# Generation of realistic synthetic catchments to explore fine continental surface processes

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1	Generation of realistic synthetic catchments to explore fine				
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#### 14 Abstract

15 Understanding, analysing, and predicting the erosion mechanisms and sedimentary 16 flows produced by catchments play a key role in environmental conservation and 17 restoration management and policies. Numerical case-testing studies are generally 18 undertaken to analyse the sensitivity of flood and soil erosion processes to the physical 19 characteristics of catchments. Most analyses are conducted on simple virtual 20 catchments with physical characteristics that, unlike real catchments, are perfectly 21 controlled. Virtual catchments generally correspond to V-shaped valley catchments. 22 However, although these catchments are suitable for methodical analysis of the 23 results, they do not provide a realistic representation of the spatial structures of the 24 landscape and field conditions. They can, therefore, lead to potential modelling errors 25 and can make it difficult to extend or generalize their results. Our proposed method 26 bridges the gap between real and traditional virtual catchments by creating realistic 27 virtual catchments with perfectly controllable physical characteristics. Our approach 28 represents a real alternative to traditional test case procedures and provides a new 29 framework for geomorphological and hydrological communities. It combines a field 30 procedural generation approach, geographic information system processing 31 procedures, and the CAESAR-Lisflood landscape evolution model. We illustrate how 32 each of these components acts in the process of generating virtual catchments. Five 33 physical parameters were adjusted and tested for each virtual catchment: drainage 34 density, hypsometric integral, mean slope of the main channel, granulometry, and land 35 use. One of our virtual catchments is compared with a real catchment and a virtual 36 catchment produced by a standard method. This comparison indicates that our 37 approach can produce more realistic virtual catchments than those produced by more 38 traditional methods, while a high degree of controllability is maintained. This new

method of generating virtual catchments therefore offers significant research potential
to identify the impacts of the physical characteristics of catchments on hydrosedimentary dynamics and responses.

42

43 Keywords: realistic virtual catchments, controllable physical characteristics,
44 procedural generation, GIS processing, CAESAR-Lisflood.

#### 46 **1. Introduction**

Understanding, analysing, and predicting the erosion mechanisms and sedimentary flows produced by catchments play a key role in environmental conservation and restoration management and policies. The hydro-sedimentary dynamics of catchments are controlled by natural climatic and geological forcings, which lead to the long-term structuring of landscape forms (i.e. geomorphology), as well as by anthropogenic forcings, which modify catchment characteristics over much shorter time scales (e.g. land use, Schumm and Parker, 1973; Nicholas and Quine, 2007).

54 Several studies have been conducted to understand the influence of these forcings on 55 the evolution of catchments and river systems (e.g. Macklin and Lewin, 1993; Brewer 56 and Lewin, 1998). Most of them have been based on the acquisition of extensive field 57 data. However, studies based on these data sets suffer from several limitations related 58 to the spatial and temporal scale of the considered processes. First, field data, 59 acquired on an ad hoc basis, do not exhaustively cover the great diversity of 60 environments in terms of geomorphology, geology, pedology, and land use. It is 61 therefore impossible to use these data to accurately analyse the sensitivity of a system 62 to any of these parameters (Coulthard and Van De Wiel, 2012). Second, there is 63 discontinuity between the temporal scale of the measurements and the temporal scale 64 of the change: landscape evolution typically occurs on time scales too long to be 65 understood based on field measurements only.

Sedimentary records represent alternatives for analysing the evolution of hydrosedimentary dynamics and the forcings responsible for them. Nevertheless, these archives, which are generally incomplete because of erosion or non-sedimentation of particles, provide only a partial description of the past hydro-sedimentary dynamics of a catchment (Straub et al., 2020). Their low resolution and entanglement of forcings

make it difficult to quantify the influence of each forcing and the effects of interactions
(Notebaert et al., 2011; Hancock and Coulthard, 2012). Therefore, many uncertainties
remain regarding the hydro-sedimentary dynamics of these catchments.

74 Numerical modelling can be an alternative solution to understand this dynamic by 75 allowing the sensitivity of a system to a single parameter to be analysed over very long 76 time scales. This can be done by adjusting only one parameter at a time and keeping 77 all other parameters constant. This approach thus makes it possible to analyse 78 separately how environmental conditions (e.g. land use) and internal processes (e.g. 79 flows and sediment transport) influence the morphological evolution of catchments 80 over the long term. Monitoring this evolution on large spatial and temporal scales 81 requires the use of models with low computation time requirements to limit the duration 82 of simulations. Landscape evolution models (LEMs) have been designed to operate at 83 low computational costs by reducing the complexity of the processes involved in soil 84 flow and erosion. These LEMs therefore allow simulations to be carried out over long 85 periods of time and at the scale of entire catchments, unlike reductionist models that 86 describe the physical processes in more detail (Bishop, 2007). The application of LEMs 87 to virtual or case-test catchments is generally carried out to better understand the 88 impacts of the physical characteristics of the catchments on their hydro-sedimentary 89 dynamics (Baartman et al., 2013). This analysis would not be possible with real 90 catchments because of their high complexity and heterogeneity. The use of virtual 91 catchments with selected characteristics makes it possible to isolate the influence of 92 each parameter under a range of environmental conditions and to avoid interference 93 between parameters. However, although these catchments make methodical analysis 94 of the results possible, they do not provide a realistic representation of the spatial 95 structures of the landscape and field conditions. They are generally square or

96 rectangular in shape and consist of a single valley formed by two symmetrical inclined
97 planes. These catchments can therefore lead to potential modelling errors (e.g.
98 production of unusual flows and sediment flows) and can make it difficult to generalise
99 the results.

100 In this study, we propose a method to generate catchments with realistic and perfectly 101 controllable morphometric characteristics to provide the opportunity to test, in 102 subsequent work, the sensitivity of hydrological and sedimentary processes to the 103 physical characteristics of the catchments. This type of empirical approach based on 104 the use of synthetic cases is indeed fundamental to conducting such sensitivity studies, 105 as existing databases are never sufficiently exhaustive in terms of basin shapes and 106 hydro-sedimentary measures. No sensitivity analysis is conducted for this study as it 107 focuses strictly on the development and evaluation of a method to produce realistic 108 synthetic catchments. The proposed methodology creates virtual catchments by 109 combining the terrain generation approach of Génevaux et al. (2013), geographic 110 information system (GIS) processing procedures, and the CAESAR-Lisflood LEM 111 (Coulthard et al., 2013). The latter was selected following a comparative study of 112 different LEMs, as detailed in Section 2b and 2c below. Aside from size and shape of 113 the catchment, the proposed method allows five key parameters to be adjusted 114 independently (i.e. changing one of the parameters does not change the value of the 115 others): drainage density, hypsometric integral, average slope of the main channel, 116 land use and grain size. The first three are defined using a modified version of the 117 Génevaux et al. (2013) algorithm and additional GIS procedures, whereas the 118 remaining two are adjusted within CAESAR-Lisflood. This combination of approaches 119 allows to produce a fully defined virtual catchment for each of the five physical 120 parameters considered. It is therefore able to guarantee a systematic catchment

generation procedure, ensuring a realistic representation of field conditions, spatial landscape structures, and modelled flows (Penny et al., 2013; Baartman et al., 2018). This new method of generating virtual catchments offers great research potential to identify, in future LEM studies, the impacts of the physical characteristics of catchments on flow dynamics and sediment flows, and therefore on their geomorphological evolution.

