

A review on battery thermal management and its digital improvement-based cyber hierarchy and interactional network

Zhang, Y., Liang, F., Li, S., Zhang, C., Zhang, S., Liu, X., Zhao, S., Yang, S., Xia, Y., Lin, J., Guo, B., Cheng, H., Wang, M., Jiang, M. & Wang, D.

Author post-print (accepted) deposited by Coventry University's Repository

Original citation & hyperlink:

Zhang, Y, Liang, F, Li, S, Zhang, C, Zhang, S, Liu, X, Zhao, S, Yang, S, Xia, Y, Lin, J, Guo, B, Cheng, H, Wang, M, Jiang, M & Wang, D 2022, 'A review on battery thermal management and its digital improvement-based cyber hierarchy and interactional network', *International Journal of Energy Research*, vol. 46, no. 9, pp. 11529-11555.

<https://dx.doi.org/10.1002/er.7957>

DOI 10.1002/er.7957

ISSN 0363-907X

ESSN 1099-114X

Publisher: Wiley

This is the peer reviewed version of the following article: Zhang, Y, Liang, F, Li, S, Zhang, C, Zhang, S, Liu, X, Zhao, S, Yang, S, Xia, Y, Lin, J, Guo, B, Cheng, H, Wang, M, Jiang, M & Wang, D 2022, 'A review on battery thermal management and its digital improvement-based cyber hierarchy and interactional network', *International Journal of Energy Research*, vol. 46, no. 9, pp. 11529-11555., which has been published in final form at <https://dx.doi.org/10.1002/er.7957>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions. This article may not be enhanced, enriched or otherwise transformed into a derivative work, without express permission from Wiley or by statutory rights under applicable legislation. Copyright notices must not be removed, obscured or modified. The article must be linked to Wiley's version of record on Wiley Online Library and any embedding, framing or otherwise making available the article or pages thereof by third parties from platforms, services and websites other than Wiley Online Library must be prohibited.

This document is the author's post-print version, incorporating any revisions agreed during the peer-review process. Some differences between the published version and this version may remain and you are advised to consult the published version if you wish to cite from it.

1 A review on battery thermal management 2 and its digital improvement based Cyber 3 Hierarchy and Interactional Network 4 (CHAIN)

5
6 Yulong Zhang ^a, Fengwei Liang ^a, Shen Li ^e, Cheng Zhang ^d, Shifang Zhang ^a, Xinhua
7 Liu ^{b, *}, Shupeng Zhao ^{a, *}, Shichun Yang ^{b, *}, Yuhua Xia ^c, Jiayuan Lin ^b, Bin Guo ^b,
8 Hanchao Cheng ^b, Mingyue Wang ^b, Meng Jiang ^a, Dan Wang ^a

9
10 ^a College of Mechatronical and Electrical Engineering, Hebei Agricultural University,
11 071001, China

12 ^b School of Transportation Science and Engineering, Beihang University, Beijing
13 100191, China

14 ^c Department of Earth Science and Engineering, Imperial College London, London
15 SW7 2AZ, United Kingdom

16 ^d Institute for Future transport and Cities, Coventry University Coventry, Coventry
17 CV1 5FB, United Kingdom

18 ^e Department of Mechanical Engineering, Imperial College London, London SW7 2AZ,
19 United Kingdom

20 **Abstract**

21 The temperature has a significant influence on the performance of Lithium-ion
22 batteries (LiBs). Meanwhile, the heat generated accumulation in the battery can trigger
23 the battery's thermal runaway. Hence, the battery thermal management system (BTMS)
24 is essential to ensure the safe and reliable operation of the battery. This paper
25 comprehensively reviewed key technologies of BTMS and proposed a novel digital
26 solution to improve the battery system performance. Firstly, the heat generation
27 mechanisms and the thermal models were reviewed. Then this paper focuses on a
28 review of liquid cooling thermal management methods. simultaneously, the solid-
29 liquid phase change gas-liquid phase change is also summarized in the phase change
30 material cooling system, and a summary is presented for the existing novel phase
31 change cooling systems. Additionally, the preheating methods to heat the LiBs at low
32 temperatures and the emergency battery thermal barriers upon thermal runaway were
33 discussed. Eventually, a new approach for the BTMS leveraging from the Cyber
34 Hierarchy and Interactional Network (CHAIN) framework is indicated and constructed
35 the digital twin reflecting physical battery to improve the LiBs temperature control
36 strategies. Besides, this paper provides a new direction for the design of BTMS through
37 vehicle-side sensing, edge computing, and cloud-based digital twin three levels.

1 key words

2 Lithium-ion battery; Heat generation mechanism; Battery thermal model; Battery
3 thermal management system; Emergency battery thermal barrier; Cyber Hierarchy and
4 Interactional Network; Digital-twin

5

Nomenclature

q	Heat generation rate, $\text{j}\cdot\text{s}^{-1}$	ρ	Density, $\text{kg}\cdot\text{m}^{-3}$
I	Electric current, A	κ_D	Electrolyte phase diffusion conductivity, $\Omega^{-1}\text{cm}^{-1}$
U	Open-circuit voltage, V	λ	Thermal conductivity, $\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$
V	Cell voltage, V	α	Degree of conversion
T	Temperature, K	<i>Superscripts</i>	
j^{li}	Butler-Volmert current density, $\text{A}\cdot\text{cm}^{-2}$	eff	Effective
a_s	Active surface area per electrode unit volume, $\text{cm}^2\text{cm}^{-3}$	<i>Subscripts</i>	
R	Universal gas constant, $\text{J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$	e	Electrolyte phase
C	Concentration of lithium ions, $\text{mol}\cdot\text{cm}^{-3}$, also dimensionless concentration	s	Solid phase
R_f	Film resistance on the electrodes surface, Ωcm^2	n	negative
A	Electrode plate Area, cm^2	p	positive
V	Cell potential, V	sei	solid electrolyte interface
x	the coordinate across the thickness of the electrode, cm	ne	negative-electrolyte
r	Radial coordinate, cm	<i>Abbreviations</i>	
t	Time, s	AC	Alternating current
D	Diffusion coefficient of lithium species, cm^2s^{-1}	BTMS	Battery thermal management system
R_s	Solid active material particle radius, cm	CHAIN	Cyber Hierarchy and Interactional Network
F	Faraday's Constant, $\text{C}\cdot\text{mol}^{-1}$	DC	Direct current
t_0^+	Transference number of lithium ion	EV	Electric vehicle
σ	Conductivity of solid active material, $\Omega^{-1}\text{cm}^{-1}$	EIS	Electrochemical impedance spectroscopy
L	The thickness of cell, cm	EBTB	Emergency battery thermal barrier
C_p	Heat capacity, $\text{J}\cdot\text{kg}^{-1}\cdot\text{K}^{-1}$	HEV	Hybrid electric vehicles
v	Velocity, $\text{m}\cdot\text{s}^{-1}$	HP	Heat pipe

H	Total enthalpy, kJ. k g ⁻¹	HDMR	High dimensional model representation
W	specific content in jellyroll, kg/m ³	IOT	Internet of things
Q	Heat generation rate, j·s ⁻¹	LiB	Lithium-ion battery
a	frequency factor, 1/s	OHP	Oscillating heat pipe
z	Dimensionless solid electrolyte interface (SEI) thickness	OCV	Open-circuit voltage
E	activation energy, J/mol	OBC	Olefin block copolymer
b	battery	P2D	Pseudo 2 dimensional
Greek symbols		PCM	Phase change material
α_a, α_c	Anodic and cathodic charge transfer coefficients	PDE	Partial differential equations
η	Surface overpotential of an electrode reaction, V	SOC	State of charge
ϕ	Volume averaged electrical potential, V	SEI	Solid electrolyte interface
ε_e	Electrolyte phase volume fraction	TR	Thermal runaway
σ	Conductivity of solid active material, $\Omega^{-1}\text{cm}^{-1}$	TEG	Thermoelectric generators
δ	Thickness, cm	TEC	Thermoelectric cooler
κ	Electrolyte phase ionic conductivity, $\Omega^{-1}\text{cm}^{-1}$		

1

2 1. Introduction

3 With fossil fuel leading to environmental pollution and the exhaustion of non-
4 renewable energy sources, increasing interest has focused on electric vehicles (EVs)
5 and hybrid electric vehicles (HEVs)[1]. Lithium-ion batteries are the most popular
6 power sources in EVs due to their advantages, such as high energy density, high
7 power density, and low self-discharge rate[2–4]. Nevertheless, the performance and
8 safety of LiBs are very sensitive to temperature[5]. Low temperature significantly
9 reduces the performance of LIBs. Therefore, there are still some problems to apply
10 LiBs in cold climate areas, such as reduced driving range and battery life [6–8]. High
11 temperature also has some detrimental effects on battery performances including
12 accelerated capacity, power, and energy losses [9–11]. In addition, the more serious
13 consequence of high temperature is thermal runaway (TR) which could damage the

1 vehicle and endanger passengers' life. So the BTMS is of great importance in
2 achieving high performance and high safety of LiBs.

3 The battery thermal management has two critical issues, heat generation and heat
4 dissipation. In terms of heat generation, different models have been developed, such
5 as electro-thermal model, electrochemical-thermal model and lumped model. For heat
6 dissipation, different BTMS are available, such as the air based, liquid based, phase
7 change material (PCM) based cooling and hybrid cooling system. In general, the heat
8 transfer medium used in BTMS mainly include air, liquid, PCM, and thermoelectric
9 element. Air based cooling is the simplest method, which uses passive or active air
10 flow to keep the battery within the optimal temperature range. There are numerous
11 optimal design strategies to improve the air cooling efficacy. Optimizing the cell
12 spacing can achieve more uniform airflow rates for the parallel air cooling BTMS[12],
13 which obviously improves the cooling performance. The inlet and outlet locations for
14 air based cooling system can be optimized to enhance the cooling performance. For
15 example, experimental data indicate that the symmetrical inlet and outlet set in the
16 middle of the plenums can achieve higher cooling efficacy for symmetrical
17 BTMS[13]. Though air cooling systems have been widely used, the thermal
18 conductivity of air is lower than other cooling medium, which limits its application in
19 automotive. Fluid medium has higher thermal conductivity than air. Therein, the
20 commonly used liquid mediums are water and ethylene glycol. Shang adopted the
21 water and ethylene glycol as the coolant to design the BTMS for battery pack.
22 Wherein, the flow rate is controlled to adjust the cooling rate. The BTMS has
23 effectively restricted the maximum temperature rise of the battery[14]. In describing
24 batteries, the discharge current is often expressed as a C-rate to normalize against
25 battery capacity, which is usually very different between batteries. C-rate is used to
26 measure the rate at which a battery is discharged relative to its maximum capacity.
27 Chaithanya et al. conducted the cooling research on a 20Ah LiFePO₄ battery using
28 COMSOL software. The experiments were carried out by placing the cell between
29 two mini-channel cold plates and conducting bi-directional coupled electrochemical-

1 thermal simulations at different experimental conditions of discharge multiplicity (1-
2 4C) and coolant inlet temperature (15-35°C). Meanwhile, temperatures were collected
3 at ten different locations on the cell surface, and it was found that the measured and
4 predicted values were very close to each other and that the temperature difference
5 between the two was due to the assumption of uniform heat generation in the Li-ion
6 battery model (P2D). The experimental results show that the Li-ion battery model can
7 be used to design battery management systems[15]. Sandeep Dattu Chitta et al.
8 comparatively investigated temperature prediction accuracy for a 20Ah LiFePO₄
9 battery sandwiched between mini-channel cooling plates based on two different
10 simplified cell models (Lumped and 1D electrochemical) models. Ten other locations
11 on the cell surface were selected under different experimental conditions of discharge
12 rate (1-4C) and coolant inlet temperature (15-35°C), and the temperature changes
13 during discharge were compared. The experimental results found that the difference
14 between the Lumped model predicted temperature and the 1D electrochemical
15 predicted temperature was 2.1% at the 1C discharge rate; however, the difference
16 increased to 16.4% at the 4C discharge rate, which is because the Lumped model
17 ignores the cell's internal chemistry and is therefore recommended for the initial
18 design of the BTMS[16]. There are some ways to improve the fluid thermal
19 conductivity, e.g., by adding solid particles to the coolant. Experiments indicated that
20 adding the different volumetric fractions of nanoparticle (Fe₂O₃) to the coolant (water
21 and ethylene glycol) can improve fluids thermal conductivity[17]. The advantages of
22 liquid BTMS include high cooling efficiency, high reliability and high heat capacity.
23 However, one major disadvantage is that it increases the cost and complexity of
24 BTMS due to the pump and other accessories. This can be problematic for small
25 electric cars with limited interior space.

26 PCM-based BTMS can be used to address the liquid cooling issues of cost and
27 complexity, because it doesn't require an extra power source. PCM is generally filled
28 in the gaps between cells[18,19]. V.G. Choudhari et al. investigated the thermal
29 performance of a battery module containing 5x5 Li-ion cells arranged in series and

1 parallel using phase change materials. Four different fin structure layouts, such as
2 Type I, Type II, Type III, and Type IV, were experimentally proposed to improve the
3 cells' internal heat dissipation, and the maximum and average temperature
4 distributions in the battery pack were analyzed. The results show that the type III fin
5 structure can minimize the internal heat build-up. At the same time, the experiments
6 found that the convection effect can reduce the maximum temperature inside the cell
7 and restore the melting fraction of PCM by investigating the impact of convection on
8 the thermal performance of the cell[20]. When the battery temperature is high, PCM
9 can absorb heat from the cells to limit the maximum temperature rise. The stored heat
10 in the PCM can be released when the battery's temperature drops below liquid-solid
11 phase transition point, e.g., as the vehicle travels at night. The advantage of the PCM-
12 based BTMS include broad range of suitable phase change temperature, no consume
13 parasitic energy and low cost[21]. However, one disadvantage is that the latent heat
14 of PCM is limited, so it cannot absorb too much heat. Battery spacing is a key to the
15 performance of PCM based BTMS. As the battery spacing increases, more PCM can
16 be filled in the gaps, which can improve the thermal control efficiency. The hybrid
17 BTMS design that combines PCM/oscillating heat pipe (OHP) also can solve the
18 limited latent heat of PCM[22]. Another disadvantage of PCM-based BTMS is that
19 the leakage of melted PCM also brings some detriment, such as environmental
20 pollution and battery TR[23].

21 Thermoelectric element based BTMS has gradually attracted people's attention
22 owing to the high efficiency. No chemical coolant is used, which avoids leakage
23 problems. A calibrated model of thermoelectric element based BTMS was developed
24 in [24] to investigate its performance. The result indicated the thermoelectric element
25 cooling method can cool the cell with high efficiency and keep a more homogeneous
26 temperature distribution inside the battery module. Cai et al. adopted thermoelectric
27 element cooling in combination with air cooling or liquid cooling that could achieve
28 effectively and actively cooled[25].

