0.20 |e|) (see Table IV). This is further supported by the longer Ca–Ca distances as reported in Table V. As a consequence, all three atoms exhibit only a weak electrostatic attraction with cage pole Ca^{2+} ions.

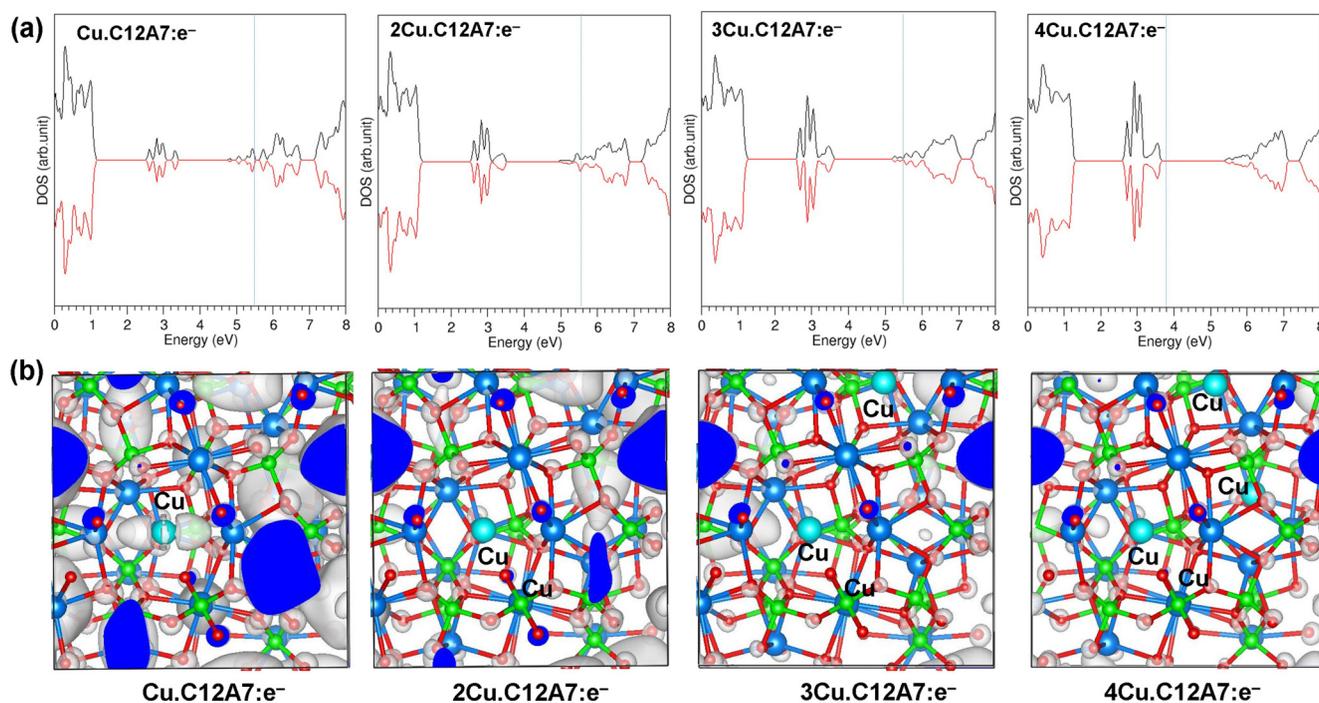


FIG. 6. (a) DOS plots for C12A7:e^- with, respectively, Cu, 2Cu, 3Cu, and 4Cu encapsulated atoms. The Fermi level is indicated by vertical dotted lines. (b) Surface of the constant charge density associated with the states below the Fermi energy.

TABLE VI. Encapsulation energies and Bader charges for the successive encapsulation of Cr, Ni, Cu, and Pb in C12A7:e⁻.

Properties	Heavy metal atom M	M:MC12A7:e ⁻	M:2MC12A7:e ⁻	M:3MC12A7:e ⁻	M:4MC12A7:e ⁻
Encapsulation energy (eV/atom)	Cr	-1.76	-1.78	-1.75	-1.18
	Ni	-3.56	-2.92	-1.83	-1.55
	Cu	-3.07	-3.07	-3.02	-1.12
	Pb	-1.48	-0.98	-0.79	-0.47
Bader charge (e)	Cr	-0.33 (2)	-0.31(3)	-0.30 (4)	-0.20 (5)
	Ni	-0.90 (2)	-0.71(3)	-0.55 (4)	-0.42 (2), -0.43 (3)
	Cu	-0.60 (2)	-0.58 (3)	-0.58 (4)	-0.46 (5)
	Pb	-0.78 (2)	-0.51 (2), -0.54	-0.38(4)	-0.26 (3), -0.29 (2)

Ni exhibits a particularly high encapsulation energy (see Table IV). This is reflected not only in the shortest Ca–Ca and Ni–Ca distances (see Table V) but also in the largest (negative) Bader charge. The charge on Ni is commensurate with part filling its open d-shell, which is short of two electrons.