127

#### 2. Review of methods used to create virtual catchments

128 Since the advent of computer modelling, LEMs have been used to answer a wide range 129 of geomorphological questions, often with the aim of gaining an exploratory 130 understanding of the processes that lead to landscape development (Ahnert, 1977; 131 Willgoose et al., 1991; Tucker and Slingerland, 1994). These models simulate spatially 132 and temporally the processes of erosion and sedimentation and thus the dynamic 133 adjustment of landscape shapes. Currently, the LEMs with the most active user 134 communities are SIBERIA (Willgoose et al., 1991), CHILD (Tucker et al., 2001), 135 LAPSUS (Schoorl et al., 2002), and CAESAR-Lisflood (Coulthard et al., 2013). These 136 LEMs have become laboratories of choice (Tucker and Hancock, 2010) where 137 hypotheses related to the influence of morphometry, land use, and grain size on 138 landscape dynamics can be tested. In this section, we review the methods used to 139 define morphometry (Section 2a), grain size (Section 2b) and land use (Section 2c) for 140 the virtual catchments used in these LEM analyses. It is important to note that section 141 2a refers to existing approaches to produce virtual catchments and is independent of 142 the LEM under consideration. In contrast, Sections 2b and 2c focus on the specific 143 approaches adopted by the different LEMs listed above to consider the influence of 144 grain size and land-use in the simulation of water flow and sediment transport. A 145 comparative analysis of each LEM's specific capabilities is carried out with the aim of

ultimately specifying the most satisfactory LEM to represent land use and grain size invirtual catchments.

148

#### a. Review of existing methods for creating virtual catchments

The morphological complexity of the produced virtual catchments used in LEM analyses has been addressed in previous studies using different parameters such as basin relief, soil roughness (Baartman et al., 2013), mean slope of the catchment, slope profile, drainage length, and catchment area (Zhang and Han, 2017).

Virtual catchments generally are square or rectangular in shape. They are derived from mathematical expressions producing a valley formed by two symmetrical inclined planes (Schoorl et al., 2000; Coulthard and Van De Wiel, 2007, 2010; Engida, 2010; Baartman et al., 2013; Wang, 2013). The valley may include a floodplain, alluvial terraces, or terraced crops (Baartman et al., 2013) (Figure 1A). Different slope profiles can be chosen: one with a wide valley bottom or one with a restricted valley bottom and an extended plateau (Beaujouan et al., 2001) (Figure 1B).

160 Virtual catchments can also be produced by modifying the digital elevation model 161 (DEM) of a real-world reference catchment. For example, catchment characteristics 162 such as average slope, drainage length and catchment shape can be adjusted from a 163 real-world DEM by changing the elevations and the axial extents of the catchment 164 through the use of GIS packages (e.g. Zhang et al., 2017; Zhang and Han, 2017; 165 Coulthard and Van De Wiel, 2017). However, this approach generates results that are 166 only modified copies of the input DEM. With this method, for example, it is not possible 167 to influence the shape of the hydrographic network.

168 No case-testing studies have attempted to examine the influence of the shape of the 169 river system, although this is a parameter that strongly influences the response of

170 catchments to precipitation (Carlston, 1963). A manually sketched hydrographic
171 network can eventually be imposed in inclined planes (Thommeret et al., 2010).
172 However, this operation can lead to results that, even after DEM conditioning, are not
173 hydrologically and geomorphologically correct because the density and structure of
174 this arbitrary network does not reflect the topography and microtopography of the
175 catchment.

176 One alternative solution is to use a LEM to generate a "plausible" topography that 177 would be influenced by the chosen rates of tectonic uplift and fluvial incision (e.g. DeLong et al., 2007; Hobley et al., 2017). In this case, the LEM is used to create an 178 179 initial catchment topography that can be used for subsequent sensitivity analysis in 180 other LEM simulations. In this approach, fluvial incision is modelled using the stream 181 power law, which expresses the variation in erosion rate as a function of an erosion 182 coefficient K, drainage area A, channel slope S, and constants m and n (equation 1) 183 (Whipple and Tucker, 1999):

184

$$E = KA^m S^n. \tag{1}$$

185 The values of the coefficients *K*, *m*, and *n* play a major role in the evolution of the 186 landscape as they control the relationship between the slope of the landscape, the 187 drainage area, and erosion rates, as well as the prevalence of concentrated (fluvial) 188 processes over diffuse (slope) processes. However, the parameters K, m, and n 189 remain unknown constants, and their impacts on the produced relief still raise many 190 questions (Croissant and Braun, 2014). They are based on questionable assumptions 191 about the physics of erosion processes, which significantly limit the use of this model 192 (Beer and Turowski, 2015; Lague et al., 2014). For example, a linear relationship 193 between the erosion rate and the slope of the channel is generally assumed (n = 1), 194 although several studies have demonstrated the existence of incision thresholds (e.g.

Harel et al., 2016; Lague et al., 2014). In addition, the application of this law requires
very fine spatial and temporal discretisation, which imposes significant computational
costs for large-scale landform generation (Tucker and Hancock, 2010).

198 Finally, Ebert et al. (1998) have defined a fractal approach that enables the automatic 199 generation of landscape by combinations of noise functions. The creation of 200 landscapes is very fast with this method: the algorithms are relatively simple and 201 require few parameters adjustment compared to approaches based on physical 202 processes. However, these approaches generate large-scale landscapes that seem 203 unrealistic (geologically fresh looking) and there is a significant lack of control over the 204 positioning of landscapes features, such as rivers and crest lines. Specific methods 205 have been designed to include river networks into the fractal generation procedure 206 (Kelley et al., 1988). However, due to their stochastic nature, these algorithms produce 207 river networks and catchments that are not hydrologically and geomorphologically 208 consistent.

209 The difficulty of efficiently generating large catchment areas that meet 210 geomorphological requirements and the lack of controllability are therefore important 211 problems for most current methods.

212

213

# b. <u>Review of LEM methods for adjusting soil granulometry in virtual</u> <u>catchments</u>

Although most LEMs integrate erosion and sedimentation processes into their operations, this is often done in a fairly basic way (Coulthard et al., 2007) (Table 1).

216 For example, SIBERIA and LAPSUS are based on a simplified form of Einstein's

equation (1950) that assumes an increase in sediment transport with flow and slope.

218 Within these models, this equation takes the form (equation 2):

219 
$$q = \beta_1 Q^{m_1} S^{n_1}$$
 (2)

where q is the sediment transport rate per unit width ( $m^3 s^{-1} m^{-1}$ ); Q is the flow rate per 220 unit width (m<sup>3</sup> s<sup>-1</sup> m<sup>-1</sup>); S is the slope (m m<sup>-1</sup>); and  $\beta_1$ ,  $m_1$ , and  $n_1$  are constants 221 222 (Hancock and Willgoose, 2002). The value of q calculated from equation (2) is used to 223 deduce the mass balance of each cell. Based on this calculation, the elevation of each 224 cell is adjusted, and this adjustment reflects erosion and sediment deposition. 225 However, the sediment transport formulation in SIBERIA and LAPSUS considers only 226 one grain size fraction (Willgoose, 2005). This means that at present, these models 227 are not able to simulate certain sedimentological and morphological properties of river 228 beds related to the selective sorting of sediments. For example, although they are able to simulate the morphology of alluvial cones (Veldkamp et al., 2017), they struggle to 229 230 reproduce some of the sedimentological features associated with these deposits (e.g. 231 fining of particles from upstream to downstream).

Unlike SIBERIA and LAPSUS, CHILD employs a complete formulation of the Einstein transport equation using sediment density ( $\rho_s$ ), water density ( $\rho$ ), gravitational acceleration (g), grain size fraction (D), and dimensionless flow by compression ( $\varphi$ ) based on shear stress and flow velocity (equation 3):

236 
$$q_i = \phi \sqrt{\frac{(\rho_s - \rho)gD_i^3}{\rho}}.$$
 (3)

Furthermore, CHILD does not treat the channel bed as a single grain size fraction (Tucker et al., 2001). Indeed, in CHILD, selective sediment transport is possible with the use of a two-fraction approach (sand and gravel) based on Wilcock (1998). However, this two-fraction system is not sufficient to simulate phenomena such as the formation of armour plating at the bottom of the channel, for which selective erosion phenomena are important (Wilcock, 2001).