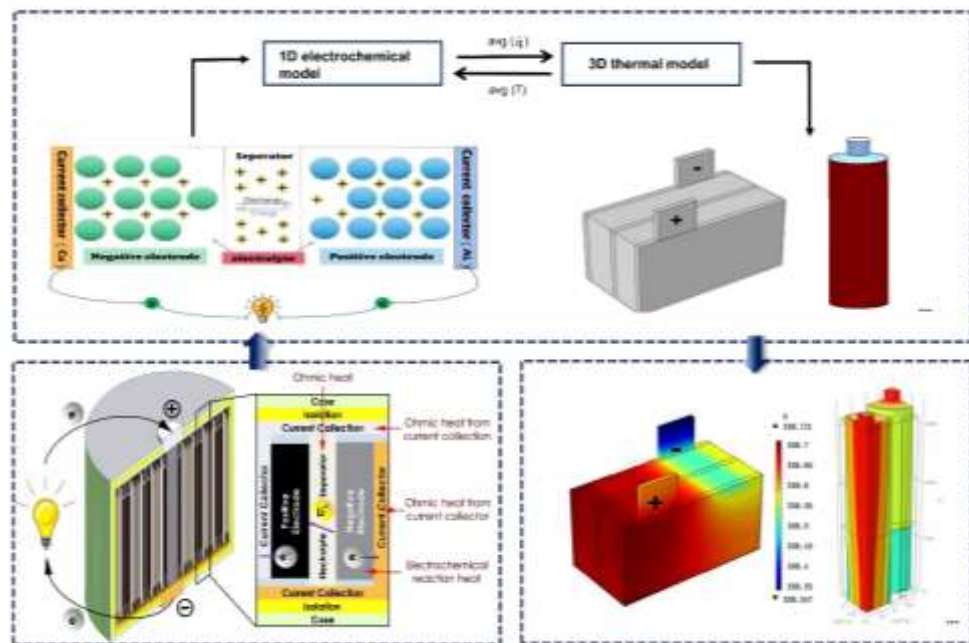
1 In general, BTMS has a three-layer design concept. Firstly, it should ensure the
2 battery operates within the optimal temperature range. Secondly, it should be able to
3 sense the critical edge of battery malfunctions and send the alert information. Finally,
4 once the thermal runaway happens, the relevant measures can suppress thermal
5 runaway propagation effectively[26]. Kanishka Vikram Purohit et al. investigated the
6 soft sensor for a battery pack system based on a two-layer feed-forward artificial
7 neural network, by which the soft sensor was able to accurately estimate the state of
8 charge (SOC), state of energy (SOE), and power loss of the battery pack. The
9 prediction accuracy of the soft sensor is also compared with linear or non-linear
10 regression models and parametric structure models for system identification. The
11 accuracy of the soft sensor is 99.96%, 99.96%, and 99.99% for the battery pack SOC,
12 SOE, and PL test datasets, respectively. It shows that the soft sensor has a higher
13 prediction accuracy[27]. However, the most previous research on battery thermal
14 management methods has focused on model simulation and experimental validation
15 to optimize the thermal management system materials, structure and physical
16 parameters (flow rate, latent heat, viscosity etc.). They have not further combined
17 with the existing artificial intelligence, big data, models, and control algorithms to
18 realize a whole life cycle battery thermal management strategy. Therefore, on the
19 basis of summarizing the existing thermal management methods, this paper proposes
20 a new digital solution, namely the full lifecycle BTMS based on CHAIN, which can
21 be achieved by machine learning, big data, and model fusion etc. This review
22 summarizes the heat generation mechanisms, thermal models, and thermal
23 management methods of LiBs, and proposes a novel strategy that combines battery
24 thermal management with cloud computing.

25 This paper is organized as follow. The heat generation mechanism and heat
26 transfer model of LiBs are presented in Section 2. The three major applications of
27 battery thermal management in EVs are reviewed in Section 3. The major applications
28 are classified as cooling methods, battery preheating at low temperature, and
29 emergency battery thermal barrier, which will be given in Section3.1, 3.2, and 3.3

1 separately. Section 4 presents a novel approach for the full-lifetime BTMS leveraging
 2 from the CHAIN framework, which helps to perfect the temperature control strategy
 3 of LiBs. Finally, the conclusions and directions for future research are given in
 4 Section 5.

5 2. Heat generation mechanisms and thermal models of LiBs

6 Heat generated inside LiBs mainly includes reaction heat, polarization heat, and
 7 joule heat[28]. Under abuse conditions, e.g., when the battery's temperature is too high,
 8 a large amount of heat can also be generated by side reactions, such as electrode
 9 decomposition[29]. In the worst case, with the accumulation of heat generated from
 10 the side reactions, the battery temperature will rise sharply, which eventually will result
 11 in TR.



12

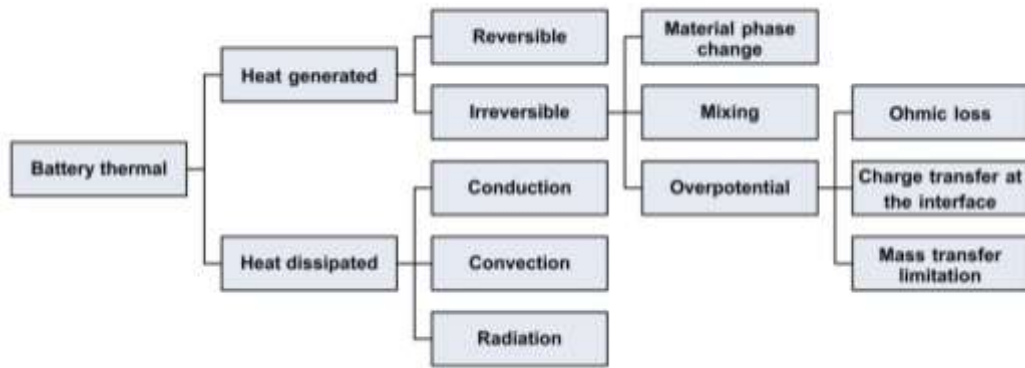
13 **FIGURE 1** Heat generation mechanisms and thermal models of LiBs[30–34]

14 A battery thermal model describes the heat generation and dissipation processes
 15 inside the battery as well as the temperature response. Common thermal models based
 16 on the physical mechanisms include the electro-thermal model, electrochemical-
 17 thermal model, and TR model. In addition, these models based on the dimensions

1 can divide into the lumped model, 1D model, 2D model, and 3D model[35]. The
2 following section introduces the heat generation mechanisms and heat transfer models
3 of LiBs, as it is shown in Fig.1.

4 **2.1 Heat generation mechanism**

5 The performance of LiBs is greatly influenced by temperature. Therefore, it is
6 crucial to grasp the internal heat generation mechanism of LiBs[36,37]. Under normal
7 working conditions, the internal heat generation of LiBs is usually inevitable due to
8 electrochemical reactions during charge and discharge cycles, and also affected by
9 environmental conditions; in the case of thermal runaway, the heat generation of LiBs
10 comes from the undesired side reactions at high temperatures. The categories of heat
11 generation and dissipation within the battery system are depicted in Fig. 2. The open-
12 circuit voltage (OCV) variation with temperature leads to the entropy change,
13 producing the reversible heat [38]; the irreversible heat mainly includes the
14 polarization heat, the heat of mixing, and the phase transition enthalpy. The
15 polarization heat is the heat loss caused by the ohmic polarization, the activation
16 polarization, and the concentration polarization. The heat of mixing comes from the
17 formation and relaxation of concentration gradient during the operation of LiBs. The
18 heat of mixing in the porous electrode can divide into four modes: 1) concentration
19 gradient distribution in spherical particles; 2) concentration gradient distribution in the
20 bulk electrolyte; 3) concentration gradient distribution in the electrolyte within the
21 pores of the electrode; 4) concentration gradient distribution in the bulk electrode.
22 Finally, the phase transition enthalpy that lithium ions insert and de-insert the
23 electrodes lead to entropic changes inside the electrodes is also one of the components
24 of irreversible heat [34,39].



1

2

FIGURE 2 Summary of battery's heat generation and dissipation[40]

3

In the 1980s, Bernardi proposed a calculation model of the heat generation of LiBs assuming that the internal heating of the LiBs is uniform. In this model, the heat generation mainly divided into chemical reaction heat and ohmic heat generated by the internal resistance [41]. Additionally, they through experiment data simplified the LiBs heat generation equation as follows[42]:

8

$$q = I(U - V) - I \left(T \frac{dU}{dT} \right) \quad (1)$$

9

q , I , U and V respectively represent the heat generation, the electric current, the open-circuit voltage and the cell voltage of the LiB. The establishment of this model laid a foundation for future studies of the heat generation rate of LiBs. Most computational models used for their following researches are the prototypes or evolutionary forms of this model.

14

It is an urgent need for battery thermal management to refine the heat generation mechanisms of LiBs at both cell, module, aging and TR levels, especially the non-uniformity of heat generation, and to clarify the heat generation law of LiBs in their full-lifespan. Doh et al. pointed out that the internal heat generation of LiB includes three parts, namely the ohmic polarization heat, the reversible heat, and the irreversible heat. He noted that the heat generation of LiBs mostly depends on the applied current, the internal resistance, the temperature, and the entropy of the battery and indicated a

20

1 method to measure the entropy. The adiabatic slow cooling strategy was used to
2 measure the variation of open-circuit cell potential with temperature, which could
3 evaluate efficiently the entropy of LiBs[43].

4 Wang et al. studied the ohmic resistance, the polarization resistance,
5 electrochemical impedance spectroscopy (EIS) and the heat generation of $\text{Li}_4\text{Ti}_5\text{O}_{12}$
6 within two different life cycles (One was cycled 2000 times at 55°C and swollen, the
7 other is a fresh one). The results showed that the ohmic resistance of the swollen cell
8 was higher than that of the new cell, but the polarization resistance was more minor.
9 The heat generated by the discharging process was greater than that caused by the
10 charging process. In the process of single charge and discharge, the heating rate of the
11 expansion battery was higher than the new battery[44]. The swollen cell released more
12 reversible heat during the charging process, especially at a lower stage of charge. It is
13 consistent with the studies of Giel et al. They pointed out that battery aging impacts on
14 the calorific value and available capacity of LiBs under working conditions[45].

15 According to the internal resistance joule heating model, Yoo et al. derived a
16 simple formula to calculate the irreversible heat of LiBs, which avoided the calculation
17 of complex electrochemical reactions. EIS measurement performed at small intervals
18 in the 0-10% and 90-100% State of charge (SOC) regions to capture the rapid changes
19 of the internal resistance of the LiBs. The analysis showed that the reason for this rapid
20 change is the intercalation of lithium ions into the electrodes. Furthermore, the heat
21 generation of a full-scale battery pack that composed of 280 cylindrical cells was
22 further analyzed. The analysis indicated that the temperatures of the tray predicted by
23 the simulation are consistent with the experimental results. Thus, the feasibility of the
24 thermal model for thermal analyses and the design of full-scale battery systems was
25 confirmed[37].

26 Under battery abuse conditions, the heat generated from the side reactions is
27 mainly produced after the TR. According to the order of reaction temperature rise, the
28 side reactions of LiBs can classify as four categories: the decomposition reaction of

1 solid electrolyte interface (SEI) film, the reaction of lithium with the binder in the
2 anode material, the reaction of the cathode material with the electrolyte, and the
3 decomposition reaction of the electrolyte[46,47]. Under routine runtime conditions,
4 heat generated from the side reactions is so tiny that it can neglect.

5 **2.2 Heat generation and heat transfer model of battery**

6 Since the characteristics of LiBs are particularly sensitive to temperature,
7 revealing the thermodynamics of LiBs is crucial. The thermal models are often applied
8 to study the distribution of temperature fields in LiBs. The electrochemical model
9 quantitatively describes the micro-physical and chemical processes in the LiBs from
10 the principle, which not only can accurately simulate the external characteristics of the
11 battery, but also can simulate the internal behaviors such as lithium-ion migration and
12 diffusion in the electrode and electrolyte. The electrochemical model was first
13 proposed by Doyle, Fuller, and Newman. Based on the theory of porous electrode and
14 concentrated solution, they established the P2D porous electrochemical model[48–50].
15 In this model, it considered that the Lithium-ion transport between the anode and the
16 cathode is one-dimensional during the discharging and charging processes. They are
17 assuming that the active solid material is also composed of spherical particles.
18 Meanwhile, a series of partial differential equations (PDEs) are used to describe the
19 ion transport mechanism in the cell, the mathematical equations governing the charge
20 and mass conservation in solid and electrolyte phases is discussed in details in [51] and
21 summarized in Table 1[34].

22 wherein, the chemical dynamic reaction process inside the battery is described by
23 the Butler-Volmer current density equation, it governs the volumetric rate of the
24 chemical reaction and is can be followed as[52]:

$$25 \quad j^{li} = a_s j_0 \left[\exp\left(\frac{\alpha_a}{RT} \eta\right) - \exp\left(-\frac{\alpha_c}{RT} \eta\right) \right] \quad (2)$$

26 Where the overpotential η is defined as:

1
$$\eta = \phi_s - \phi_e - U(C_{se}) \quad (3)$$

2 and the coefficient j_0 is a function of the surface electrolyte concentration C_{se} and
3 obtained as:

4
$$j_0 = (C_e)^{\alpha_a} (C_{s,max}^{n,p} - C_{se}^{n,p})^{\alpha_a} (C_{se}^{n,p})^{\alpha_c} \quad (4)$$

5 Finally, the cell potential, V , across the cell terminals is determined as follows [53]:

6
$$V = \phi_s(x = L) - \phi_s(x = 0) - R_f \frac{I}{A} \quad (5)$$

7 The heat generation in cells mainly includes three parts, which can be followed by[54]:

8
$$q_o = \sigma^{eff} \left(\frac{\partial \phi_s}{\partial x}\right)^2 + k_{eff} \left(\frac{\partial \phi_e}{\partial x}\right)^2 + k_D^{eff} \frac{\partial \ln C_e}{\partial x} \frac{\partial \phi_e}{\partial x} \quad (6)$$

9
$$q_p = j \left(\phi_s - \phi_e - U_i - \frac{j}{a} R_{film} \right) \quad (7)$$

10
$$q_{rev} = - \frac{j_{int} T \Delta S}{nF} \quad (8)$$

11 Where q_o is the ohmic heat, q_p is the heat generated by the over potential and q_{rev}
12 is the reversible heat. k_D^{eff} is the diffusional conductivity, n is the charge number of
13 lithium ion and ΔS is the entropy change.

14 At present, the classical P2D model is widely used in studies such as battery aging
15 mechanism, state estimation, and fast charging strategy. For example, Rahman et al.
16 proposed a novel particle swarm optimization method to identify the specify
17 parameters of a LiB based on the electrochemical model [55].

18 **Table 1**

Set of PDEs equations describing the P2D electrochemical model and its boundary and initial conditions[34]

Conservation equations	Boundary conditions	Initial conditions
Mass transfer in the solid phase		
$\frac{\partial C_s^{n,p}(x,r,t)}{\partial t} = \frac{D_s}{r^2} \frac{\partial}{\partial r} \left[r^2 \frac{\partial C_s^{n,p}(x,r,t)}{\partial r} \right]$	$\frac{\partial C_s^{n,p}}{\partial r} \Big _{r=0} = 0,$	$C_s^{n,p}(x, r, t_0) = C_{s_0}^{n,p}(x, r)$
Mass transport in the electrolyte	$\frac{\partial C_s^{n,p}}{\partial r} \Big _{r=R_s^{n,p}} = \frac{-j^{li}}{D_s a_s F}$	$C_e(x, t_0) = C_{e0}(x)$

$$\frac{\partial \varepsilon_e C_e(x,t)}{\partial t} = D_e^{eff} \frac{\partial^2 C_e(x,t)}{\partial x^2} + \frac{1-t_a^+}{F} j^{li} \quad \frac{\partial C_e^n}{\partial x} \Big|_{x=0} = 0, \quad \frac{\partial C_e^p}{\partial x} \Big|_{x=L} = 0 \quad \phi_e(x, t_0) = \phi_{e,0}(x)$$

$$\text{Potential in solid electrodes} \quad \frac{\partial \phi_s(x, t)}{\partial x} \Big|_{x=0,L} = \frac{-I}{A\sigma^{eff}} \quad \phi_e(x, t_0) = \phi_{e,0}(x)$$

$$\sigma^{eff} \frac{\partial^2 \phi_s(x, t)}{\partial x^2} = j^{li} \quad \frac{\partial \phi_s(x, t)}{\partial x} \Big|_{x=\delta_n, \delta_n+\delta_{sep}} = 0$$

$$\text{Potential in electrolyte} \quad \frac{\partial \phi_e(x, t)}{\partial x} \Big|_{x=0,L} = 0$$

$$k^{eff} \frac{\partial^2 \phi_e(x, t)}{\partial x^2} + k_D^{eff} \frac{\partial^2 \ln C_e}{\partial x^2} = -j^{li}$$

1 Although the P2D electrochemical model could accurately describe the
2 electrochemical behavior of LiBs, there is a lack of thermal behavior calculation and
3 calibration of thermal model parameters. The thermal behavior of LiBs can be
4 simulated by thermal models, for which the key is the calculation of the heat generation
5 and the temperature field distribution. There are two main types of heat generation rate
6 calculation models, namely the distributed heat generation model and the lumped heat
7 generation model[56,57]. Based on distributed heat generation model and P2D
8 electrochemical model, an electrochemical-thermal coupling model was proposed[58–
9 60]. This coupling model not only uses the simulation results of the electrochemical
10 model to calculate the heat generation rate and battery temperature, but also uses the
11 calculated temperature to modify the thermal-related electrochemical parameters of the
12 electrochemical model. The electrochemical-thermal coupling model mainly uses the
13 Arrhenius equation (Eq. 9) or measured data to modify the thermal-related
14 physicochemical parameters such as solid-phase diffusion coefficient, liquid phase
15 diffusion coefficient, effective conductivity of the electrolyte, and the rate constant of
16 electrochemical reactions[31,34,61].

$$17 \quad \phi = \phi_{ref} \exp \left[\frac{E_a}{R} \left(\frac{1}{T_{ref}} - \frac{1}{T} \right) \right] \quad (9)$$

1 where ϕ and ϕ_{ref} are the values of the parameters under current and reference
2 temperature respectively, R is the molar gas constant, T and T_{ref} are the
3 thermodynamic temperature and reference temperature, E_a is the apparent activation
4 energy.