The second most negative encapsulation energy is predicted for Cu (see Table IV). Again, charge can be accommodated in the partly occupied valance orbitals, Cu ($d^{10}s^1$) to form the stable Cu⁻ ($d^{10}s^2$) ion. This is reflected in the Bader charge analysis; approximately $-0.60 |e|$ is gained by the Cu.

There is also a strong driving energy for Pb encapsulation, again mediated by the accommodation of charge from the C12A7:e⁻ host lattice. The Bader charge analysis shows that 1.30 electrons are transferred to Pb. Since the valence electronic configuration of Pb is s^2p^2 , the final configuration of Pb after electron transfer is approaching s^2p^4 . While still an open-shell configuration, further electron transfer is not observed, presumably because of electron repulsion.

Encapsulation energy of Cr is -1.94 eV. The amount of charge transferred to Cr is only $-0.33 |e|$. This is due to the stable valence electronic configuration of Cr (d^5s^1). Bader charge analysis on Cr indicates that in C12A7:e⁻, Cr retains almost its valence electronic configuration. Thus, there is no significant increase in the charge and encapsulation energy compared to the values calculated in C12A7:O²⁻. Also, we note that the electron affinity of Cr (0.666) is lower than that of Ni (1.156) and Cu (1.228)⁴⁴ supporting further to this the present observation.

Successive encapsulation was considered for up to 5 atoms of Cr, Ni, Cu, and Pb inside separate unoccupied cages of C12A7:e⁻. In the case of Cu, four atoms can be accommodated with almost no change in favorable encapsulation energy. This reflects the four conduction electrons available in the repeat unit lattice. The Bader analysis shows that each Cu gains similar charge up to four successive atoms. As the valence electronic configuration of Cu is $d^{10}s^1$, this reflects that each Cu atom is completing its valence shell to form stable $d^{10}s^2$ configuration. While the fifth copper atom also attracts only slightly less charge, there is a significant reduction in the encapsulation energy. This is because there are no more free electrons left. However, the five Cu atoms share equally almost the same total amount of Bader charge that is gained by four Cu atoms. As a consequence, with the 4th Cu atom, there is a significant Fermi level shift to the top of the valence band for the encapsulation of four Cu atoms and the system becomes insulating [see Fig. 6(a)]. This is further illustrated in Fig. 6(b), which shows

how the charge density localization within the unit cell changes with the addition of 1, 2, 3, and 4 Cu atoms. In 4CuC12A7:e⁻, almost all electrons have been trapped by the 4 Cu atoms.

Cr maintains almost the same favorable encapsulation energy for successive atoms up to 4 (see Table VI). The Bader charge analysis shows that each atom attracts a similar charge. There is a reduction in the encapsulation energy for the fifth Cr atom and the Bader charge is also reduced by $-0.10 |e|$ on each Cr atom (i.e., the total charge hardly changes but it is now distributed on 5 rather than 4 Cr atoms).

For Ni, the encapsulation energy and the Bader charge for the second atom are almost the same as calculated for the first (see Table VI); however, the energy decreases with further encapsulation. This is accompanied by successively lower charge transfer to individual Ni atoms. Bader analysis shows that almost the same total amount of charge, $\sim 2 |e|$, is gained by two, three, four, or five encapsulated Ni atoms.

For Pb, there is a reduction in the encapsulation energy for the second incorporation and then for further additions. This is accompanied by a reduction in the charge on each Pb atom. In fact, the sum of the Bader charges on Pb atoms remains roughly constant at -1.5 electrons (see Table VI).

We predict that the encapsulation process should take place via the surface of C12A7. This will also involve kinetic barrier of encapsulation. The complexity of the structure of C12A7 lattice and the lack of experimental data available on the surface structure constitute the modeling of surface structures very difficult for both stoichiometric and the electrified form of C12A7. One of the barriers to model makes C12A7 surfaces is that this complex structure has no obvious cleavage planes. The distances between atomic planes in C12A7 are much smaller and do not exceed approximately 0.5 \AA for any combination of the Miller indices. In future work, we will make reasonable model structures for surfaces of both stoichiometric and electrified forms of C12A7.

IV. CONCLUSION

DFT + D simulations have been employed to study the capacity of C12A7 to encapsulate key environmentally toxic heavy metals. Both the stoichiometric C12A7:O²⁻ and C12A7:e⁻ forms were studied. While Zn, Cd, Hg, and Pb show weak encapsulation in C12A7:O²⁻, Cr, Ni, and Cu exhibit strong encapsulation. Successive encapsulation of Cr, Ni, and Cu was considered so that multiple

cages within a unit cell are occupied by single atom species. While successive encapsulation is energetically favorable, the encapsulation energy of successive atoms decreases gradually with further additions. C12A7:e⁻ shows a significant enhancement in encapsulation energies over C12A7:O²⁻ due to the availability of extra-framework electrons. Zn, Cd, and Hg show weak encapsulation due to their complete valence electronic configurations. Cr, Ni, Cu, and Pb show strong encapsulation by accommodating extra-framework electrons in the open-shell electronic configurations that these atoms exhibit. Successive encapsulation is also energetically favorable, but the degree to which additional species will be stable, and hence the loading that might be attained is dependent on the specific valence electronic configuration. In this context, Cr shows particular promise.

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The authors declare that there is no competing financial interest.

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