243 The most detailed representation of sediment granulometry is performed by CAESAR-244 Lisflood, which simulates the transport of nine granulometric fractions using one of two 245 equations: the Einstein equation (1950) and the Wilcock and Crowe equation (2003) 246 (Van De Wiel et al., 2007). These formulas have different fields of application as they 247 are derived from experiments carried out on different materials. Einstein's equation 248 (1950) was developed from channels with beds mainly composed of grain sizes 249 between 0.785 and 28.65 mm. The Wilcock and Crowe (2003) formula was developed 250 from channel experiments involving sediment mixtures with grain sizes ranging from 251 0.5 to 64 mm.

252 The nine particle size fractions are included within a three-dimensional layer system, 253 thus enabling simulation of the development of stratigraphic units and depositional 254 sequences (Coulthard and Van De Wiel, 2007; Van De Wiel et al., 2007). This 255 configuration also allows the representation of phenomena for which selective erosion 256 processes are important (e.g. development of an armour layer at the bottom of the 257 channel in a limited transport model). CAESAR-Lisflood is also able to consider regolith 258 erodibility in presence of negligible soil cover and particles fracturing. This is based on 259 an experimental soil development model developed by Vanwalleghem et al. (2013). 260 This more complete representation of erosion and selective particle transport 261 processes is a definite advantage of CAESAR-Lisflood over other LEMs (Temme et 262 al., 2017).

263

#### c. <u>Review of LEM methods for land use adjustment in virtual catchments</u>

Vegetation plays a major role in the evolution of the landscape, influencing the hydrological processes that take place in the soil and the degree of cohesion of the soil. From a hydrological point of view, vegetation can, among other things, absorb the kinetic energy of raindrops and reduce surface runoff by promoting infiltration (Brandt

and Thornes, 1987; Rey, 2003). Vegetation also reduces soil moisture through evapotranspiration, which increases the soil's resistance to shear (Matsushi and Matsukura, 2006). From a mechanical point of view, the plant root system improves cohesion of the soil, increasing its shear strength (Preston and Crozier, 1999).

272 CHILD, SIBERIA, LAPSUS, and CAESAR-Lisflood have been used in a series of 273 studies to assess the effects of vegetation on the topography of a former mining quarry 274 (Evans et al., 1998), on drainage density (Collins et al., 2004; Istanbulluoglu and Bras, 275 2005), on channel length profile (Collin and Bras, 2010), and on ravine formation 276 (Bastola et al., 2018). In these studies, depending on the LEM used, the effects of 277 vegetation on hydrological processes and soil cohesion were taken into account in 278 different ways.

279 Limited detachment conditions related to the presence of vegetation can be 280 reproduced in SIBERIA, CHILD, and LAPSUS using the erodibility factor  $\beta_1$  of the 281 sediment transport equation (2). This parameter generates high erosion rates when it 282 takes on high values (Schoorl et al., 2002; Schoorl et al., 2014). LAPSUS has an 283 additional parameter, the sedimentation factor, to reproduce the effect of vegetation 284 on carrying capacity (Schoorl et al., 2002; Schoorl et al., 2014). Low values of this 285 parameter indicate longer-distance transport of sediments, and high values generate 286 large volumes of deposits (provided that the transport capacity has been exceeded). 287 In CAESAR-Lisflood, the increased resistance of vegetated surfaces can be achieved 288 through a linear plant growth model, although it is mainly a riparian vegetation model. 289 In summary, the influence of vegetation on soil mechanical properties is generally 290 better taken into account in LAPSUS and less well represented in CAESAR-Lisflood.

However, only LAPSUS and CAESAR-Lisflood, and to a lesser extent CHILD, are able
to reproduce the effects of vegetation on flow hydraulics. SIBERIA only focuses on the

293 erodibility of the regolith. The CHILD and CAESAR-Lisflood LEMs use the Manning 294 coefficient in the calculation of flow velocity and shear stress (Istanbulluoglu and Bras, 295 2005). The adjustment of this coefficient can be done spatially by considering a zonal 296 distribution of vegetation. In CAESAR-Lisflood, the influence of vegetation on soil water 297 properties can also be achieved by adjusting a parameter acting on the storage and 298 release of water inside the soil (detailed in section 3f). In LAPSUS, simulation of 299 vegetation effects on soil hydrology is achieved using two parameters that can vary for 300 each land use category: the infiltration capacity (Debolini et al., 2015) and runoff 301 coefficient (Lesschen et al., 2009).

302 CHILD, LAPSUS, and CAESAR-Lisflood can address the effects related to the spatio-303 temporal evolution of vegetation as the values of their parameters can vary both in time 304 and space. For example, unlike SIBERIA, they can model the growth and senescence 305 of vegetation in different places. The spatio-temporal representation of vegetation is a 306 strong point of LAPSUS, CHILD, and CAESAR-Lisflood as it is known to have a great 307 influence on sediment transport and system connectivity (Foerster et al., 2014; 308 Coulthard and Van De Wiel, 2017; Mishra et al., 2019). However, the annual time step 309 of LAPSUS complicates its application for the analysis of the (very non-linear) effects 310 of vegetation on flow and erosion processes (Baartman et al., 2013; Hancock et al., 311 2015). A finer time step model such as CHILD or CAESAR-Lisflood is, therefore, better 312 suited to analyse the influence of vegetation on landscape evolution (Temme et al., 313 2017).

Combined, the considerations from Sections 2b and 2c show that the CAESAR-Lisflood model allows the most detailed representation of erosion and deposition phenomena, with complete formulation of the Einstein equation and multiple granulometric fractions. It also is the only LEM that provides a detailed representation

of the influence of vegetation on catchment hydrology in response to a short rain event
 (Table 1). For these reasons, the CAESAR-Lisflood model is considered to be the most
 appropriate model to represent the influence of grain size and plant cover in the virtual
 catchments.

# 322 3. Generation of realistic virtual catchments with perfectly controllable 323 physical characteristics.

324

#### a. Methodological approach overview

325 There are many parameters for characterising the morphometry of a landscape (Hengl 326 and Reuter, 2009), but the morphological complexity of a landscape is mainly governed 327 by the shape of the hydrographic network and the complexity of the relief (Cavalli and 328 Marchi, 2008; Baartman et al., 2013). The method proposed in this study produces 329 virtual catchment areas through five steps (Figure 2). First, a baseline virtual catchment 330 DEM with a desired shape and size is created, and the location of the catchment outlet 331 is specified. In this same step, which follows a modified algorithm of Génevaux et al. 332 (2013), the drainage density is also adjusted. This algorithm for generating the virtual 333 catchment with the desired drainage density is described in section 3b.i. In a second 334 step and third step, the hypsometric integral and the mean slope of the main channel 335 of the virtual catchment are adjusted (3b.ii et 3b.iii). Finally, land use and grain size of 336 the soils of each virtual catchment are then adjusted during their implementation in the 337 CAESAR-Lisflood LEM (3c.i et 3c.ii). Aside from size and shape of the catchment, 338 there thus are five physical parameters that control the morphology of the virtual 339 catchment: drainage density, average slope of the main channel, hypsometric integral, 340 land use, and soil granulometry. The choice of these five parameters is further justified 341 in sections 3b and 3c. An important point is that each parameter can be adjusted 342 independently of the other four, which, in the context of a LEM sensitivity analysis,

allows precise examination of the influence of each parameter on the outputs of anysubsequent analyses.

345

#### b. Generation of virtual catchment DEMs

i. Drainage density adjustment

347 The baseline for the virtual terrains is generated based on a modification of the method 348 by Génevaux et al. (2013). The catchment size and catchment shape (e.g. elongated, 349 rounded, stunted, axi-symmetrical or curved catchments), and the relief can take any 350 form desired by the user and are controlled by input parameters and two user-sketched 351 slope maps, i.e. a terrain slope map and a river slope map (figure 3). Génevaux et al.'s 352 (2013) algorithm was originally designed to generate several river systems within an 353 island by randomly drawing outlet points around the island. For the current study it was 354 modified to be able to impose a single outlet and thus force all river segments to drain 355 to this outlet.