5 The electrochemical-thermal coupling model describes the ohmic heat,
6 polarization heat, and entropy of LiBs from the perspective of electrochemical reaction
7 heat generation and mainly simulates the internal temperature of the battery under
8 normal working conditions. Most of the electrochemical-thermal coupling models are
9 based on the conservation of material and energy, assuming that the current distribution
10 in battery is uniform. Due to the fact that the difference of current gradient inside the
11 battery is neglected, these models are only suitable for small batteries to ensure the
12 accuracy of the simulation results.

13 The electro-thermal coupling model is suitable for studying the thermal safety
14 problems caused by the current inconsistency of LiBs. It is often based on the
15 electrochemical-thermal coupling model to improve the accuracy of the model. Based
16 on the principles of electron conduction, mass transfer, energy conservation, and
17 electrochemistry, Ye et al. established an electro-thermal coupling model to predict the
18 diffusion rate of lithium ions and the chemical reaction rate of cathode materials,
19 which showed excellent agreement between the modeling results and experimental
20 results[62]. Chen et al. established the electro-thermal coupling model of the battery
21 pack and realized the accurate prediction of the battery pack temperature[63].

22 Multi-field coupling models were developed. Zhang et al. proposed a typical
23 sandwich model for the mechanical-electric-thermal coupled simulation of LiBs. This
24 model predicted the initial temperature of TR caused by mechanical extrusion. In
25 addition, they also explored the evolution law of voltage and temperature caused by
26 mechanical extrusion[64]. Yang et al. indicated an electrochemical-thermal-
27 mechanical coupling model to study the aging mechanism of LiBs. Based on the

1 previous electrochemical-thermal model, this model introduces the influence of
 2 mechanical stress on TR. The stress-strain equation is as follows:

$$3 \quad \varepsilon_r = \frac{1}{E}(\sigma_r - 2\nu\sigma_\theta) + \frac{1}{3}\Omega C_s \quad (10)$$

$$4 \quad \varepsilon_\theta = \frac{1}{E}[(1 - \nu)\sigma_\theta - \nu\sigma_r] + \frac{1}{3}\Omega C_s \quad (11)$$

5 Where E is Young's modules, ν is Poisson's ratio, Ω is the partial molar volume
 6 of the solute, ε_r and ε_θ are the radial and tangential strains, σ_r is the radial stresses,
 7 σ_θ is the tangential stresses. The model considered not only the side reactions of the
 8 anode but also the loss of active materials of the cathode. The aging behavior of LiBs
 9 under different discharge rates and ambient temperatures was studied using this model,
 10 which showed that the main reason for battery aging under high discharge rates is the
 11 loss of active materials [54].

12 Studies on the heat transfer of LiBs are mainly concerned with the temperature
 13 distribution inside the battery and the heat dissipation between the battery and the
 14 ambient environment. The heat transfer equation as shown in (Eq. 12)

$$15 \quad \rho C_p \left(\frac{\partial T}{\partial t} + v_e \cdot \nabla T \right) \approx \frac{\partial(\rho C_p T)}{\partial t} = \nabla \cdot \lambda \nabla T + q \quad (12)$$

16 Therein, ρ expresses the average density of the battery. C_p expresses the
 17 average heat capacity under constant pressure. v_e is the electrolyte velocity. λ is the
 18 average thermal conductivity in all directions and q is the heat generation rate[30]. At
 19 present, most studies assume that the cell is solid, ignoring the heat transferred by the
 20 convection between the electrolyte and the porous electrode. The external heat
 21 conduction of LiBs mainly takes into account that the heat transfers between the LiBs
 22 and high thermal-conductive media, such as the heat transfer between the cold plate
 23 and the battery, and heat transfer between the cold plate and the cooling medium. Most
 24 studies ignore the radiation effect of LiBs on the ambient environment, which is
 25 reasonable since the actual battery pack is often surrounded by thermal insulation
 26 materials[65,66]. To study LiBs heat transfer, it is difficult to use analytical methods to

1 solve the complex heat transfer process coupling multi-physical phenomena, such as
2 solid heat conduction differential equation, Navier-Stokes equation, phase change, and
3 soon. Therefore, the finite element method is often used to solve these problems.
4 However, this method consumes massive computational resources and is not suitable
5 for online applications[67,68]. Some scholars proposed the equivalent thermal
6 resistance network model to study the heat transfer of the LiBs[69–72]. In this method,
7 the similarity principle is used to simulate the physical processes with equivalent
8 circuits. The amount of calculation is significantly reduced, but the distribution of the
9 battery current field is often ignored. Hence the accuracy of the result is limited[73].

10 The thermal safety of LiBs limits EVs' applications. TR is often triggered by
11 various abuse, including thermal abuse, electrical abuse, and mechanical abuse[74].
12 Some extreme environmental conditions may also cause high internal battery
13 temperatures and trigger a series of side reactions. The accumulation of heat generated
14 by the side reactions will make the temperature rise sharply, which then will further
15 aggravate the process of side reactions and eventually lead to TR safety problems. The
16 thermal abuse model plays a part in understanding the mechanisms of TR, improving
17 vehicle safety, and TR warning. LiB thermal abuse models are generally based on the
18 traditional electrochemical-thermal coupling model, along with possible TR side
19 reactions and the associated heat generation within the battery. These TR thermal
20 models can simulate and predict the evolution process of TR under the condition of
21 thermal abuse. According to model proposed by Hatchard[75], the total heat generation
22 rate of electrochemical side reactions during the thermal runaway process is
23 approximately the Arrhenius equation as follows:

$$24 \quad q_{de} = V\Delta Hc^n A \exp(-E_a/RT) \quad (13)$$

25 Where V is the volume of component substances of a LiB, ΔH is the heat
26 generated by electrochemical side reactions, C is the reactant's concentration
27 involved in electrochemical side reactions, n is the reaction order, E_a is the
28 activation energy, R is the universal gas constant, and T is the reaction temperature.

1 The common heat generation equations in the process of TR are summarized in Table
2 2.

3 Additionally, Qu and Rao et al. conducted in-depth researches in the field of
4 thermal safety, and recently summarized the inducements of TR over a wide
5 temperature range and also proposed relevant solutions[76]. Feng et al. introduced a
6 TR propagation model, which is consistent with the experimental results and could
7 provide corresponding solutions for suppressing TR[77]. To investigate the TR
8 propagation behaviors in the battery pack, Jiang created a lumped thermal resistance
9 network, which is based on the heat transfer characteristics of LiB packs. In their study,
10 TR propagation features were discussed with different TR trigger locations, and the
11 TR prevention effect of PCM was evaluated. The proposed prediction method shows a
12 good ability to resolve the TR propagation problem [78].

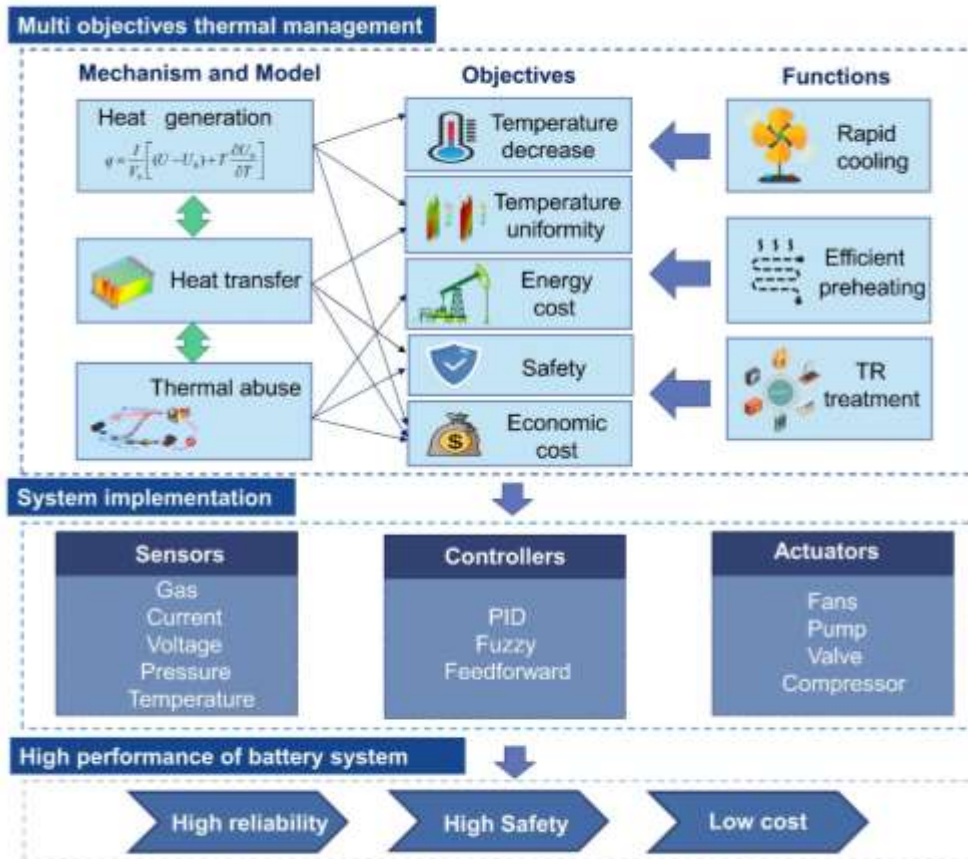
13 **Table 2**

Thermal runaway reaction equation[79].

Reaction stage	Reaction temperature	Reaction equation
Solid electrolyte interface (SEI) decomposition	70°C	$Q_{sei}(T_b, C_{sei}) = H_{sei}W_{sei}a_{sei}\exp\left(\frac{-E_{sei}}{RT}\right)C_{sei}$ $\frac{dC_{sei}}{dt} = -a_{sei}\exp\left(\frac{-E_{sei}}{RT}\right)C_{sei}$
Reaction of negative-electrolyte	120°C	$Q_{ne}(T_b, C_{ne}) = H_{pe}W_{ne}a_{ne}\exp\left(\frac{-E_{ne}}{RT}\right)\exp\left(\frac{-z}{z_0}\right)C_{ne}$ $\frac{dC_{ne}}{dt} - a_{ne}\exp\left(\frac{-E_{ne}}{RT}\right)\exp\left(\frac{-z}{z_0}\right)C_{ne}$ $\frac{dz}{dt} = a_{ne}\exp\left(\frac{-E_{ne}}{RT}\right)\exp\left(\frac{-z}{z_0}\right)C_{ne}$
Reaction of positive-electrolyte	200°C	$Q_{pe}(T_b, C_{pe}) = H_{pe}W_{pe}a_{pe}\exp\left(\frac{-E_{pe}}{RT}\right)\alpha(1-\alpha)$ $\frac{dC_{pe}}{dt} = a_{pe}\exp\left(\frac{-E_{pe}}{RT}\right)\alpha(1-\alpha)$
Reaction of electrolyte decomposition	230°C	$Q_e(T_b, C_e) = H_eW_ea_e\exp\left(\frac{-E_e}{RT}\right)C_e$ $\frac{dC_e}{dt} = -a_e\exp\left(\frac{-E_e}{RT}\right)C_e$

1 **3. Application of BTMS in EVs**

2 BTMS has many components as shown in Fig.3, including sensors, controllers,
3 actuators and the primary functions have rapid cooling, efficient preheating, TR
4 treatment and communication etc. The goal of BTMS includes temperature reduction,
5 uniform temperature distribution, reduction of energy consumption, economic costs,
6 and improved safety. The function of BTMS plays a vital role because it can protect
7 the battery by always maintaining a safe working range to reduce side effects such as
8 battery power and capacity decline. The detailed data of the battery, such as terminal
9 voltage and battery current, are captured by the cell monitoring function. The data
10 collected in real-time can predict the state of the battery in the next stage[40]. In the
11 following part of this section, which mainly introduces the application of thermal
12 management in EVs, including cooling methods, preheating at low temperature, and
13 TR treatment. Additionally, the comparison of several different thermal management
14 technologies is summarized in Table 3.



1

2

FIGURE 3 Multi-objective optimization scheme for BTMS[77,80,81]

3.1 Cooling methods

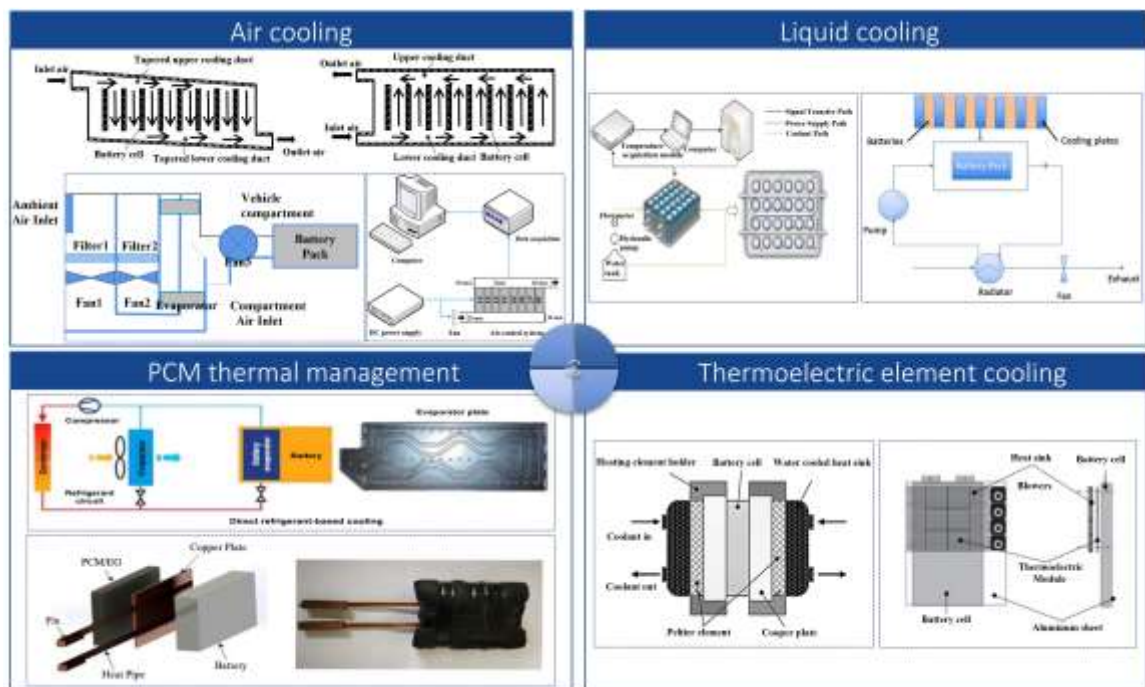
BTMS can divide into four categories as depicting in Fig. 4: air cooling, liquid cooling, PCM thermal management, and thermoelectric element cooling. PCM thermal management includes solid-liquid phase change, gas-liquid phase change, and heat pipe (HP) cooling. Air cooling mainly focuses on natural cooling and forced air cooling. Cooling of thermoelectric elements focuses on Peltier effect cooling.

3.1.1 Air cooling system

Air cooling has several advantages, such as simplified accessories, lightweight and convenient maintenance. It is because of these advantages in the field of EVs that air cooling systems have been widely used. [82], for example, Mazda Demio EV,

12

1 Toyota Prius, Honda Insight, Nissan leaf, and BYD F3DM[40]. Nissan leaf pure EV
 2 adopts a passive battery pack thermal management system. With the optimized
 3 electrode design, the internal impedance of the LiB and the heat generation rate
 4 reduced simultaneously. At the same time, the thin layer (the thickness of the cell is
 5 7.1mm) structure makes the heat inside the battery less to accumulate, which reduces
 6 the demand for the complex thermal management system[83]. The cooling capacity of
 7 the battery air cooling system can be significantly improved by integrating the battery
 8 air conditioning system with the vehicle air condition system. Yet, it needs to
 9 coordinate the different environment requirements of the battery and the passenger
 10 compartment. Tao et al. proposed a forced convection air cooling intelligent control
 11 thermal management system integrated with the vehicle air conditioning system. The
 12 system established a lumped parameter cylindrical battery thermal model and used
 13 Kalman observer to estimate the transient surface and internal temperature changes.
 14 The inlet cooling air temperature of the battery was optimized using the optimal control
 15 theory, and the model predictive controller was developed to adjust the refrigeration
 16 compressor in order to track the ideal cooling air temperature[84].



17
 18 **FIGURE 4** Cooling methods for LiBs[12,26,67,85–90]

1 **3.1.2 Liquid cooling system**

2 In the application of high-performance EVs, air cooling cannot meet the demand
3 for high-power battery heating and cooling. Because the specific heat capacity and
4 thermal conductivity of liquid are greater than that of air, the thermal management
5 system with liquid as the medium has been widely adopted. In general, liquid-based
6 BTMS has high thermal conductivity and can be classified into direct-contact and
7 indirect-contact cooling according to whether the battery contacts the cooling medium
8 directly[40]. The first method is mainly referring to immersing the battery in the
9 cooling oil. This cooling method is widely used in electronic components. The second
10 method is achieved via the contact of the battery with a cold plate filled with the
11 cooling liquid for heat dissipation. In this way, the battery is cooled by the cooling
12 cycle composed of the pump, valve, and pipeline. Although direct contact mode has
13 higher thermal performance, direct contact between the battery and the cooling oil is
14 not practical in vehicle battery modules. In addition, the viscosity of indirect contact
15 coolant such as glycol/water is lower than that of the cooling oil. A higher flow rate
16 can be obtained by the fixed pump. Therefore, the indirect contact method has been
17 widely adopted and become the major cooling method for commercial EVs. Tesla EVs
18 adopt a liquid cooling system, which uses rows of S-shaped cooling isolation plates to
19 increase the contact area between the cells and the cold plate[91]. GM volt EV also
20 adopts a liquid cooling method, which is a typical liquid cooling system configuration
21 of the soft pack or square battery. In this system, between each pair of batteries
22 arranged a cold plate, microchannel placed on the cold plate, and manifolds set on both
23 sides to provide coolant for the cold plate. The pressure loss in the cold plate is much
24 greater than the manifold pressure[92].

25 The research of liquid cooling systems mainly includes three aspects: cooling
26 system configuration, heat flow control parameters, and new types of coolant. E et al.
27 proposed a BTMS with a rectangular channel cold plate[93]. The modeling results
28 showed that the best combination of parameters was: 45 mm for the channel width, 5

1 mm for the channel height, 4 for the channel number, and 0.07 m/s for the cooling
2 liquid flow rate. On this basis, the average temperature and temperature difference of
3 the cooling plate is the smallest. Mohammed et al. presented a dual-purpose cooling
4 plate for prismatic batteries, which can prolong the service life and safety of batteries
5 in vehicle applications[87]. The operating temperature of batteries can keep within a
6 suitable temperature range through the cooling plate. On the one hand, the measure
7 could increase the battery life. On the other hand, it could effectively control the heat
8 generated during the TR. The system adopted a coolant with 60% ethylene glycol in
9 water. Under the condition of 20°C and 0.2L/min, the surface temperature of the battery
10 could keep below 25°C. And it can control the temperature of the battery in the TR
11 period in 30 seconds to about 75°C when the coolant is 20°C and the coolant flow rate
12 is 30L/min. In addition, new cooling fluids such as nanofluids and metallic fluids have
13 gradually attracted great interest from relevant scholars due to their advantages, such
14 as high thermal conductivities and low power consumption. Kiani et al. selected three
15 nanoparticles—alumina (Al₂O₃), copper oxide (CuO), and silver oxide (AgO) for the
16 preparation of properly stable nanofluids to analyze the BTMS for pouch LiBs
17 modules[94]. Test results demonstrated that the suspended particles in the nanofluid
18 causes increasing in its thermal conductivity, hence, the existence of suspended
19 particles in cooling fluid enhances the heat transfer efficiency. Although liquid cooling
20 can control the temperature of LiBs effectively, its system design is more complex to
21 protect the pack from liquid leakage and increases power consumption[95].

22 **3.1.3 PCM cooling system**

23 PCM brings convenience to the implementation of BTMS. It can absorb a lot of
24 heat in the process of phase transition while keeping the phase change temperature
25 unchanged for a long time[96,97]. This system has the advantages of passively
26 buffering high temperatures and extending battery life[98]. Phase transition thermal
27 management system can divide into solid-liquid phase change type and gas-liquid
28 phase change type according to the PCM. The gas-liquid phase change includes direct

1 cooling and HP cooling.

2 The solid-liquid PCM BTMS was first proposed by Al hallaj in 2000[99]. Many
3 researchers have indicated that solid-liquid phase transition thermal management is an
4 efficient and feasible low-cost thermal management technology[100–103]. When the
5 battery temperature is below the phase transition point, the PCM can release heat to
6 maintain the battery temperature, thus achieving thermal management. This method
7 does not need additional circulating refrigeration equipment. However, the low thermal
8 conductivity, the drift of phase change point, and the deformation caused by thermal
9 stress after multiple thermal cycles of PCMs limit the application of the solid-liquid
10 phase change method. To improve characteristic of thermal management, nanoparticles,
11 fins and porous metal foam are adopted beside the PCM. With the two heat generation
12 rates (4.6W, 9.2W), though comparing with adding nanoparticles into the PCM, using
13 different numbers of fins, and employing the metal foam on the thermal management
14 system performance are researched. The experiment found that the porous-PCM
15 compound present more excellent cooling performance than the nanoparticle-PCM and
16 fin-PCM ones, the average temperature of the cell decreased by 4K and 6K[104]. The
17 other research applying PCM (n-eicosane) combined with nanoparticles volume
18 fractions of 5% Al₂O₃ and three horizontal radial copper fins can obviously improve
19 the melting time up to 28.3%, it greatly improves the issue of low thermal conductivity
20 of the PCM[105]. Wu and coworkers showed an innovative and facile BTMS based on
21 flexible composite PCM, therein, the composite PCM involving paraffin, olefin block
22 copolymer (OBC) and swollen graphite. The OBC and swollen graphite was applied
23 as the supporting component and thermal conducting component, respectively. The
24 mentioned BTMS presented an excellent thermal control performance, When the
25 battery is discharged from 100% to 0%, it shows higher cooling performance than that
26 without PCM, particularly at high discharge rate (2.5C)[106]. The difference between
27 thermal management using composite PCMs and traditional thermal management
28 methods, the thermal and mechanical properties of composite materials, and the
29 application of composite PCMs in the thermal management of batteries are generally

1 studied[107–110]. A novel thermal management strategy is proposed to enhance the
2 mechanical molding property and prevent paraffin leakage to some extent[88]. The
3 BTMS based on the composite materials of swollen graphite and paraffin and
4 polyethylene configured with low fins has the high surface heat transfer capability.
5 Additionally, the composite PCM indicated much better mechanical properties and
6 cooling effect in comparison to swollen graphite and paraffin composition and air
7 cooling. At the discharge rate of 3.5C, the as-constructed battery pack based on
8 composite PCM effectively keep battery temperature within 50°C and temperature
9 difference of 5°C for LiBs. However, PCM can only absorb heat passively. Under
10 extreme conditions, such as high ambient temperature and high heat flux, the depletion
11 rate of effective phase change enthalpy is speedy. Once it is exhausted, the thermal
12 management system may fail. The hybrid cooling methods based on PCM combined
13 with other cooling strategy, such as liquid cooling and HP system, can effectively
14 alleviate the above issues. Research constructed the water-based N-eicosane particles
15 (130nm) emulsion flow in two multi-channel heat sinks with eight parallel and
16 divergent rectangular mini-channels. Therein, the channel was installed at an angle of
17 2.06°. It is found that compared with pure water, nano-PCM emulsion can obviously
18 increase the heat transfer performance of heat sink. With $Re_{bf} = 295$ (i.e., Reynolds
19 number of base liquid) and pump power is 3.21 W/cm², the heat transfer enhancement
20 is up to 13.8% in the divergent mini-channel heat sink[111]. Additionally, the PCM (N-
21 eicosan) was added in the mini-channel cold plate as hybrid mini-channel cold plate
22 that has also improved the heat transfer rate, under the heat generation rate is 400
23 kW/m³ and the water inlet is 0.01 m/s with 298.15 K temperature, by comparing the
24 mini-channel cold plate whether contains PCM to analyzed the cooling performance.
25 The results shown that the temporal average of the maximum battery temperature in
26 hybrid cooling system are 0.06, 1, and 10.35K lower in comparison to mini-channel
27 cold plate cooling in $Q = 100, 200, \text{ and } 400 \text{ kW/m}^3$, respectively[112]. In terms of
28 hybrid thermal management optimized method, [79] applied the Kriging-High
29 dimensional model representation (HDMR) method to establish a surrogate model of

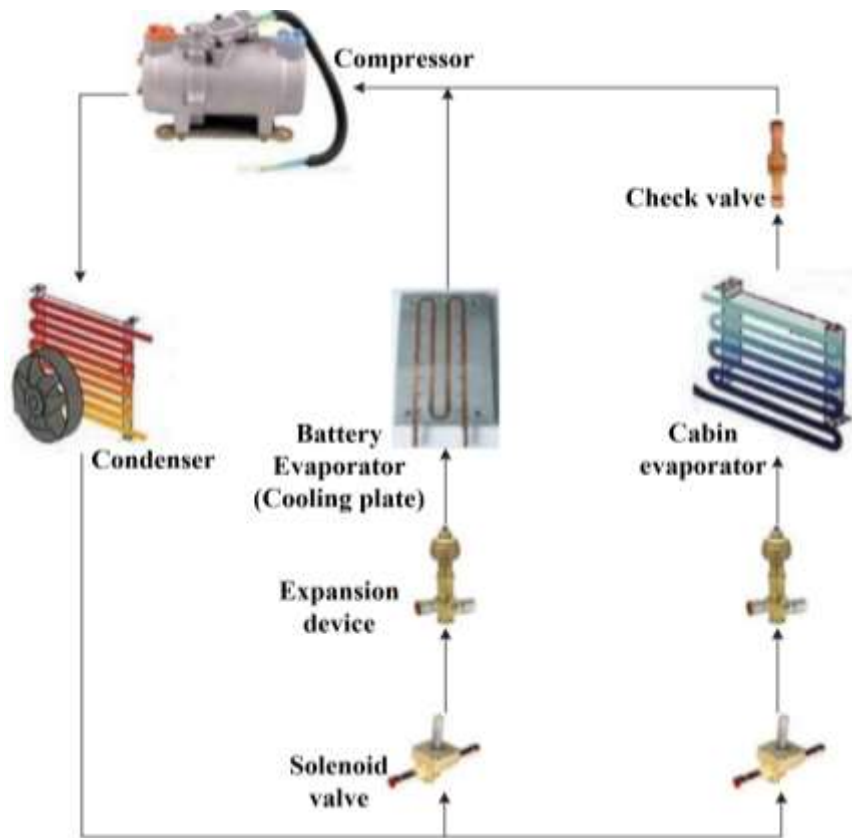
1 the hybrid BTMS. Therein, the hybrid BTMS based on PCM, liquid cooling and HP is
2 researched. Moreover, the main sensitive factors and temperature difference of battery
3 are determined by global sensitivity analysis. And the multi-objective particle swarm
4 optimization is adopted as the optimization strategy for thermal management system.
5 Under the 5C discharging-1C charging process and TR conditions, the optimized
6 hybrid BTMS has the best heat dissipation characteristic with a highest cell
7 temperature of 30°C and the lowest temperature difference (2.31°C). The optimized
8 results shown that the surrogate model based on Adaptive-Kriging-HDMR strategy can
9 guarantee high calculation accuracy and decline the hybrid BTMS of calculation cost.
10 In conclusion, through PCM combining other cooling strategy can effectively alleviate
11 the low latent heat issues of PCM.

12 The HP is a kind of high-performance thermal transfer element based on gas-
13 liquid phase change. It uses the evaporation and condensation of refrigerant to transfer
14 heat. It has high directional thermal conductivity, and its thermal conductivity is higher
15 than any known metals. As a result, it has been widely used in many industrial
16 fields[113]. Gan et al. proposed an equivalent thermal resistance model for the BTMS
17 of HP[114]. Liang proposed a battery module thermal management system using HP
18 and discussed its thermal performance and electrochemical performance through
19 experiments and models. The research results indicated that HP has a good application
20 prospect for battery cooling[115]. The HP also can couple with other kinds of cooling
21 systems such as PCM. As reported in literature[116], the as-constructed HP-assisted
22 PCM based battery thermal management is feasible and effective with a relatively
23 longer operation time and more suitable temperature. Compared with PCM based
24 modules, the HP-assisted PCM battery module operates longer when it reaches the set
25 temperature of 50°C. Additionally, a sandwich structure consisting of a battery, PCM,
26 and HP was proposed by Jiang. The lumped thermal model considered the PCMs
27 melting and the thermal response of the HPs, and revealed the underlying coupling
28 mechanism of battery temperature and phase change process at different environment

1 temperatures, different heat transfer coefficients at condensation section, and different
2 thickness ratios of PCM and battery[117].

3 The refrigerant direct cooling system is a novel BTMS, which also uses gas-
4 liquid phase change to transfer heat[118–120]. This system can combine the air
5 conditioning system with the battery cooling system. It dramatically reduces the
6 complexity of the secondary liquid heat exchange cooling system with multiple
7 branches[26]. In the direct cooling thermal management system, the evaporator cold
8 plate directly installs in the battery module. The refrigeration cycle is realized by
9 connecting the refrigerant circuit with the existing air conditioning system. The
10 evaporator cold plate and the air conditioner evaporator are in two different positions
11 in parallel refrigeration cycles[121]. As shown in Fig. 5, Shen et al. propose a new
12 synergistic control of a direct cooling system with an on-board air conditioning system
13 to enhance the performance of battery thermal management. In particular, the system's
14 thermal response, energy efficiency, and irreversibility are analyzed based on the
15 vehicle system framework for high ambient temperature and high speed dynamic
16 conditions. A mathematical simulation model of the refrigerant-based BTMS was
17 developed and analyzed its performance under different pressure conditions based on
18 AMESim. Under high temperature and high speed conditions, this direct cooling
19 system can effectively reduce the temperature rise of the cells ($\approx 25^{\circ}\text{C}$) due to the large
20 amount of latent heat that accompanies the phase change process. The temperature
21 uniformity between the cells can also be well ensured ($\approx 3^{\circ}\text{C}$)[122]. However, since
22 one refrigerant loop is connected to two evaporators simultaneously, the different heat
23 load requirements of the passenger compartment and the battery system may lead to
24 contradictions in thermal control strategies. If there is a conflict between these two
25 requirements, the thermal comforts of passengers may be affected because for safety
26 reasons, the priority of using refrigerant is given to the BTMS[123]. Therefore, a multi-
27 objective cooperative thermal control strategy is fundamental to balance the thermal
28 demands of batteries and passengers. In addition, since the compressor must

1 continuously operate regardless of the cabin air conditioning, the system may have a
2 high power consumption.