356 Virtual catchments produced by the proposed method can be any size. Large basins 357 are likely to be driven by marked internal processes ("autogenic processes") related to 358 the storage and transient remobilisation of sediments. This reinforces the inertia and 359 non-linearity of the entire system towards external forcing(s) (Jerolmack and Paola, 360 2010; Van De Wiel and Coulthard, 2010). Thus, in the context of a LEM sensitivity 361 analysis, using large catchments should make it easier to highlight dynamic differences 362 in the morphometry of catchments. To ensure the efficiency of LEM calculation, the 363 modelling of large spatial scales requires the use of grids with relatively low resolution 364 (~30 m or more), as high-resolution data result in a large increase in computing time. 365 Indeed, according to Perron (2011), an increase of the resolution by a factor *n* results 366 in an increase in the calculation time of about  $n^3$ . A low-resolution DEM inevitably omits

367 certain complexities of the river system, such as the presence of sedimentary bars and 368 islets in the channel or bank collapses (Van De Wiel et al., 2007). However, the main 369 characteristics of the hydrographic network remain visible at low spatial resolution. In 370 addition, it has been shown that LEMs can reliably predict sediment transport even at 371 low grid resolutions (Hancock et al., 2010). Considering these aspects, we herein 372 illustrate our method using DEMs with a surface area of 35 km<sup>2</sup> and a resolution of 373 30 m. The method itself, however, can generate virtual catchments of any size and any 374 resolution.

375 In addition to the key geometric properties of the catchment, Génevaux et al.'s (2013) 376 algorithm also permits to specify and adjust key geometric characteristics of the 377 hydrographic network, namely the drainage pattern (e.g. parallel or trellic) and the 378 drainage density. Shape characteristics of the hydrographic network are commonly 379 used by hydrologists and geomorphologists to study the hydrological cycle, the 380 exchanges between the land surface and the atmosphere, and the response of the 381 land surface to environmental changes (Tarboton et al., 1989; Rodriguez-Iturbe et al., 382 1992). Many parameters exist to characterise the complexity of the hydrographic 383 network, and many of them are correlated (e.g. drainage density, texture of drainage, 384 frequency of drainage, frequency of first-order sections, and fractal dimension), 385 although some, such as the confluence ratio and the length ratio, do not follow this 386 correlation scheme. However, these parameters were not considered as their range of 387 values remains poorly constrained. It is essential to constrain the parameters of the 388 virtual basins in a range of the natural variation of the used parameters to avoid 389 modelling errors (e.g. aberration of simulated flows). Therefore, the drainage density, 390 a geomorphological measure that characterises the proximity of the channels to each 391 other, was considered herein to define the shape of the hydrographic network.

392 Drainage density is generally calculated as the length of river channels divided by 393 catchment area [L/L<sup>2</sup>] (Horton, 1945).

394 The river slope map (Figure 3b) adjusts the distribution and the structure of the river 395 network (e.g. dendritic, parallel or trellis) and drives the position of plateaus, hillslopes 396 and valleys: rivers and valleys will develop preferentially in areas where specified river 397 slopes are low. Therefore, this map makes it possible to intuitively define how the 398 network will develop without having to sketch out the details of river locations. On the 399 other hand, the terrain slope map (Figure 3a) controls the slope of valleys and the 400 importance of floodplain development: low values will result in gentle cross slopes and 401 significant floodplain development. To create symmetrical dendritic channel networks, 402 the two slope maps have simple symmetrical gradients: the river slope map should be 403 defined to favour the placement of high-altitude areas at the periphery of the domain 404 (high river slope values) and the spreading of the river network from the tip of the 405 domain to areas with low river slope values (Figure 3b). To produce an accentuation 406 of stream incision from the upstream to the downstream part of the catchment, the 407 terrain slope map would need slightly greater values towards the centre thalweg 408 (Figure 3a). The production of virtual catchments with a more orderly drainage network 409 (e.g. trellis, rectangular, parallel, contorted or annular network) would involve the 410 production of more constraining slope maps. In these cases, the user should sketch 411 the river slope map so that the low slope values are shown at the desired locations for 412 the main valleys. For example, for an annular pattern, the river slope map should 413 represent alternating rings of low and high slope values. Similarly, it is quite possible 414 to place the main channel on one side of the catchment so that short, steep tributaries 415 are formed on one side and longer, low-sloped rivers on the other.

416 The algorithm generates from the river slope map a hydrographic network in the form 417 of 3D polylines set. This geometric graph develops from an outlet and colonizes the 418 upstream available space using a probabilistic approach (Génevaux et al., 2013). 419 Network growth is based on a weighted function combining the elevation of candidate 420 network nodes (calculated from the river slope map) and their priority defined from the 421 Horton-Strahler stream classification. The river system expansion process also 422 considers several production rules acting on the minimum allowed spacing between 423 river sections and on the relative frequency of Horton-Strahler streams in the final 424 network. The algorithm then uses the geometry and topology of the generated stream 425 network to divide the domain into Voronoi polygons, each polygon consisting of ridge 426 points, water inflow points and an outflow. The elevation of the ridge points is 427 calculated from the elevation of the neighbouring cells centre and from the terrain slope 428 map which will influence the gradient of the hillslopes. The flow discharge at the outlet, 429 calculated from the catchment area using a power law, is used to classify river sections 430 according to the Rosgen (1994) approach and thus refine the flow paths. Finally, the 431 virtual catchment DEM is generated by combining the river network graph, the Voronoi 432 diagram, flow discharge and Rosgen types assigned to each river node. The 433 roughness of the landscape is also adjusted by a correlated random noise which varies 434 according to the geomorphological context. The construction of the DEM is based on 435 a procedural model combining terrain primitives and allows the creation of hills, 436 mountains and valleys as well as the detailed geometry of streams. This vector model, 437 which allows the elevation to be obtained at any point in the catchment, is finally 438 discretized and converted into a raster data model.

The adjustment of the drainage density is done during the creation of the DEM.However, the drainage density is not specified directly as in input parameter in the

441 algorithm, but rather follows from a parameter defining the minimum allowed spacing 442 between river sections. Hence, the drainage density value is experimentally regulated 443 by a trial and error procedure requiring the subsequent extraction of the DEM 444 hydrographic network from the generated virtual catchment area. Several methods 445 exist to extract the hydrographic networks of DEMs. For low-resolution DEMs (> 10 446 m), the detection of channel heads based on their geometric characteristics (e.g. plan-447 view curvature or long profile) is not reliable (Passalacqua et al., 2010; Grieve et al., 448 2016; Clubb et al., 2014), but the use of a simple contributory area threshold is 449 sufficient. This threshold represents the minimum contributing area necessary to 450 initiate the hydrographic network. The threshold value strongly affects the density of 451 the extracted network. This value cannot be determined from the analysis of the 452 drained slope-area relationship as it has been shown that the slope reversal observed 453 on this type of graph represents the transition from convex to concave topography, not 454 the transition from diffuse to concentrated erosion (Montgomery and Foufoula-455 Georgiou (1993), Perera and Willgoose (1998) and Hancock (2005). The method representing the cumulative distribution of drainage areas (CAD) is capable of 456 457 identifying this transition zone and consequently the channel heads (McNamara et al., 458 2006). The CAD is the probability P of crossing a drainage area value at a given point 459 in the catchment area. The probability of crossing *P* is obtained by dividing the number 460 of DEM cells with a drainage area a greater than or equal to a given area  $(a^*)$  by the 461 total number of cells  $(N_T)$  (equation 4):

462 
$$P(a \ge a^*) = \frac{\sum_{i=1}^{i=n} N_i}{N_T}$$
(4)

463 
$$N_i = 0 \text{ if } a_i < a^*, \qquad N_i = 1 \text{ if } a_i \ge a^*$$

464 where  $a_i$  is the drainage area of the *i*<sup>th</sup> pixel.