3

4

FIGURE 5 Diagram of the coupling thermal management system[122]

5

3.1.4. Thermoelectric element cooling system

6

The cooling system of the thermoelectric elements have been widely studied for
7 its clean energy and environmentally friendly management[124]. Thermoelectric
8 generators (TEG) can convert heat into electrical energy, and the excess heat can
9 convert to power other devices. The thermoelectric cooler (TEC) can convert electrical
10 energy into heat energy to provide heating and cooling for various electrical equipment.

11

In recent years, automobiles have already used the TEC for auxiliary functions. For
12 example, the temperature control in the cabin of EVs and the heating and cooling seats
13 in a luxury car is also suitable for BTMS[125]. TEC consists of P-type and N-type

14

semiconductors matrix. This component uses current to pass through a circuit

1 composed of the TEC matrix to generate heat between the two semiconductors by the
 2 Peltier effect [126]. Liu et al. indicated a novel BTMS that combining TEC with liquid
 3 cooling and established a thermal model of a battery, and a TEC and calibrated the
 4 model with experimental data[127]. Troler et al. researched the effect of artificially
 5 induced temperature gradients on cell performance by using TEC to maintain the
 6 temperature gradient of the LiBs under isothermal and non-isothermal conditions[128].
 7 The thermoelectric thermal management system can accurately control the temperature.
 8 However, it consumes a large amount of energy due to its low efficiency.

9 **Table 3**

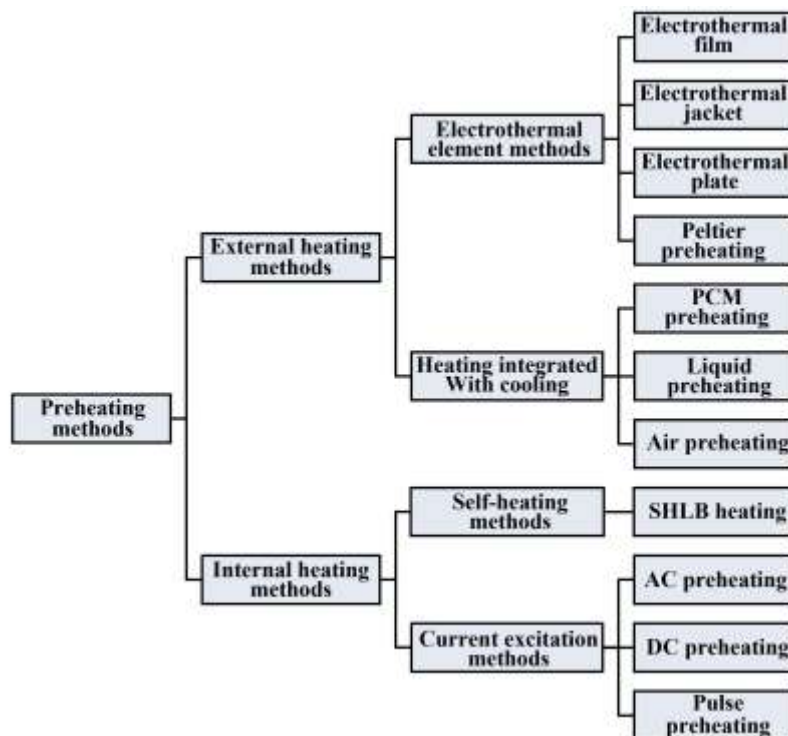
The battery thermal management technology comparison

reference	Cooling methods	subject	Merit	Demerit
Chen and Song.[24] in 2019	Air-cooling	Optimizing cell spacing of pack in the parallel air-cooled	The optimal system performs good for various inlet airflow rates, high stability.	The experimental conditions are relatively single, and many variable factors need to be determined.
Chen et al. [25] in 2019	Air-cooling	The influence of inlet region and outlet region for cooling performance	The experimental is easy to implement and the model has high accuracy.	There are few comparative experiments, more schemes should be adopted for comparison.
Shang et al. [19] in 2019	Liquid cooling	Thermal management system based on liquid cooling	Low computational cost, BTMS has the high reliability.	The influence of many physical properties of coolant on the system needs to be further studied.
Kiani et al. [98] in 2020	Nanofluids liquid-cooling	Application of nanofluids in liquid cooling	The comparative experiment is representative and reliable	The effect of nanoparticle diameter on the experimental results was not considered in this experiment.
Heyhat et al. [108] in 2020	PCM cooling	Composite PCM use in battery thermal management	High model accuracy, the experimental and simulation results has the excellent fitting	The effect of distribution of nanoparticles in composite PCM on cooling performance needs to be further studied

Zhang et al. [80] in 2021	Hybrid cooling	Application of surrogate model in hybrid BTMS	High calculation accuracy, low calculation cost	The experiment only studied the cooling effect of a single battery
Liao et al. [129] in 2021	Thermoelectric element cooling	BTMS used TECs	Strong adaptability, wide operating temperature range.	Complex structure, lack of experimental verification
Lyu et al. [130] in 2019	Thermoelectric element cooling	TECs are used for thermal control in LiBs	Rapid and accurate cooling strategy	There are many interference factors in the experimental content, and the experimental has limitations.

1 3.2 Preheating works at low temperature

2 The performance of the LiBs will significantly reduce at low temperatures. Hence
3 it is necessary to preheat the LiBs in EVs at low temperatures. Generally, preheating
4 methods included external heating and internal heating, as presented in Fig. 6. The
5 external heating system is usually the most common and simplest method [131,132] but
6 requires the radiation heater and is complex in structure. Internal heating system can
7 reach the predetermined temperature quickly, but the safety risk is high [133].



1

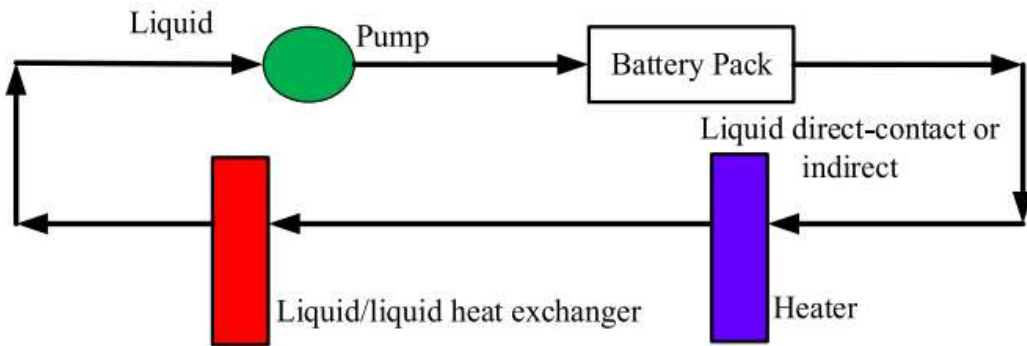
FIGURE 6 Classifications of battery preheating methods [134]

2 **3.2.1 External heating**

3 For all types of batteries, air preheating is a suitable method and has been widely
4 used in EVs [135–137]. However, the air circulation requires the configuration of the
5 fan and flow channels, which will increase the complexity and reliability issues of the
6 BTMS. The noise produced by the fans could cause the poor comfort of the driver and
7 passengers to a certain extent. Moreover, because of the low thermal conductivity of
8 air, whether it is passive or active air heating, this will extend the working time for
9 preheating the battery.

10 The liquid preheating system has an ideal preheating effect due to its higher
11 thermal conductivity and heat transfer rate, but the preheating system as a whole is
12 relatively complicated[138]. Most of the liquid preheating system includes heaters and
13 heat exchangers, among which the cell contacts the heat exchanger, and it preheats the
14 cell by flowing liquid through the heat exchanger. As shown in Fig. 7, under New
15 European Driving Cycle conditions, Wu et al. implemented a method for externally
16 preheating cells with liquid. The experimental battery pack could be heated from -30°C
17 to 10°C within one hour and the maximum temperature difference between the cell
18 could be reduced to 1.6°C[139]. According to the contact type between the liquid
19 medium and the battery, liquid preheating BTMS could be classified as non-contacting
20 preheating and immersing preheating[140]. The non-contacting liquid preheating
21 method has been applied to EVs. For example, Volt heats the liquid medium using
22 electric heaters, which flows into the whole battery pack[141,142]. In addition, Tesla
23 Motor also uses the liquid heating method to heat the battery pack. The flow circuit
24 used in the system included four channels that allow the liquid medium to flow more
25 fully and shorten the preheating time[143, 144]. In general, the immersing preheating
26 has a higher thermal conductivity than the non-contacting preheating, so the battery
27 has a more uniform temperature distribution during the heating process. Nevertheless,

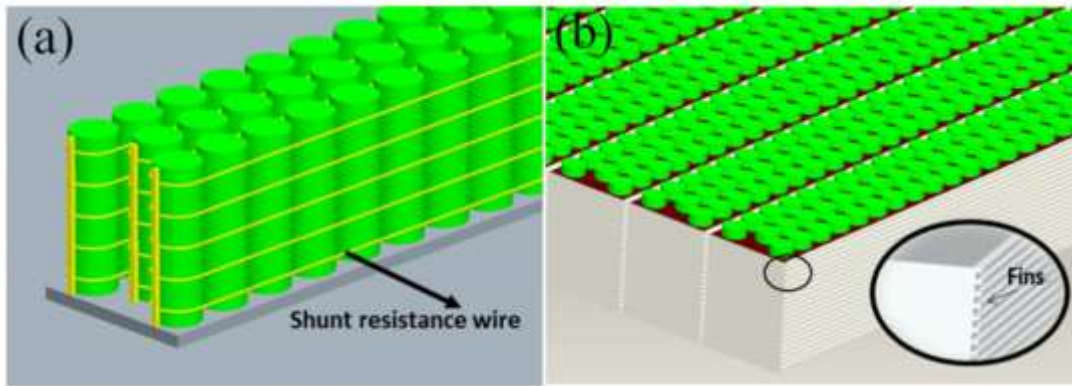
1 effectively preventing the leakage of the liquid medium is still a significant issue, and
2 the liquid medium is required to have good electrical insulation properties to reduce
3 the risk of the short circuits [134].



4

5 **FIGURE 7** The schematic of liquid-based BTMS[139]

6 Compare with air and liquid, PCMs can absorb and release heat from the external
7 environment during phase transitions. The melting process can apply to equipment
8 cooling, and the solidification process can be used for low temperature heating[145].
9 The PCM is generally isolated from the battery by the container. The advantage of
10 PCM-based BTMS is that it can keep the temperature uniformity of the battery. Hence
11 it is beneficial to prolong the lifespan of the batteries. Rao et al. conducted a
12 comparative simulation for PCM and air preheated batteries, and the results of the
13 present study indicated that as the thermal conductivity of the PCM increases, the
14 temperature difference decreases. The heating time of air-heating was 6.4, 5.2, and 4.2
15 times of that of PCM-heating when the battery was heated from -30°C , -20°C , and $-$
16 10°C to 10°C , with the initial temperature of air and PCM being both 50°C [146]. As
17 shown in Fig. 8, a battery preheating scheme for paraffin/graphite composite PCM was
18 proposed by Zhong et al. The cell in each module is wrapped with parallel resistive
19 wires, and then the remaining space within each module is filled with composite PCM.
20 Five resistance wires can heat the battery from -25°C to 10°C in 273 seconds,
21 consuming 2948 J of energy[147].



1

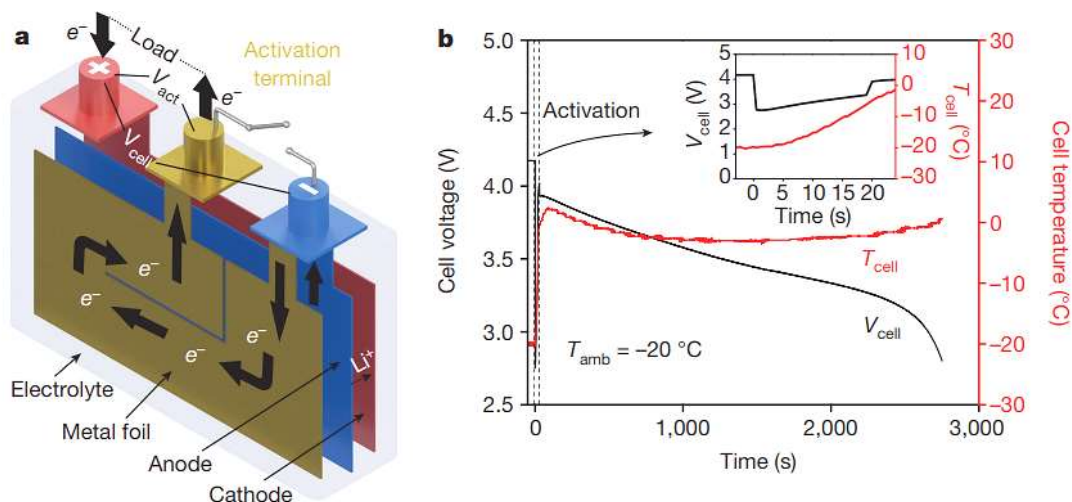
2 **FIGURE 8** PCM-based preheating system for batteries[147]. (a) The battery module with resistance
 3 wire twined around battery cells. (b) The battery pack consists of battery modules with CPCM
 4 perfused in each module.

5 Besides, the preheating of the battery can be realized by electrothermal elements,
 6 which include electrothermal films and electrothermal plates[148]. From the related
 7 research, the electrothermal films can keep a better temperature distribution during the
 8 heating process because of its performance, which is more suitable for the preheating
 9 work of the battery pack and can effectively avoid the inconsistent temperature
 10 distribution of the LiBs during the heating process[149]. Yet, whether this method
 11 impacts LiB life remains to be studied[135].

12 **3.2.2 Internal heating**

13 In general, the internal preheating methods of the battery include self-heating and
 14 current excitation heating. Compared with the external heating method, the internal
 15 heating method has higher heating efficiency, and no requirements for cell shape[149].
 16 However, the heat generation mechanism is very complex, and improper use may cause
 17 safety problems. As shown in Fig. 9, Wang et al. have developed a self-heating LiB
 18 that could self-heat in low temperature ambient environment. The cell is heated by
 19 inserting a nickel foil with two tabs into the LiB to generate heat. More notably, during
 20 the activation process, the surface temperature of the battery rises rapidly from -20°C
 21 to 0°C in a matter of seconds[150,151]. Self-heating is an efficient strategy for

1 preheating LiBs. But the self-heating effect of LiBs is limited by the physical properties
 2 of the cell. In addition, most experimental data are only obtained from single battery.
 3 For a battery pack with hundreds of individual cells, this cell modification could be
 4 prohibitively expensive. Further, because the internal structure of the cell is changed,
 5 it cannot use in current EVs due to safety concerns. The safety issues of the self-heating
 6 method still need further study.



7
 8 **FIGURE 9** (a) The schematic of self-heating battery. (b) Cell voltage and temperature evolutions
 9 during $V_{act}=0.4V$ (inset) and subsequent 1C discharge at -20° C[151].

10 Current excitation can be direct current (DC) preheating, alternating current (AC)
 11 preheating, or pulse preheating. Heating the battery by discharging the battery at a
 12 certain constant current is called DC preheating, which releases the energy stored
 13 inside the battery. To avoid lithium plating and battery aging during preheating, it is
 14 necessary to control the current amplitude and preheating time within a certain
 15 limit[152,153]. By increasing the discharge rate and lowering the cut-off voltage of
 16 DC preheating, i.e., prolonging the discharging time, the heating rate can be
 17 increased[154].

18 AC preheating method applies AC current of a specific frequency and amplitude
 19 to the cathode and anode of the cell, and the heat is generated from the internal
 20 impedance[155,156]. Compared with the DC preheating method, the AC preheating
 21 method can more quickly and more effectively heat the battery [157]. Zhang et al.

1 adopted a lumped energy conservation model to predict the battery temperature under
2 AC preheating. They preheated the battery with a sinusoidal AC at different
3 frequencies and current at low temperature. The results showed that the preheating time
4 decreased with the increase of the AC amplitude and the decrease of the frequency.
5 Better thermal insulation of the battery also helped to reduce the preheating time[158].
6 It should be noted that the AC heating effect will reduce with the constant amplitude,
7 when the frequency is too low. However, this study did not show how to optimize the
8 AC amplitude and frequency to improve the heating rate. Besides, the impact of the
9 applied AC current on battery health is still unclear. In addition, the AC preheating
10 method requires an external power supply, which increases the cost or limits the
11 application scenarios and may be the reason why it hasn't been used
12 commercially[135,147].

13 Pulse preheating method uses pulse current to generate heat through the internal
14 impedance of the cell to preheat the batteries. Compared with air preheating, the pulse
15 preheating method can achieve a more uniform distribution of heat generation inside
16 the battery pack, and a low temperature variance is beneficial to battery health [159].
17 An effective preheating strategy is developed by using a thermo-electric coupling
18 model based on the EIS of the cell and the pulse frequency is varied between 1000Hz
19 and 3637.1Hz to compare their preheating effect. The optimal pulse configuration (the
20 2637.5Hz frequency) can heat the cell from -20°C to 5°C within 1000 seconds. The
21 battery's performance temperature is significantly improved after this temperature rise.
22 Further, experimental data show that the damage to the battery health, in terms of
23 capacity attenuation and internal impedance rise, is minor[160]. However, this method
24 is only validated in the laboratory, and its effectiveness has not been verified in the
25 actual vehicle.

26 **3.3 Thermal runaway treatment**

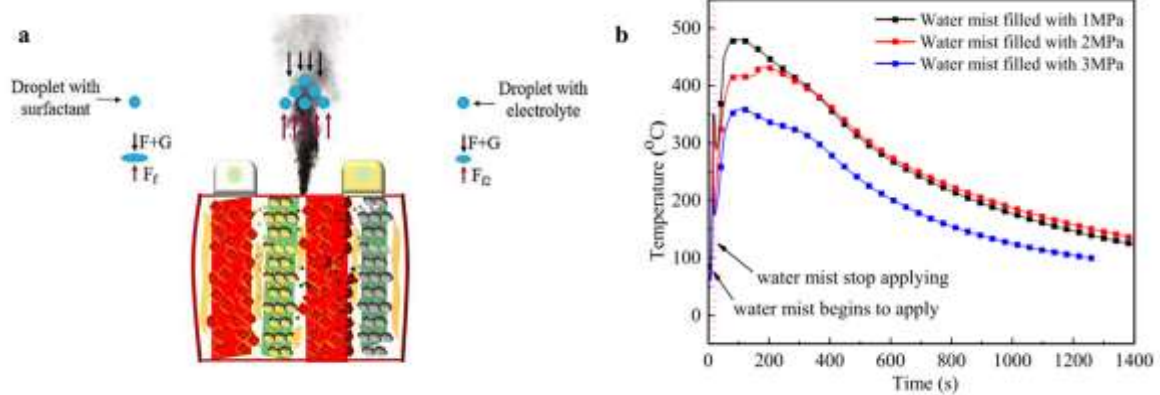
27 The mechanical, electrical, and thermal abuses (overcharge, over-temperature
28 and metal penetration, etc.) of LiBs can cause the TR phenomenon, which will pose a

1 safety hazard to the battery pack and passengers[158]. Battery TR, if not detected and
2 interrupted in time, will cause serious safety accidents [26]. At the same time, due to
3 the large specific heat of the battery system, it is difficult to quickly control the
4 temperature to reach the predetermined temperature range when the TR occurred, so
5 there is a lag problem in the thermal management system. For these issues, there are
6 four categories of emergency battery thermal barrier (EBTB), including material
7 optimization, the TR forewarning strategy, fire extinguishment, and heat removal.

8 Material optimization strategies include Electrolyte additives, separator safe and
9 electrode design. Wang et al. proposed a new idea to enhance the battery safety by
10 passivating the cell first and then self-heating before use. They had proved that, adding
11 triallyl phosphate to the electrolyte, can improve the stability of LiB under high
12 pressure and high temperature operating conditions[161,162]. Liu et al. demonstrated
13 a new type of separator that improves flame retardancy by adding triphenyl phosphate
14 to the conventional electrolytes. During LiBs TR, the protective polymer shell would
15 melt, releasing the flame retardant to suppress the burning of the electrolyte inside the
16 battery[163]. Chen et al. proposed a thermoresponsive polymer switch material, a
17 mixture of graphene-coated sharp nickel nanoparticles. Once the TR phenomenon
18 occurs and the battery temperature rises above switching temperature (T_s), the
19 conductivity of this material will drop sharply, thereby suppressing further exothermic
20 side reactions and the propagation of TR[30,163].

21 Emergency cooling system needs to monitor the battery temperature and detect
22 the starting point of TR and then quickly remove the accumulated heat. The pre-
23 warning of TR depends on the early detection of abnormalities in the measurements of
24 the battery's terminal voltage[164], mechanical deformation[165], internal
25 temperature[166], and internal impedance of the battery[167]. Additionally, the gas
26 component identification is another useful method for thermal runaway
27 prewarning[77]. Zhu et al. indicated that the voltage of LiBs would drop sharply before
28 TR occurs, and this characteristic can be used to warn the upcoming risk of TR[168].
29 After a TR warning , the battery pack must initiate the heat-dissipation procedure

1 immediately [169]. Once the TR or even an explosion hazard happens, firefighting and
2 heat removal must be carried out to cut the propagation routes and to control the
3 damage. Wang et al. studied using dry powder and water to extinguish the flame, and
4 showed that this measure can suppress the TR propagation of LiBs[170–172]. As
5 shown in Fig. 10, Liu et al. proposed a novel BTMS with combining fast water mist
6 and $C_6F_{12}O$ cooling. They found out that this method could reduce significantly the
7 peak temperature and the high-temperature duration of the cell after TR, as compared
8 to the method with $C_6F_{12}O$ only and without suppression during the heatwave. After
9 the TR is initiated, by combining the $C_6F_{12}O$ and the water mist to spray onto the
10 battery surface, the cell can be effectively cooled [173]. Additionally, an optimized
11 dual-functional battery module that involved PCM and aerogel is indicated[174]. The
12 PCM inset EG availablely suppress the combustion flame and reclined the peak
13 temperature of battery. Meanwhile the aerogel showed the outstanding performance in
14 delaying battery TR. The proposed BTMS combined the advantages of aerogel and
15 PCM. The optimized module delays the initial time of TR by 173s and alleviates the
16 thermal propagation. Moreover, reducing the maximum temperature of battery module
17 to 29°C. Meanwhile, studies have shown that emergency spray flow has the limited
18 efficiency on reducing the temperature of TR battery, wherein the nozzle initial
19 condition is set to 0.2Mpa, $0.5L/min^{-1}$ and the spray angel is about 60°. The fact is that
20 spray cooling can be adopted to the emergency cooling strategy to suppress TR[175].
21 In addition to mentioned methods, Gao et al. investigated in depth the emergency
22 cooling and overheating control of LiBs, and together with the direct cooling BTMS.
23 They proposed an open-loop emergency safety control method, namely, when the TR
24 state is detected, refrigerant is directly injected in the LiBs pack for emergency cooling
25 and flame retardancy[176].



1

2 **FIGURE 10** (a) Schematic diagram of water mist penetrating plume. (b) Temperature response for
 3 long surface of experiments extinguished and cooled by water mist with various working pressure[173].

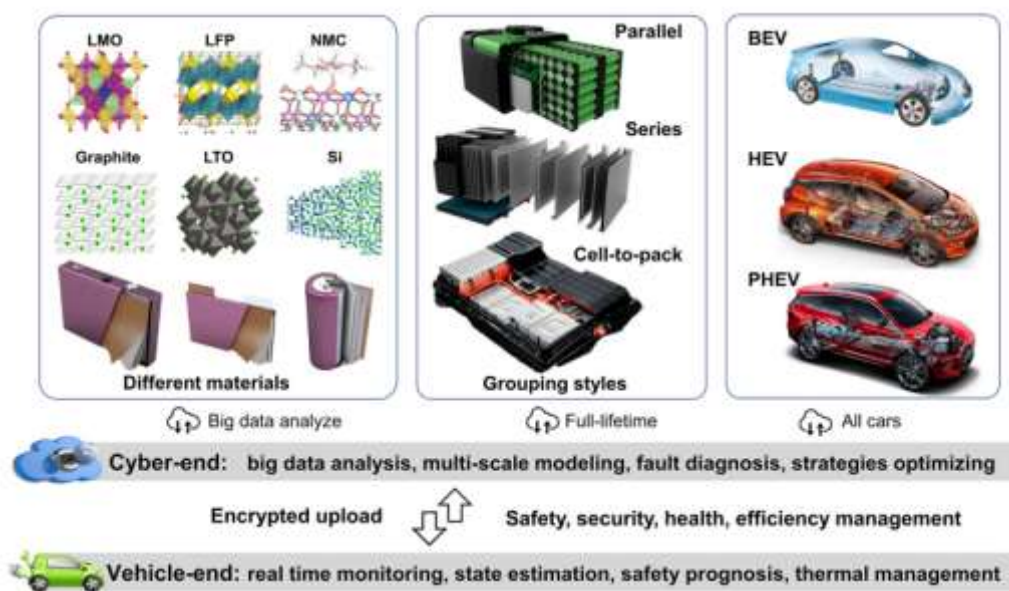
4 In addition to the study of experimental mechanisms for triggering thermal
 5 runaway, model-based TR predictions are essential for optimizing the safety design of
 6 batteries. Jin et al. used COMSOL software to build a three-dimensional lithium-ion
 7 battery model to investigate the combined effect of heating power and heating area on
 8 triggering thermal runaway. The experiment adopted eight heating strategies, i.e., a
 9 combination of two different heating powers and four incremental heating areas. The
 10 results show that the area with a lower heating power density can trigger thermal
 11 runaway faster with the same heating method. Based on the simulation results,
 12 recommended strategies for thermal runaway prediction and low-temperature heating
 13 solutions are proposed[177]. Ren et al. proposed a novel model for predicting thermal
 14 runaway in lithium-ion batteries. Differential scanning calorimetry (DSC) tests on
 15 individual cell components, and their mixtures were used to reveal and characterize the
 16 thermal runaway mechanism. Six main reactions (e.g., decomposition of the solid
 17 electrolyte interfacial film) are used as the main heat generation sources. The kinetic
 18 parameters of each exothermic reaction are determined using the Kissinger method and
 19 a non-linear fitting approach. The model is in good experimental agreement with the
 20 results of adiabatic TR tests and oven experiments for 24Ah Li-ion batteries. The
 21 results show that the model can respond well to the thermal runaway mechanism of the
 22 battery[178]. Sara Abada et al. developed a three-dimensional physical model of the

1 electrothermal behavior of lithium-ion batteries under thermal runaway conditions.
2 The combination of experimental and modeling analysis enables an excellent
3 understanding of the mechanisms of thermal runaway in Li-ion batteries and the
4 implications for battery aging. The experiment found that calendar aging leads to a
5 delay in the self-heating temperature of the battery so that thermal runaway can be
6 triggered at lower temperatures. Meanwhile, the experimental results are in good
7 agreement with the simulation model [179].

8 **4. Connection and development of thermal management** 9 **system and CHAIN**

10 Due to the large specific heat of the battery system, it is difficult to quickly control
11 the temperature to reach the predetermined temperature range, namely, the thermal
12 management system exists lag problem. In addition, due to different triggering reasons
13 and the complexity of battery aging and environmental impact, pre-warning of TR is a
14 critical challenge[180]. Through the early prediction and pre-warning can alleviate
15 above issues. Combining BTMS with cloud computing to improve the computational
16 power and data storage capacity can enhance the response speed and TR early warning
17 ability. With the Internet of things (IoT), all battery relevant data can be measured and
18 transmitted to the cloud seamlessly, building up a digital twin for the battery system.
19 Diagnostic algorithms evaluate the data and enable optimization of the battery charging,
20 aging, and thermal management[181]. The CHAIN framework was proposed by Yang
21 et al. It is suggested that the critical physical and electrochemical parameters of battery
22 from production to use should be uploaded to the cloud server to optimize the whole
23 life management of the battery system. From the above-mentioned issues, a novel
24 solution for battery thermal management is proposed, which combines traditional
25 BTMSs with the CHAIN architecture through the “end-edge-cloud” multi-layer
26 collaborative lay-out to achieve cloud-enhanced BTMS[182]. As shown in Fig.11,
27 CHAIN integrates the cyber-end and the vehicle-end, which can generate additional
28 functionality by adding new complex layers. Meanwhile, it may lead to potentially

1 complicating the design to guarantee performance on the cyber-end, while the single
 2 vehicle-end carries out recording and uploading real-time data and executing
 3 commands from the cyber-end via wireless communication[183]. In addition, Wu et al.
 4 pointed out that a digital twin model of battery could be created, combining the
 5 knowledge of battery degradation, modeling tools, and diagnosis with machine
 6 learning. This network, through establishing the mapping relationship between the
 7 physical and digital embodiment of the battery, can achieve a more intelligent control
 8 and a longer service life[184].



9
 10 **FIGURE 11** Multi-condition Control and Multi-Lifespan Recurrence[183]

11 Based on the points mentioned above, this paper presents a novel digital solution
 12 for BTMS, as depicted in Fig. 12. The digital twin of battery is established to realize
 13 the mapping of physical and digital entities. Some the basic data of battery are collected
 14 at the vehicle end, mainly including current, temperature, acoustics, gas and battery or
 15 module deformation. The data is transmitted to the cloud based digital twin through
 16 IoT technology, where data is collected and processed. The cloud based digital twin
 17 feeds back the corresponding control parameters to the vehicle end, so as to realize
 18 accurate and efficient battery thermal management. Some basic functions and data are
 19 executed and processed locally at the vehicle end, so as to reduce the computing load

1 capacity of the cloud. The local model and algorithm processing are used to data driven
2 of the vehicle end BTMS. Finally, based on the mirrored function of the digital twin
3 framework, it can realize the functions of diagnosis and early warning, state estimation
4 and data processing, it better serves the cloud based digital twin and the local
5 computing at the vehicle end. The proposed digital solution described in this paper
6 mainly includes the following parts: on-board sensing, local computing and cloud
7 based digital twin.

8 **4.1 On-board sensing**

9 The condition for the current intelligent BTMS is to accurately collect the relevant
10 data of the system and infer the state of the system from these data. The data acquisition
11 depends on the sensing ability of the local terminal hardware. The information
12 collected by the battery system is as described above, such as current, voltage,
13 temperature, acoustics and deformation. Advanced IoT devices can provide stable and
14 fast computing, transmission and connection capabilities to effectively transmit data.
15 Therefore, it is very important to develop advanced sensor technology to sense the
16 current state of the battery. As described in literature[185], mist computing can process
17 data at the extreme edge of the network, which can be realized separately on the current
18 Internet of things devices without communicating with the local computing or cloud,
19 so as to reduce the communication demand.

20 **4.2 Local-computing based on vehicle end**

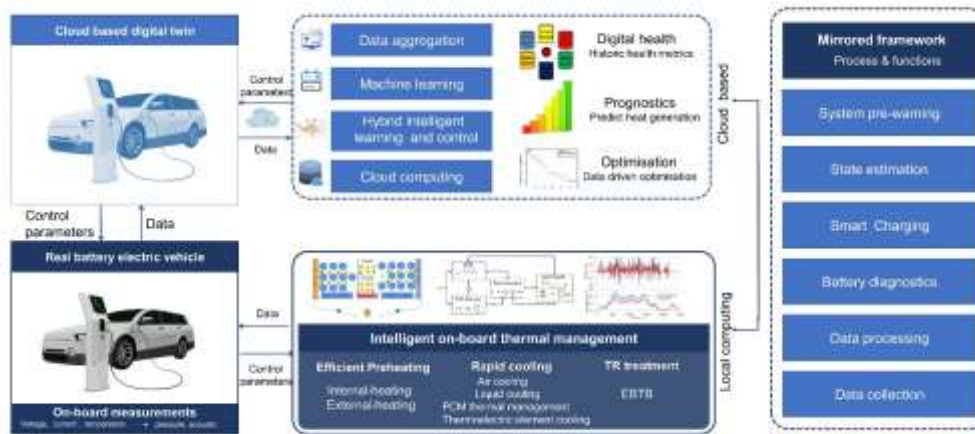
21 In specific cases, the ability of monitoring and processing information of the
22 BTMS is very demanding on time. When the transmission communication and cloud
23 computing capacity of the Internet of things are limited, edge computing is an effective
24 way to reduce the efficiency of cloud load computing. Therefore, several thermal
25 management functions be finished on vehicle-end, such as the efficient preheating,
26 rapid cooling and TR treatment. In addition, there are also including the electro-
27 chemical model and thermal model computing. For improving the reliability of the

1 thermal management system, the functions of each point during operation should be
2 operated locally. Then the functions of data transmission, data synchronization and
3 local computing are realized in the edge computing[186].