The CAD has a shape that is common to many catchments. This distribution can be
divided into three regions that express different flow regimes (Perera and Willgoose,
1998) (Figure 4D).

Region 1, associated with low drainage areas, represents the part of the catchment dominated by diffuse flows (slopes). Region 2 corresponds to the part of the catchment affected by concentrated flows. This region is described by a straight line in a logarithmic scale and therefore follows a power law. Region 3 consists of the areas near the outlet of the catchment. The discontinuities in this region come from the junctions of tributaries with the main channel. In this region, the probability of crossing decreases rapidly with the increase of the drainage area.

475 Figure 4 shows the CADs of three virtual catchments that differ only in their drainage 476 density. The catchment with the most branched drainage system has a probability of 477 crossing in Region 1 that decreases slowly with increasing drainage area (Figure 4C). 478 This reflects the fact that a high percentage of the catchment area has a low drainage 479 area. However, the location of the transition between regions 1 and 2 is an indicator of 480 the flow regime and, consequently, of erosion processes in the catchment area. The 481 border between CAD regions 1 and 2 is located to the left, especially because diffuse 482 flow transport is important (Perera and Willgoose, 1998). Log-log linearity (region 2 – 483 power law) is observed for very small drainage areas for the catchment with high 484 drainage density because for this catchment, flows are mainly concentrated. Region 1 485 is therefore small for this catchment with a slope break that is difficult to identify (Figure 486 4C).

The river systems can then be derived from the flow accumulation thresholds identified
on the CADs (boundary between regions 1 and 2). The very different values of the flow

489 accumulation thresholds from one basin to another obviously result in very different490 drainage networks in terms of density (Figure 5).

The drainage densities of the three catchments generated with our method are respectively low (2.19 km/km<sup>2</sup>, Figure 5A), moderate (5.51 km/km<sup>2</sup>, Figure 5B), and very high (8.35 km/km<sup>2</sup>, Figure 5C) according to Smith's classification (1950).

The three hydrographic networks produced all extend to the limit of the catchment although in reality the development of the networks is done both in terms of density and channel length. Thus, the proposed method does not allow considering an areal growth of the network which supposes an increase in channel length with the increase in drainage density.

499

#### ii. Adjusting the hypsometric integral

500 The hypsometric integral is the second parameter chosen because it is the only 501 parameter that integrates the distribution of altitudes within a catchment area. The 502 hypsometric curve of a catchment represents the relative proportion of the surface area 503 of the catchment above a given altitude, and is widely used in geomorphology to 504 determine the erosive stages of catchments (Strahler, 1952; Schumm, 1956). This 505 area-altitude curve is suitable to analyse the relief distribution in a catchment and to 506 perform comparisons between different catchments. The shape of the hypsometric 507 curve reflects the geomorphological development stage of the catchment. Convex 508 hypsometric curves are characteristic of catchments with young relief and high erosion 509 potential, whereas concave curves indicate a stage of more mature relief and very low 510 erosion potential (Strahler, 1952). The hypsometric integral corresponds to the area 511 under the hypsometric curve (Strahler, 1952; Schumm, 1956) and is also used to

analyse the maturity of the catchment. For example, values close to 1 are associatedwith convex curves, and reflect immaturity of the relief.

Harlin (1978) developed an approach that considers the hypsometric curve as a cumulative probability distribution. It consists of representing the hypsometric curve by a third-order polynomial function, allowed by the relatively simple shape of the hypsometric curve and the low number of inflections (equation 5) (Harlin, 1978),

518 
$$f(x) = \frac{h}{H} = a_0 + a_1 \frac{a}{A} + a_2 (\frac{a}{A})^2 + a_3 (\frac{a}{A})^3$$
(5)

519

520 where *h* is the altitude, *H* is the total elevation difference, *a* is the surface area above 521 altitude h, and *A* is the total surface area.

522 The hypsometric integral can be calculated by integrating h/H. The resolution of this 523 integral consists of using linearity to separate the integral into a sum of integrals 524 (equation 6) (Harlin, 1978):

$$HI = \int_0^1 a_0 + a_1 x + a_2 x^2 + a_3 x^3 f(x) dx = \sum_{k=0}^3 \frac{a_k}{k+1}.$$
 (6)

525

526 The proposed approach to adjust the hypsometric integral is based on a baseline DEM 527 produced in the previous step with the modified version of the Génevaux et al. (2013) 528 algorithm. It contains three main steps:

(i) The attribute table of a DEM includes altitude and surface values, and it is therefore
possible, by a simple operation, to calculate the relative surface area values (*a*/*A*).

(ii) Once the relative area values are obtained, the relative altitude values (h/H) can be calculated. To do this, it is sufficient to describe the hypsometric curve in the form of the third-order polynomial law given in the equation 6. The adjustment of the coefficients  $a_0$ ,  $a_1$ ,  $a_2$ , and  $a_3$  of the polynomial function then allows it to act on the relative altitude values (*h*/*H*) and thus on the shape of the hypsometric curve and its integral.

(iii) It is then possible to spatially calculate the altitude data by applying the equations
obtained in the previous step. These equations use as inputs the spatial values of
relative surfaces.

540 To illustrate the method, we defined three hypsometric curves with very different 541 shapes and hypsometric integral values (Figure 6D).

542 The first is strongly concave and has a very low hypsometric integral (HI = 0.25). The 543 second has a convex shape with a hypsometric integral equal to 0.65. The third has a 544 stronger convex shape with a hypsometric integral equal to 0.75. The equations 545 describing these three hypsometric curves can then be used to generate three 546 catchments showing very different hypsometry (Figures 6A, 6B and 6C). The low 547 altitudes of catchment area A are very widely represented (low hypsometric integral) 548 and are representative of a basin for which erosion processes would have been intense 549 (Strahler, 1952). The altitudes of catchment area B are on average higher because 550 higher altitudes occupy a larger area. This trend increases for catchment C with high 551 altitudes very widely represented and low altitudes restricted to the most downstream 552 areas. Therefore, catchments A and B are characteristic of catchments with young 553 relief and high erosion potential (Strahler, 1952).

554

#### iii. Adjustment of the mean slope of the main channel

555 There are many parameters to describe the relief and its elevation. Most of them are 556 correlated, such as the total relief, main channel slope, and average slope of the 557 terrain. The adjustment of the slope of the main channel was preferred because this

558 morphometric parameter is one of the first to be considered for the analysis of erosive 559 power of a river (Whipple and Tucker, 1999). The river slope map provided in the first 560 step to create the initial DEM does not allow for easy and accurate adjustment of the 561 main channel mean slope, as its primary purpose is to indicate where the drainage 562 network will be established.

The mean slope of the main channel (MCS) is the length of the longest flow path divided by the difference in elevation between its two ends (Asquith and Slade 1997). The average slope of the main channel is adjusted by redefining the altitude range of the catchment based on the original topography produced during the adjustment step of the hypsometric integral. This resizing is done without modifying the distribution of values within the DEM (use of a linear mathematical function) so as not to affect hypsometry or previously defined hydrographic properties (equation 7):

570 
$$z = \frac{(x - x_{min}) \times (z_{max} - z_{min})}{(x_{max} - x_{min})} + z_{min}$$
(7)

where *z* represents the new altitude of the catchment at a given point. This value is between a minimum altitude  $z_{min}$  and a maximum altitude  $z_{max}$ ; *x* is the initial altitude of the catchment between  $x_{min}$  and  $x_{max}$ .

574 Our method allows the automatic adjustment of  $z_{max}$  from an expected MCS slope 575 gradient. This adjustment is performed via the following equation (equation 8):

576 
$$z_{max} = \frac{(-x_{min} \times (\frac{x_{max} - P_{max}}{x_{max} - x_{min}})) + ((Pd \times L) + x_{min})}{1 - (\frac{x_{max} - P_{max}}{x_{max} - x_{min}})}$$
(8)

577 where  $P_{max}$  represents the initial maximum altitude of the main channel (m) and *L* 578 indicates the length of the main channel. The "longest flow path" function available in 579 ArcHydro can be used to estimate the length of the channel. The altitudes at each end 580 of the flow path can then be obtained using the "Extract Multi Values to Points" function.

581 It is important to emphasise that the resizing of altitudes is based on a linear 582 mathematical function which allows to fully preserve the original terrain slope patterns 583 and ratios. This means that virtual catchments with high longitudinal slopes will 584 necessarily have significant transverse slopes. This results in a greater incision in the 585 drainage system and limited development of the floodplain. However, shallow 586 longitudinal slopes will generate significant floodplains that are conducive to the lateral 587 development of watercourses. This correlation between longitudinal and transverse 588 slopes is generally found in river and hydrological system classification schemes 589 (Rosgen, 1994; Winter, 2001). Indeed, Winter (2001) defined two main types of valleys 590 according to their geographical locations: highland and lowland valleys. The former 591 tends to have strong longitudinal gradients with steep slopes and almost non-existent 592 flood plains. In contrast, lowland valleys have relatively low longitudinal gradients with 593 gentle transverse slopes and significant development of floodplains.

594 To illustrate our method, three virtual catchments were produced that differ only in the 595 mean slope of the main channel (Figure 7).

The catchment areas are represented with the same scale based on the strongest relief to make the altitude differences visible. The location of the main channel and its ends are also shown on each map. The average slope of the main channel of catchment area A is 0.005 m m<sup>-1</sup> which is representative of low-lying areas (Mesquita, 2008). That of the second catchment area is two orders of magnitude higher at 0.1 m m<sup>-1</sup>. Finally, the last catchment area has a main channel slope equal to 0.2 m m<sup>-1</sup>, which is a value generally observed in mountainous regions (Shen et al., 2016).

#### 603

#### c. Adjusting grain size and landuse in the LEM CAESAR-Lisflood

604 Finally, as mentioned above, land use and granulometry have many effects on surface 605 processes and thus on landscape evolution (Istanbulluoglu and Bras, 2005; Veldkamp 606 et al., 2017). For example, deforestation or reforestation of surfaces modify 607 sedimentary movements and geomorphological connectivity across the basin (Liébault 608 et al., 2005). Soil granulometry also has a significant impact on sediment production 609 by promoting or not promoting the development of armour layers at the bottom of the 610 channel (Hancock, 2009). This justifies the importance of these two parameters within 611 the proposed method.

612

#### i. Land use adjustment

613 Land use adjustment of the virtual catchments is performed using the parameter m 614 and the Manning coefficient of the CAESAR-Lisflood model. The Manning coefficient 615 is used to calculate the depth and velocity of flows and is defined based on land use in the catchment area (see section 2.c). Its value varies between 0.02 (urban areas) 616 617 and 0.2 (forests) (Lewis et al., 2013). The parameter *m* is based on a modified version 618 of the semi-distributed hydrological model TOPMODEL (Beven and Kirkby, 1979). It 619 influences the characteristics of the modelled flood hydrograph by determining how 620 quickly a catchment responds to rainfall input (Welsh et al., 2009). Large values of m 621 increase the water retention capacity of the soil, leading to reduced flood peaks and a 622 slow decline in the hydrograph's recession curve. These values therefore represent 623 well-vegetated catchments (Welsh et al., 2009). Conversely, low *m* values increase 624 soil transmissivity, induce hydrological reactivity of the system to rain, and thus 625 represent catchments with sparse vegetation. A value of 0.02 is commonly used to 626 represent dense forest cover, and a value of 0.005 is specified to represent poorly 627 vegetated areas such as grasslands. Intermediate values represent a full range of

628 vegetation cover (Beven et al., 1984). An improvement of the hydrological component 629 of the CAESAR-Lisflood model was undertaken by Coulthard and Van De Wiel (2017) 630 to input the spatial variations of the parameter *m* and the Manning coefficient. Our test-631 case generation method exploits this function by adjusting the land use of a catchment 632 according to its geomorphology. Moreover, numerous studies show close 633 correspondences between landscape forms and land use in various regions of the 634 world (Mesquita, 2008; Segundo et al., 2017; Elhag and Boteva, 2017; Rudi et al., 635 2018). We propose three land use scenarios showing different location of their forest 636 cover depending on whether it is at the valley bottom, on the slopes, or on the plateaus: 637 (i) in the first scenario, forest cover is concentrated at the bottom of the valley, and the 638 surface is bare for the rest of the catchment area; (ii) in the second scenario, forest is 639 located on the slopes with a total absence of vegetation on the remaining surfaces; 640 and (iii) the last scenario corresponds to the case where the forest is located on the 641 plateaus without any vegetation at the bottom of the valley or on the slopes. The three 642 geomorphological contexts of plateau, valley, and slope were extracted from the DEM 643 using the semi-automatic classification method proposed by Jasiewicz and Stepinski 644 (2013). This method classifies the numerical model into eight geomorphological 645 classes (excluding the "pit" and "flat" categories eliminated during the post-treatment 646 of the DEM; see section 4). This process is based on the concept of geomorphons. 647 Geomorphons are elementary structures that allow different geomorphological 648 contexts to be expressed, and are obtained by comparing the altitude of a given cell with the altitudes of the eight surrounding cells and calculating the zenithal and nadir 649 650 angles for the eight elevation profiles. The eight categories produced by the Jasiewicz 651 and Stepinski method (2013) were then reclassified to generate maps with three major 652 classes: valley, plateau, and slope (Figure 8).

In the example in Figure 8, the plateaus, defined by the "peak" and "ridge" classes, represent only 13% of the area because of their incision by many valleys. The slopes, simple ("slope"), concave ("hollow"), or convex ("spur") from the shoulder ("shoulder") to the foothills ("footslope"), represent a total of 65% of the surface area of the catchment. The valley bottoms are relatively wide and represent 22% of the surface area of the virtual catchment.

660

653

#### Adjustment of soil granulometry

It is possible to define different soil granulometry scenarios using CAESAR-Lisflood. This LEM allows the representation of sediment erosion, transport, and deposition through nine granulometric classes, included in a superimposed layer system (Van De Wiel et al., 2007). The calculation of the sediment flow  $q_i$  for each cell and each grain size fraction  $D_i$  is done either with Einstein's formula (1950) (equation 3) or with Wilcock and Crowe's formula (2003) (equation 9):

667 
$$q_i = \frac{F_i U_*^3 W_i^*}{(s-1)g}$$
(9)

ii.

668 where  $F_i$  is the fractional volume of the particle size class *i* in the active layer,  $U^{3}$  is the 669 shear rate,  $W_i^*$  is a function linking the fractional flow to the total flow, and s is the ratio 670 of sediment density to water density (Van de Wiel et al., 2007). The sediment flow can 671 be restricted by the availability of a given grain size fraction in the topmost surface 672 layer (limited detachment model). The calculation of shear stress ( $\tau$ ) is essential for the 673 application of Einstein's (1950) and Wilcock and Crowe's (2003) formulas. The value 674 of r is determined from the density of the water ( $\rho$ ), a drag coefficient ( $C_d$ ), and the 675 velocity of the flows (*u*), integrated based on the runoff height (equation 10):

$$\tau = \rho C_d u^2. \tag{10}$$

Eroded material is transported either as bedload or in suspension (although only the finest fraction can be transported in suspension) and is distributed to neighbouring cells according to the local slope of the ground in the first case and the speed of flow in the second case (Van De Wiel et al., 2007). At the end of each iteration, all the material transported as bedload is deposited in the receiving cell. In addition, the deposition of suspended sediments ( $V_{dep}$ ) is calculated from their falling velocity  $v_f$  and their concentration  $\kappa$  (equation 11) (Van de Wiel et al., 2007):

$$V_{dep} = \kappa v_f D x^2 dt. \tag{11}$$

The soil granulometry of the catchments is initially homogeneous and is spatially distributed as selective erosion processes begin. The removal of fines from the channel bed during the first iterations generally results in a period of high sediment production (Coulthard et al., 2002).