4 **4.3 The cloud based digital twin**

5 with the amount of data generated, data aggregation, machine learning and
6 hybrid intelligent learning and control key algorithms be achieved in the cloud-end,
7 which the functions included data driven optimal, prognostics and digital health
8 metrics. Utilizing cloud computing and storage capabilities to conduct aggregation
9 analysis and feature extraction for the data in the cloud-end. Meanwhile, machine
10 learning, artificial neural network and other algorithms are used to predict the battery
11 health state and heat generation, and conduct the fusion model for the battery
12 mechanism model and equivalent circuit model. The model algorithm is used to fuse
13 historical health data to provide pre-warning work of TR.

14 In addition, based on the CHAIN and digital twin framework, IoT and 5G
15 technology to realize the multi-scale and multi-stage prognostics and thermal
16 management. Finally, the mirrored system runs the advanced thermal model, diagnosis
17 and control algorithms to predict and evaluate the battery's SOX and internal
18 temperature. Meanwhile, it can also optimize the operating parameters, such as the
19 charging rate. These battery control parameters are then transmitted to the vehicle end.
20 With the greatly expanded computational capacity enabled by the cloud, advanced
21 battery management algorithms, such as data-driven and machine learning fleet
22 management tools[186] can be implemented.



1
2 **FIGURE 12** Battery thermal management system leveraging from CHAIN [31,45,89,122,187,188]

3 **5. Conclusion and future task**

4 **5.1 Work summary**

5 This paper provides a comprehensive review of the heat generation mechanism
6 and thermal models of LIBs, along with the existing battery thermal management
7 strategies. A comprehensive discussion of the heat generation mechanism and heat
8 transfer models of batteries is presented, enabling an understanding of the approach
9 and importance of battery thermal management. Then, this is followed by introducing
10 current battery thermal management strategies, namely air cooling, liquid cooling,
11 phase change materials, and electronic component cooling. Several comprehensive
12 analyses of the various thermal management strategies are investigated from model to
13 experiment. Finally, the paper proposes a CHAIN-based battery thermal management
14 system, which is explained in detail from three aspects: vehicle-side sensing, edge
15 computing, and cloud-based digital twin. Finally, the paper aims to enable researchers
16 to understand the current thermal management strategy trend.

17 **5.2 Prospects**

18 In terms of existing BTMS status, it has some simple functions such as open-loop
19 control, limited use of model/diagnosis/prediction capacity etc., additionally, no

1 intelligence or predictive control and difficulty of maintenance/repair. With the
2 continuous development of communication technology, the future BTMSs have the
3 smart battery pack with embedded sensors, new efficiency thermal management
4 approaches and telematics system 5G etc. Intelligent BTMS enabled by cloud-
5 computing can fused big data, advanced diagnosis and optimization algorithms. Such
6 as digital twin and machine learning, optimal/predictive maintenance and repair, data-
7 driven fleet management can be implemented in the smart BTMS. Furthermore, BTMS
8 will not only ensure the battery keeps more safe, efficient, and reliable conditions, but
9 communicate and collaborate in other fields, such as microgrid. The future task
10 followed as by:

- 11 1) Developing advanced sensing technology, such as fiber-optic sensing, sensor
12 based piezoelectric/pyroelectric poly and a thin-film transistor array and
13 wireless sensor.
- 14 2) Fusing the advanced data model algorithm by linking the historical data and
15 real-time data to feedback, modifying the thermal model to provide a more
16 accurate heat generation prediction.
- 17 3) Predicting and pre-warning technology of battery heat generation and TR
18 combined with CHAIN and digital twin to avoid TR events.

19 **Declaration of Competing Interest**

20 The authors declare that they have no known competing financial interests or
21 personal relationships that could have appeared to influence the work reported in this
22 paper.

23 **Acknowledgment**

24 This work is supported by the National Natural Science Foundation of China
25 under the No. U1864213.

1 Reference

- 2 [1] L. Ghadbeigi, B. Day, K. Lundgren, et al. Cold temperature performance of phase change
3 material based battery thermal management systems. *Energy Reports*, 2018, 4:303–307.
- 4 [2] J.P. Robinson, J.J. Ruppert, H. Dong, et al. Sintered electrode full cells for high energy
5 density lithium-ion batteries. *Journal of Applied Electrochemistry*, 2018, 48:1297–1304.
- 6 [3] X. Han, X. Feng, M. Ouyang, et al. A Comparative Study of Charging Voltage Curve
7 Analysis and State of Health Estimation of Lithium-ion Batteries in Electric Vehicle.
8 *Automotive Innovation*, 2019, 2:263–275.
- 9 [4] K.C. Pu, X. Zhang, X.L. Qu, et al. Recently developed strategies to restrain dendrite growth
10 of Li metal anodes for rechargeable batteries. *Rare Metals*, 2020, 39:616–635.
- 11 [5] S. Ma, M. Jiang, P. Tao, et al. Temperature effect and thermal impact in lithium-ion batteries:
12 A review. *Progress in Natural Science: Materials International*, 2018, 28:653–666.
- 13 [6] W. Zhuang, Z. Liu, H. Su, et al. An intelligent thermal management system for optimized
14 lithium-ion battery pack. *Applied Thermal Engineering*, 2021, 189: 116767.
- 15 [7] M. Akbarzadeh, J. Jagemont, T. Kalogiannis, et al. A novel liquid cooling plate concept
16 for thermal management of lithium-ion batteries in electric vehicles. *Energy Conversion
17 and Management*, 2021, 231: 113862.
- 18 [8] P.R. Tete, M.M. Gupta, S.S. Joshi. Developments in battery thermal management systems
19 for electric vehicles: A technical review. *Journal of Energy Storage*, 2021, 35:102255.
- 20 [9] W. Z. Chen, D. C. Qing. A comprehensive review on thermal management systems for
21 power lithium-ion batteries. *Renewable and Sustainable Energy Reviews*, 2021, 139:
22 110685.
- 23 [10] M. Luo, J. Song, Z. Ling, et al. Phase Change Material (PCM) coat for battery thermal
24 management with integrated rapid heating and cooling functions from -40 °C to 50 °C.
25 *Materials Today Energy*, 2021, 20:100652.
- 26 [11] Y. Liu, S. Wang. The effect of high temperature on the loss rate of capacity of lithium-ion
27 battery. *ResearchGate*, 2020, 12:360921.
- 28 [12] K. Chen, M. Song, W. Wei, et al. Design of the structure of battery pack in parallel air-
29 cooled battery thermal management system for cooling efficiency improvement.
30 *International Journal of Heat and Mass Transfer*, 2019, 132:309–321.
- 31 [13] K. Chen, W. Wu, F. Yuan, et al. Cooling efficiency improvement of air-cooled battery
32 thermal management system through designing the flow pattern. *Energy*, 2019, 167:781–
33 790.
- 34 [14] Z. Shang, H. Qi, X. Liu, et al. Structural optimization of lithium-ion battery for improving

- 1 thermal performance based on a liquid cooling system. *International Journal of Heat and*
2 *Mass Transfer*, 2019, 130:33–41.
- 3 [15] R. Fraser. Coupled Electrochemical-Thermal Simulations and Validation of Mini-channel
4 Cold-Plate Water-Cooled Prismatic 20 Ah LiFePO₄ Battery. *Electrochem*, 2021, 2:643-663.
- 5 [16] S.D. Chitta, C. Akkaldevi, J. Jaidi, et al. Comparison of lumped and 1D electrochemical
6 models for prismatic 20Ah LiFePO₄ battery sandwiched between mini-channel cold-plates.
7 *Applied Thermal Engineering*, 2021, 199:117586.
- 8 [17] S. Wiriyasart, C. Hommalee, S. Sirikasemsuk, et al, Thermal management system with
9 nanofluids for electric vehicle battery cooling modules. *Case Studies in Thermal*
10 *Engineering*, 2020, 18:100583.
- 11 [18] L. Song. Thermal Performance Analysis of the Battery Thermal Management Using Phase
12 Change Material. *Open Access Library Journal*, 2018, 05:1–5.
- 13 [19] H.-P. Li, X.-Y. Ji, J.-J. Liang. Dual-functional ion redistributor for dendrite-free lithium
14 metal anodes. *Rare Metals*, 2020, 39: 861–862.
- 15 [20] A. Vgc, A. Asd, B. Sp, et al. Numerical investigation on thermal behaviour of 5×5 cell
16 configured battery pack using phase change material and fin structure layout. *Journal of*
17 *Energy Storage*, 2021, 43:103234.
- 18 [21] J. Qu, Z. Ke, A. Zuo, et al. Experimental investigation on thermal performance of phase
19 change material coupled with three-dimensional oscillating heat pipe (PCM/3D-OHP) for
20 thermal management application. *International Journal of Heat and Mass Transfer*, 2019,
21 129:773–782.
- 22 [22] Q. Wang, Z. Rao, Y. Huo, et al. Thermal performance of phase change material/oscillating
23 heat pipe-based battery thermal management system, *International Journal of Thermal*
24 *Sciences*, 2016, 102:9–16.
- 25 [23] N. Javani, I. Dincer, G.F. Naterer, et al. Heat transfer and thermal management with PCMs
26 in a Li-ion battery cell for electric vehicles. *International Journal of Heat and Mass Transfer*,
27 2014, 72:690–703.
- 28 [24] Y. Liu, S. Yang, B. Guo, et al. Numerical Analysis and Design of Thermal Management
29 System for Lithium Ion Battery Pack Using Thermoelectric Coolers, *Advances in*
30 *Mechanical Engineering*. 2015, 6:852712.
- 31 [25] Y. Cai, Y. Wang, D. Liu, et al. Thermoelectric Cooling Technology Applied in the Field of
32 Electronic Devices: Updated Review on the Parametric Investigations and Model
33 Developments. *Applied Thermal Engineering*, 2018, 148:238-255.
- 34 [26] Y. Wang, Q. Gao, G. Wang, et al. A review on research status and key technologies of battery
35 thermal management and its enhanced safety. *International Journal of Energy Research*,

- 1 2018, 42:4008–4033.
- 2 [27] C. Shum. Soft Sensors for State of Charge, State of Energy, and Power Loss in Formula
3 Student Electric Vehicle. *Applied System Innovation*, 2021, 4: 4040078.
- 4 [38] S. Ma, M.D. Jiang, P. Tao, et al. Temperature effect and thermal impact in lithium-ion
5 batteries: A review. *Progress in Natural Science Materials International*, 2018, 28:653-666.
- 6 [29] P. Peng, Y. Sun, F. Jiang. Thermal analyses of LiCoO₂ lithium-ion battery during oven tests.
7 *Heat and Mass Transfer*, 2014, 50:1405–1416.
- 8 [30] J. Lin, X. Liu, S. Li. A review on recent progress, challenges and perspective of battery
9 thermal management system. *International Journal of Heat and Mass Transfer*, 2021, 167:
10 120834.
- 11 [31] G. Jiang, L. Zhuang, Q. Hu, et al. An investigation of heat transfer and capacity fade in a
12 prismatic Li-ion battery based on an electrochemical-thermal coupling model. *Applied
13 Thermal Engineering*, 2020, 171:115080.
- 14 [32] W. Mei, Q. Duan, C. Zhao, et al. Three-dimensional layered electrochemical-thermal model
15 for a lithium-ion pouch cell Part II. The effect of units number on the performance under
16 adiabatic condition during the discharge. *International Journal of Heat and Mass Transfer*,
17 2020,148: 119082.
- 18 [33] M. Al-Zareer, I. Dincer, M.A. Rosen. Development and analysis of a new tube based
19 cylindrical battery cooling system with liquid to vapor phase change. *International Journal
20 of Refrigeration*, 2019, 108:163–173.
- 21 [34] M. Farag, H. Sweity, M. Fleckenstein. Combined electrochemical, heat generation, and
22 thermal model for large prismatic lithium-ion batteries in real-time applications. *Journal of
23 Power Sources*, 2017, 360:618–633.
- 24 [35] X. Cheng, N. Shi, Y. Li, et al. Engineering-Oriented Modeling for Thermal Behaviors of
25 18650 Li-ion Batteries, *Energy Procedia*, 2017, 105:4757–4762.
- 26 [36] G. Gwak, H. Ju. Multi-Scale and Multi-Dimensional Thermal Modeling of Lithium-Ion
27 Batteries, *Energies*, 2019, 12:824-830.
- 28 [37] R.M.R.A. Shah, M. Al Qubeissi, A. McGordon, et al. Micro Gas Turbine Range Extender
29 Performance Analysis Using Varying Intake Temperature. *Automotive Innovation*,
30 2020,3 :356–365.
- 31 [38] S. Abada, G. Marlair, A. Lecocq, et al. Safety focused modeling of lithium-ion batteries: A
32 review. *Journal of Power Sources*, 2016, 306:178–192.
- 33 [39] M. Keyser, A. Pesaran, Q. Li, et al. Enabling fast charging – Battery thermal considerations.
34 *Journal of Power Sources*, 2017, 367:228–236.

- 1 [40] W. Wu, S. Wang, W. Wu, et al. A critical review of battery thermal performance and liquid
2 based battery thermal management. *Energy Conversion and Management*, 2019, 182:262–
3 281.
- 4 [41] X. Peng, C. Ma, A. Garg, et al. Thermal performance investigation of an air-cooled lithium-
5 ion battery pack considering the inconsistency of battery cells. *Applied Thermal*
6 *Engineering*, 2019, 153:596–603.
- 7 [42] K. Smith, C. Wang. Power and thermal characterization of a lithium-ion battery pack for
8 hybrid-electric vehicles. *Journal of Power Sources*, 2006, 160:662–673.
- 9 [43] C.H. Doh, Y.C. Ha, S. wook Eom. Entropy measurement of a large format lithium ion
10 battery and its application to calculate heat generation. *Electrochimica Acta*, 2019,
11 309:382–391.
- 12 [44] K. Wang, F. Gao, Y. Zhu, et al. Internal resistance and heat generation of soft package
13 Li4Ti5O12 battery during charge and discharge. *Energy*, 2018, 149:364–374.
- 14 [45] H. Giel, D. Henriques, G. Bourne, et al. Investigation of the heat generation of a commercial
15 2032 (LiCoO2) coin cell with a novel differential scanning battery calorimeter. *Journal of*
16 *Power Sources*, 2018, 390:116–126.
- 17 [46] P. Huang, P. Ping, K. Li, et al. Experimental and modeling analysis of thermal runaway
18 propagation over the large format energy storage battery module with Li4Ti5O12 anode.
19 *Applied Energy*, 2016, 183:659–673.
- 20 [47] M. chin Pang, K. Yang, R. Brugge, et al. Interactions are important: Linking multi-physics
21 mechanisms to the performance and degradation of solid-state batteries. *Materials Today*,
22 2021, 02:1-37.
- 23 [48] M. Doyle, J. Newman, A.S. Gozdz, et al. Comparison of Modeling Predictions with
24 Experimental Data from Plastic Lithium Ion Cells. *Journal of The Electrochemical Society*,
25 2019, 143:1890–1903.
- 26 [49] Z. Xi, H.J. Yin, C.L, et al. A transfer function type of simplified electrochemical model with
27 modified boundary conditions and Pade approximation for Li-ion battery: Part 1. lithium
28 concentration estimation. *Journal of Power Sources*, 2017, 352:245-257.
- 29 [50] A. Lamorgese, R. Mauri, B. Tellini. Electrochemical-thermal P2D aging model of a
30 LiCoO2/graphite cell: Capacity fade simulations. *The Journal of Energy Storage*, 2018,
31 20 :289–297.
- 32 [51] M. Farag, M. Fleckenstein, S. Habibi. Continuous piecewise-linear, reduced-order
33 electrochemical model for lithium-ion batteries in real-time applications. *Journal of Power*
34 *Sources*, 2017, 342: 351–362.
- 35 [52] C. von Lüders, J. Keil, M. Webersberger, et al. Modeling of lithium plating and lithium