689 Figure 9 shows three soil granulometry scenarios that can be implemented for virtual 690 catchments. Scenario 1 produces soils composed of 80% silt and 20% sand (sandy 691 bed river). The granulometry of scenario 2 is represented by depletion of fine particles 692 and enrichment of coarse particles (60% silt; 25% sand, and 15% pebbles – gravel 693 bed river). Scenario 3 follows the same trend with lower and higher proportions of fine 694 and coarse particles respectively. The sediments are composed of 40% silt, 25% sand, 695 20% pebbles, and 15% cobbles (cobble bed river). In each scenario, particles smaller 696 and larger than 0.63 mm are respectively transported in suspension and as bedload.

697

#### 4. Post-treatment of DEMs in virtual catchments

698 Before hydrological modelling can be applied to a DEM, it must be adjusted to be 699 hydrologically correct. This post-treatment consists of removing peaks and valleys to 700 allow water to flow freely to the outlet of the catchment.

701

#### a. <u>Altitude smoothing using the ANUDEM algorithm</u>

The local soil roughness, controlled by a function for generating coherent spatially auto-correlated noise (Perlin noise), is not a directly and easily adjustable element in the method of Génevaux et al. (2013). It seems exaggerated because random variations in altitude are observed in some areas, leading to the formation of depressions and peaks (Figure 10A).

707 Areas of incorrect altitude must be automatically detected and corrected, but all this must be done without degrading slope continuity, overall morphology, shape and 708 709 drainage structure. That would not be possible with a conventional pit removal 710 procedure which would result in the creation of numerous flat areas. There is an 711 interpolation technique specifically designed to create hydrologically correct land 712 surfaces while preserving both the ridge lines and the hydrographic network. This is 713 the ANUDEM (Australian National University Digital Elevation Model) adaptive 714 interpolation and smoothing method developed by Hutchinson (1989). The underlying 715 interpolation algorithm of the ANUDEM programme is described in Hutchinson et al. 716 (2013). The DEM is obtained with an iterative interpolation procedure of the spline type 717 that respects the sudden changes in relief observed at the bottom of valleys. The 718 interpolation procedure follows a multi-grid approach to optimise the execution rate. 719 ANUDEM imposes a global drainage network using an algorithm that can consider 720 different input entities (e.g. cliffs, lakes, and drainage networks). The parameter of the 721 ANUDEM method relevant to reducing DEM noise is the discrete error parameter. This 722 parameter controls the smoothing of the surface: the higher the value, the greater the 723 filtering of peaks and valleys, whereas the lower the value, the greater the roughness. 724 In this study, the default value of this parameter (1) produces satisfactory results.

Figure 10 illustrates the smoothing effect, showing that most depressions and peaks are removed with a decrease in roughness while perfectly preserving landforms.

727

#### b. Correction of depressions and flat areas in DEMs

728 DEMs contain depressions or flat areas that can be artefacts. These elements cause 729 discontinuity and local entrapment of surface runoff during hydrological modelling of 730 catchments, which may not reflect the effect of overall morphology (Petroselli, 2012). 731 Flat areas are relatively rare in reality, they occur totally randomly and we have no 732 control over their frequency in the catchment and the effects they might have on water 733 and sediment discharges (especially bedload). As a consequence, several algorithms 734 for correcting depressions and flat areas have been published:(i) The Filling method 735 (hereinafter Fill) (Jenson and Domingue, 1988) available in many GIS software 736 packages such as ArcGIS and GRASS, (ii)the TOPAZ method (Martz and Garbrecht, 737 1999) available in the RICHDEM python tool suite (Barnes et al., 2014)

and (iii) The PEM4PIT method, distributed as an extension of the ArcGIS software
(Grimaldi et al., 2007).

740 A methodological comparison of these three methods was carried out by Fernandez 741 et al. (2016) to evaluate their effectiveness in correcting flat areas present in DEMs. In 742 this study, the results were analysed in relation to the extent of the area affected by 743 the correction, changes in elevation and slope, and the distribution of flow velocities. 744 The results show the disadvantages of the standard Fill correction method. For 745 advanced methods (TOPAZ and PEM4PIT), the correction processes are strongly 746 influenced by the relief, sizes of the depressions, and their distribution (Fernandez et 747 al., 2016). There are no methods that work optimally for all contexts. However, 748 Fernandez et al. (2016) highlighted the greater versatility of the TOPAZ tool, which is

effective in regions with very irregular terrain and in regions with low slopes. We havetherefore chosen this tool for the correction of our virtual catchments DEM.

The TOPAZ tool was therefore applied to fill the depressions using an approach where the propagation of flows is limited to the cardinal directions (east, north, west, and south; i.e. a D4 regime) as seen in most LEMs. Indeed, with a traditional D8 method (flows in the cardinal and diagonal directions), depressions would remain and the continuity of flows in the CAESAR-Lisflood LEM would not be as well-ensured.

Although these treatment procedures improve the quality of the DEM, they are likely to cause a slight change in drainage density and hypsometric integral. However, these changes remain minor and do not substantially affect the properties of the virtual catchment.

760 **5. Results** 

Figure 11 compares the Austreberthe catchment in Normandy, France (Figure 11C)
with a virtual catchment produced by our method (Figure 11B).

763 In order to make the comparison between the two catchments more explicit, the 764 drainage density, hypsometric integral and mean slope of the main channel were set 765 at values commonly observed in the Normandy regional context (Laignel, 2003; 766 Lequien, 2006; Mesquita, 2008; El janyani, 2014). The virtual catchment generated by 767 our method is visually similar to the morphology of the real catchment. The two river 768 systems are very similar in organisation: they are built around continuous ridge lines 769 sharing several sub-catchments. Both have a dendritic structure for which a 770 hierarchical distribution of the main channel and its tributaries is observed. The 771 distribution of the valley, plateau and hillslope areas follow a coherent pattern 772 consistent with that observed for the real catchment. Our method generates

catchments whose relief appears to be shaped by erosion and weathering. These
characteristics and this type of organisation have not been found in previous virtual
catchments (e.g. V-shaped valley catchments, Figure 11A).

776 However, the terrain generated by our method still lacks continuity between valley and 777 plateau areas, which explains the prominence of ridge lines, whether at the head of 778 the catchment area or further downstream (Génevaux et al., 2013). It would be 779 possible to prevent the creation of these huge ridges by limiting the maximum local 780 slope to a threshold value. The narrowing of the valley section commonly observed 781 with the decrease in drainage area is not or only slightly visible for our catchment (Zink 782 et al., 2012). On the other hand, the hydrographic network of the virtual catchment 783 appears somewhat less branched and consists of numerous tributaries. Its bifurcation 784 ratio (3.90) is higher than that of the Austreberthe catchment (3.44), indicating that low-785 order streams are more frequent in the river system. In addition, the stream length ratio 786 is higher for the virtual catchment (2.30 versus 1.84), suggesting that high-order 787 streams are proportionately longer. However, the values of drainage densities, 788 hypsometric integrals, and average slopes of the main channels of the two catchment 789 basins remain very close. Indeed, according to Smith's (1950) classification, both 790 catchments have a low drainage density (~2km/km<sup>2</sup>). Their relatively high hypsometric 791 integrals (~0.7) reflect convex hypsometric curves. Thus, high altitudes are 792 predominantly represented and low altitudes are restricted to downstream areas. 793 Finally, main channel slopes are both relatively low (~0.005m/m) potentially resulting 794 in long water and sediment transit times.

795 On the other hand, land use was defined in CAESAR-Lisflood based on the 796 geomorphology of the virtual catchment (Figure 8) since there is a strong landform-797 land use relationship in Normandy: indeed, most of the vegetation is concentrated on

the hillslopes (Mesquita, 2008). A homogeneous grain size representative of the soils
of the region (80% silts and 20% fine sands) was also defined in CAESAR-Lisflood to
finalize the creation of the virtual catchment.

801 **6.** Discussion

This comparison confirms that the proposed method can successfully generate coherent and plausible virtual catchments on a large scale, while offering a high degree of control (e.g. the density of the hydrographic network is controlled by the user through the adjustment of a single parameter). We can control the structure of the terrain and the hydrographic network through simple and intuitive parameters. We are able to define the location of plateau and valley areas, the location of the catchment outlet, the location and trajectory of channels through the definition of intuitive slope maps.

809 The strength of our method is to allow the separate adjustment of the drainage density, 810 hypsometric integral, mean slope of the main channel, land use and soil granulometry in order to analyse precisely their influence on the evolution of the landscape. All the 811 812 virtual catchments produced by our method are therefore designed assuming 813 independence between the parameters considered. However, in reality, correlations 814 exist between each of these parameters but also with other parameters. Indeed, 815 drainage density is influenced by factors such as soil permeability (influenced by soil 816 granulometry), climate, vegetation, length and average slope of the slopes, etc. In 817 general, low drainage density values are observed in areas based on highly permeable 818 materials (generally coarse grading) with low vegetation cover and low relief (Devlin, 819 2015). While high drainage density values indicate areas with impermeable soils with 820 sparse vegetation, mountainous relief (Nautiyal, 1994; Lazzaro et al., 2015) and fine 821 grading (Devlin, 2015). Strahler (1952) also found that the hypsometric integral is 822 inversely correlated with drainage density and channel slope. Separate adjustment of

the different parameters can therefore lead us to produce unrealistic catchments. Thus, in addition to ensuring that each parameter is constrained within a range of values encountered in reality (Smith, 1950; Strahler et al., 1952; Shen et al., 2016), the user must verify the plausibility of a combination of parameters. In this sense, a bibliographical work is a preliminary step in the design of virtual catchments with the proposed method.

829 It should be also noted that the imposed drainage density slightly affects the shape of 830 the catchment initially defined by the two slope maps. Indeed, the algorithm relies on 831 the geometry of the river network to generate the Voronoi diagram and thus to calculate 832 the drained areas. Catchments with low drainage density tend to deviate further from 833 the shape initially defined since their Voronoi diagram have a coarser structuring. It is 834 therefore impossible with the proposed approach to generate a set of catchments that 835 have exactly the same shape, and that really only differ in density. However, the 836 differences remain insignificant since the overall shape of the catchment area is 837 completely preserved.

#### 838 **7. Conclusion**

839 This work proposes a new method for generating virtual catchments to simulate hydro-840 sediment transfer and landscape evolution, leading to a high level of control over the 841 generation process. Our method consists of different components for the individual 842 adjustment of five physical characteristics: drainage density, hypsometry, average 843 slope of the main drain, granulometry, and land use. The generation of the virtual 844 catchments is given by coupling a modified version of the field generation method of 845 Génevaux et al. (2013) with GIS processing procedures and the CAESAR-Lisflood 846 LEM. As with any catchment generation method, parameter adjustment requires a 847 certain level of knowledge to find a consistent and plausible set of values. The values

848 presented in this paper show that our method can be used to produce realistic virtual 849 catchments efficiently, simply, and with a high degree of control, unlike standard 850 approaches that produce unrealistic V-tilt type catchments. The difference shown 851 between Figure 11A and Figure 11B highlights the significant impact of the proposed 852 approach and shows that the new method provides catchments with more 853 hydrologically correct drainage networks than previous methods. Hence, our method 854 seems to be effective in producing virtual catchments that can be used in the future to 855 precisely examine the influences of different physical parameters of catchments on 856 hydro-sediment dynamics. This illustrates the diversity of issues that can be addressed 857 later through this work, such as the respective roles of landscape evolution and climate 858 variability on the evolution over time of the hydrosedimentary response and its non-859 linear nature (feedback).

860

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869

#### 870 Data Availability Statement

- 871 The data sets used and/or analyzed during the current study are available from the
- 872 corresponding author on reasonable request.

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  Association, 48, 762-773.
- 1176
- 1177

- 1178 Figure 1. Examples of virtual catchments used in numerical case-testing studies. (A)
- 1179 Baartman et al (2013) and (B) Beaujouan et al (2001).

	SIBERIA	CHILD	LAPSUS	CAESAR- Lisflood
Sediment transport equation	Simplified Einstein (1950)	Einstein (1950)	Simplified Einstein (1950)	Einstein (1950) or Wilcock and Crowe (2003)
Number of grain sizes	One	Two (sand and gravel)	One	Nine
Vegetation influence on soil mechanical properties?	Yes	Yes	Yes	Yes
Vegetation influence on soil hydrological properties?	No	Yes	Yes	Yes
Spatial and temporal evolution of land use?	No	Yes	Yes	Yes
Temporal resolution	Annual	Dynamic; can be as low as seconds	Annual	Dynamic; can be as low as seconds

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## **Table 1**: Technical comparison of selected attributes of four LEMs

Figure 2. Overview of the proposed virtual catchments generation method. (A) Generation of topography using a modified version of the Génevaux et al (2013) method and GIS processing procedures. (B) Production of different land use and granulometry scenarios in CAESAR-Lisflood LEM

- **Figure 3.** Constraint slope maps used to generated all of the virtual catchments
- 1187 presented in this paper. (A) Terrain slope map (B) River slope map.

- **Figure 4.** Cumulative area distribution associated to the three virtual catchments shown in figure 5.(A) Weakly, (B) moderately and (C) strongly divided hydrographic network. (D) Cumulative area distribution of the Middle Creak catchment in Australia (after Perera and Willgoose, 1998). The vertical lines in each graph indicate regions
- 1192 with different flow regimes (see text for further explanation).

Figure 5. Maps of three virtual catchments produced with our method by using
drainage densities (Dd) equal to (A) 2.19km/km<sup>2</sup>, (B) 5.51km/km<sup>2</sup> and (C) 8.35km/km<sup>2</sup>.
Cumulative area distributions associated to these catchments are respectively shown
in figure 4.

Figure 6. DEMs derived from figure 5A's DEM by adjusting integral hypsometric to (A)
0.25, (B) 0.65 and (C) 0.75 values. The hypsometric curves of the three virtual
catchments are displayed in graph D.

- 1201 **Figure 7.** DEMs of three virtual catchments derived from figure 6B's DEM by adjusting
- 1202 mean main channel slopes to (A) 0.005, (B) 0.1 and (C) 0.2 values. Note that the
- 1203 altitude values are represented with the same range of values for all three maps.

- 1204 **Figure 8.** Geomorphological map obtained for a virtual catchment example by
- 1205 reclassifying the height geomorphological contexts generated by the Jasiewicz and
- 1206 Stepinski method (2013) into three contexts: plateau, hillslope and valley.

- 1207 **Figure 9.** Examples of soil granulometry scenarios that can be implemented in LEM
- 1208 CAESAR-Lisflood. Setup 1, 2 and 3 present different proportions of fine and coarse
- 1209 particles.

- **Figure 10.** DEM noise reduction by applying the ANUDEM interpolation and smoothing
- 1211 method. (A) Initial DEM (B) DEM produced by ANUDEM re-interpolation/smoothing.

- 1212 **Figure 11.** Topography comparison of (A) commonly produced virtual catchment (Van
- 1213 De Wiel & Coulthard, 2010) (B) virtual catchment produced by our method (C) and a
- 1214 real catchment (Austreberthe, Normandy, France)