- 1 stripping in lithium-ion batteries. *Journal of Power Sources*, 2019, 414:41–47.
- 2 [53] N. Javani, I. Dincer, G. F. Naterer, et al. Heat transfer and thermal management with PCMs
3 in a Li-ion battery cell for electric vehicles. *International Journal of Heat and Mass Transfer*,
4 2014, 72:690-703.
- 5 [54] S. C. Yang, Y. Hua, D. Qiao, et al. A coupled electrochemical-thermal-mechanical
6 degradation modelling approach for lifetime assessment of lithium-ion batteries.
7 *Electrochimica Acta*, 2019, 326:134928.
- 8 [55] M.A. Rahman, S. Anwar, A. Izadian. Electrochemical model parameter identification of a
9 lithium-ion battery using particle swarm optimization method. *Journal of Power Sources*,
10 2016, 307:86–97.
- 11 [56] Y. Xie, S. Shi, J. Tang, et al. Experimental and analytical study on heat generation
12 characteristics of a lithium-ion power battery. *International Journal of Heat and Mass
13 Transfer*, 2018, 122:884–894.
- 14 [57] R.D. Jilte, R. Kumar. Numerical investigation on cooling performance of Li-ion battery
15 thermal management system at high galvanostatic discharge. *Engineering Science and
16 Technology, an International Journal*, 2018, 21:957–969.
- 17 [58] J. Li, L. Wang, C. Lyu, et al. New method for parameter estimation of an electrochemical-
18 thermal coupling model for LiCoO₂ battery. *Journal of Power Sources*, 2016, 307:220–230.
- 19 [59] A. Lamorgese, R. Mauri, B. Tellini. Electrochemical-thermal P2D aging model of a
20 LiCoO₂/graphite cell: Capacity fade simulations. *Journal of Energy Storage*, 2018, 20:289–
21 297.
- 22 [60] M. Casisi, P. Pinamonti, M. Reini. Optimal lay-out and operation of combined heat & power
23 (CHP) distributed generation systems. *Energy*, 2009, 34:2175–2183.
- 24 [61] Y. Tang, L. Wu, W. Wei, et al. Study of the thermal properties during the cyclic process of
25 lithium ion power batteries using the electrochemical-thermal coupling model. *Applied
26 Thermal Engineering*, 2018, 137:11–22.
- 27 [62] Y. Ye, Y. Shi, N. Cai, et al. Electro-thermal modeling and experimental validation for lithium
28 ion battery. *Journal of Power Sources*, 2012, 199 : 227–238.
- 29 [63] M. Chen, F. Bai, W. Song, et al. A multilayer electro-thermal model of pouch battery during
30 normal discharge and internal short circuit process. *Applied Thermal Engineering*, 2017,
31 120:506–516.
- 32 [64] C. Zhang, S. Santhanagopalan, M.A. Sprague, et al. A representative-sandwich model for
33 simultaneously coupled mechanical-electrical-thermal simulation of a lithium-ion cell
34 under quasi-static indentation tests. *Journal of Power Sources*, 2015, 298:309–321.
- 35 [65] E. Gümüŝsu, Ö. Ekici, M. Köksal. 3-D CFD modeling and experimental testing of thermal

- 1 behavior of a Li-Ion battery. *Applied Thermal Engineering*, 2017, 120:484–495.
- 2 [66] M. Zhang, J. Chen, H. Li, et al. Recent progress in Li-ion batteries with TiO₂ nanotube
3 anodes grown by electrochemical anodization. *Rare Metals*, 2021, 40: 249-271.
- 4 [67] A. De Vita, A. Maheshwari, M. Destro, et al. Transient thermal analysis of a lithium-ion
5 battery pack comparing different cooling solutions for automotive applications. *Applied*
6 *Energy*, 2017, 206:101–112.
- 7 [68] A. Rajan, V. Vijayaraghavan, M.P.-L. Ooi, et al. A simulation-based probabilistic
8 framework for lithium-ion battery modelling. *Measurement*, 2018, 115:87–94.
- 9 [69] L. Han, Z. Tong. A thermal resistance network model based on three-dimensional structure,
10 *Measurement*. 2019, 133:439–443.
- 11 [70] E. Piotrowska, A. Chochowski. Representation of transient heat transfer as the equivalent
12 thermal network (ETN). *International Journal of Heat and Mass Transfer*, 2013, 63:113–
13 119.
- 14 [71] Q.-K. Wang, Y.-J. He, J.-N. Shen, et al. A unified modeling framework for lithium-ion
15 batteries: An artificial neural network based thermal coupled equivalent circuit model
16 approach. *Energy*, 2017, 138 : 118–132.
- 17 [72] M.-T. von Srbik, M. Marinescu, R.F. Martinez-Botas, et al. A physically meaningful
18 equivalent circuit network model of a lithium-ion battery accounting for local
19 electrochemical and thermal behaviour, variable double layer capacitance and degradation.
20 *Journal of Power Sources*, 2016, 325:171–184.
- 21 [73] L. Ramotar, G.L. Rohrauer, R. Filion, et al. Experimental verification of a thermal
22 equivalent circuit dynamic model on an extended range electric vehicle battery pack.
23 *Journal of Power Sources*, 2017,343:383–394.
- 24 [74] X. Feng, M. Ouyang, X. Liu, et al. Thermal runaway mechanism of lithium ion battery for
25 electric vehicles: A review. *Energy Storage Materials*, 2018, 10:246-267.
- 26 [75] Z. Liao, S. Zhang, K. Li, et al. A survey of methods for monitoring and detecting thermal
27 runaway of lithium-ion batteries. *Journal of Power Sources*, 2019, 436:226879.
- 28 [76] P. Lyu, X. Liu, J. Qu, et al. Recent advances of thermal safety of lithium ion battery for
29 energy storage. *Energy Storage Materials*, 2020, 31:195–220.
- 30 [77] X. Feng, D. Ren, X. He, et al. Mitigating Thermal Runaway of Lithium-Ion Batteries. *Joule*,
31 2020, 4:743–770.
- 32 [78] Z.Y. Jiang, Z.G. Qu, J.F. Zhang, et al. Rapid prediction method for thermal runaway
33 propagation in battery pack based on lumped thermal resistance network and electric circuit
34 analogy. *Applied Energy*, 2020, 268:115007.

- 1 [79] W. Zhang, Z. Liang, W. Wu, et al. Design and optimization of a hybrid battery thermal
2 management system for electric vehicle based on surrogate model. *International Journal of*
3 *Heat and Mass Transfer*, 2021, 174:121318.
- 4 [80] K. Liu, X. Hu, Z. Yang, et al. Lithium-ion battery charging management considering
5 economic costs of electrical energy loss and battery degradation. *Energy Conversion and*
6 *Management*, 2019, 195:167–179.
- 7 [81] T. Zhang, Q. Gao, G. Wang, et al. Investigation on the promotion of temperature uniformity
8 for the designed battery pack with liquid flow in cooling process. *Applied Thermal*
9 *Engineering*, 2017, 116:655–662.
- 10 [82] T.M. Bandhauer, S. Garimella, T.F. Fuller. A Critical Review of Thermal Issues in Lithium-
11 Ion Batteries. *Journal of The Electrochemical Society*, 2011, 158:3515880
- 12 [83] M. Ikezoe, N. Hirata, C. Amemiya, et al. Development of high capacity lithium- ion battery
13 for NISSAN LEAF. *SAE Technical Papers*, 2012, 01:104271.
- 14 [84] X. Tao, J. Wagner. A thermal management system for the battery pack of a hybrid electric
15 vehicle: Modeling and control. *Proceedings of the Institution of Mechanical Engineers, Part*
16 *D: Journal of Automobile Engineering*, 2016, 230:190–201.
- 17 [85] J. Kim, J. Oh, H. Lee. Review on battery thermal management system for electric vehicles.
18 *Applied Thermal Engineering*, 2019, 149:192–212.
- 19 [86] X. Tao, J. Wagner. A thermal management system for the battery pack of a hybrid electric
20 vehicle: modeling and control. *Proceedings of the Institution of Mechanical Engineers, Part*
21 *D: Journal of Automobile Engineering*, 2015, 230:190–201.
- 22 [87] A.H. Mohammed, R. Esmaeeli, H. Aliniagerdroudbari, et al. Dual-purpose cooling plate for
23 thermal management of prismatic lithium-ion batteries during normal operation and thermal
24 runaway. *Applied Thermal Engineering*, 2019, 160:114106.
- 25 [88] Y. Lv, X. Yang, X. Li, et al. Experimental study on a novel battery thermal management
26 technology based on low density polyethylene-enhanced composite phase change materials
27 coupled with low fins. *Applied Energy*, 2016, 178:376–382.
- 28 [89] D. Kong, R. Peng, P. Ping, et al. A novel battery thermal management system coupling with
29 PCM and optimized controllable liquid cooling for different ambient temperatures. *Energy*
30 *Conversion and Management*, 2020, 204:112280.
- 31 [90] H. Sun, R. Dixon. Development of cooling strategy for an air cooled lithium-ion battery
32 pack. *Journal of Power Sources*, 2014, 272:404–414.
- 33 [91] W.A. Hermann. Liquid cooling manifold with multi-function thermal interface. US, 2012,
34 12, 655995.
- 35 [92] S. Al Hallaj, J.R. Selman. A Novel Thermal Management System for Electric Vehicle

- 1 Batteries Using Phase-Change Material. *Journal of The Electrochemical Society*, 2000,147:
2 3231.
- 3 [93] J. E, D. Han, A. Qiu, et al. Orthogonal experimental design of liquid-cooling structure on
4 the cooling effect of a liquid-cooled battery thermal management system. *Applied Thermal*
5 *Engineering*, 2018, 132:508–520.
- 6 [94] M.A. Ashraf, C. Li, D. Zhang, et al. Battery thermal management with conjugate heat
7 transfer in heat sink with Fe₃O₄/CNT-water nanofluid using lattice Boltzmann/finite
8 volume method. *Chemical Engineering and Processing - Process Intensification*, 2019,
9 146:107708.
- 10 [95] M. Yates, M. Akrami, A.A. Javadi. Analysing the performance of liquid cooling designs in
11 cylindrical lithium-ion batteries. *Journal of Energy Storage*, 2021, 33:100913.
- 12 [96] K.S. Reddy, V. Mudgal, T.K. Mallick. Review of latent heat thermal energy storage for
13 improved material stability and effective load management. *Journal of Energy Storage*,
14 2018, 15:205–227.
- 15 [97] N. Tao, H. Hai. Application of Phase Change Material (PCM) in Concrete for Thermal
16 Energy Storage. *International Congress on Polymer in Concrete 2018*, 2018, 17:187-193.
- 17 [98] J. Yan, K. Li, H. Chen, et al. Experimental study on the application of phase change material
18 in the dynamic cycling of battery pack system. *Energy Conversion and Management*, 2016 ,
19 128:12–19.
- 20 [99] F. Bai, M. Chen, W. Song, et al. Thermal management performances of PCM/water cooling-
21 plate using for lithium-ion battery module based on non-uniform internal heat source.
22 *Applied Thermal Engineering*, 2017, 126:17–27.
- 23 [100] H. Gao, J. Wang, X. Chen, et al. Nanoconfinement effects on thermal properties of
24 nanoporous shape-stabilized composite PCMs: A review. *Nano Energy*, 2018, 53:769–797.
- 25 [101] C. Li, B. Xie, J. Chen, et al. Emerging mineral-coupled composite phase change materials
26 for thermal energy storage. *Energy Conversion and Management*, 2019, 183:633–644.
- 27 [102] Q. Zhang, Y. Huo, Z. Rao. Numerical study on solid–liquid phase change in paraffin as
28 phase change material for battery thermal management. *Science Bulletin*, 2016, 61:391–
29 400.
- 30 [103] J.R. Patel, M.K. Rathod. Recent developments in the passive and hybrid thermal
31 management techniques of lithium-ion batteries. *Journal of Power Sources*, 2020,
32 480:228820.
- 33 [104] M.M. Heyhat, S. Mousavi, M. Siavashi. Battery thermal management with thermal energy
34 storage composites of PCM, metal foam, fin and nanoparticle. *Journal of Energy Storage*,
35 2020, 28:101235.

- 1 [105] S. Mousavi, M. Siavashi, M.M. Heyhat. Numerical melting performance analysis of a
2 cylindrical thermal energy storage unit using nano-enhanced PCM and multiple horizontal
3 fins, *Numerical Heat Transfer; Part A: Applications*, 2019, 75:560–577.
- 4 [106] W. Wu, J. Liu, M. Liu, et al. An innovative battery thermal management with thermally
5 induced flexible phase change material. *Energy Conversion and Management*, 2020, 221:
6 113145.
- 7 [107] M. Pan, Y. Zhong. Experimental and numerical investigation of a thermal management
8 system for a Li-ion battery pack using cutting copper fiber sintered skeleton/paraffin
9 composite phase change materials. *International Journal of Heat & Mass Transfer*, 2018,
10 126:531–543.
- 11 [108] F. He, X. Li, G. Zhang, et al. Experimental investigation of thermal management system for
12 lithium ion batteries module with coupling effect by heat sheets and phase change materials.
13 *International Journal of Energy Research*, 2018, 42:101002.
- 14 [109] M. Ramzan, A. Hussain. Passive Cooling based Thermal Management System of Lithium-
15 ion Batteries employing Copper foam/Paraffin Composite. *ResearchGate*, 2019.
- 16 [110] M.H. Shojaeefard, G.R. Molaeimanesh, Y.S. Ranjbaran. Improving the performance of a
17 passive battery thermal management system based on PCM using lateral fins. *Heat and
18 Mass Transfer/Waerme- Und Stoffuebertragung*, 2019, 55:1753–1767.
- 19 [111] C.J. Ho, S.T. Hsu, J.H. Jang, et al. Experimental study on thermal performance of water-
20 based nano-PCM emulsion flow in multichannel heat sinks with parallel and divergent
21 rectangular mini-channels. *International Journal of Heat and Mass Transfer*, 2020,146:
22 118861.
- 23 [112] S. Mousavi, M. Siavashi, A. Zadehkabir. A new design for hybrid cooling of Li-ion battery
24 pack utilizing PCM and mini channel cold plates. *Applied Thermal Engineering*,
25 2021,197 :117398.
- 26 [113] H. He, H. Jia, W. Huo, et al. Field synergy analysis and optimization of the thermal behavior
27 of lithium ion battery packs. *Energies*, 2017, 10:81.
- 28 [114] T. Yamanaka, D. Kihara, Y. Takagishi, et al. Multi-physics equivalent circuit models for a
29 cooling system of a lithium ion battery pack. *Batteries*, 2020, 6:1–19.
- 30 [115] J. Liang, Y. Gan, Y. Li, et al. Thermal and electrochemical performance of a serially
31 connected battery module using a heat pipe-based thermal management system under
32 different coolant temperatures. *Energy*, 2019, 189:1-16.
- 33 [116] W. Wu, X. Yang, G. Zhang, et al. Experimental investigation on the thermal performance
34 of heat pipe-assisted phase change material based battery thermal management system.
35 *Energy Conversion and Management*, 2017, 138:486–492.

- 1 [117] X.-H. Yang, S.-C. Tan, Z.-Z. He, et al. Finned heat pipe assisted low melting point metal
2 PCM heat sink against extremely high power thermal shock. *Energy Conversion and*
3 *Management*, 2018, 160:467–476.
- 4 [118] J. Kim, J. Oh, H. Lee. Review on battery thermal management system for electric vehicles.
5 *Applied Thermal Engineering*, 2019, 149:192–212.
- 6 [119] Y.-R. Ji, S.-T. Weng, X.-Y. Li, et al. Atomic-scale structural evolution of electrode materials
7 in Li-ion batteries: a review. *Rare Metals*, 2020, 39: 205–217.
- 8 [120] S.W.D. Gourley, Z. Chen. Recycling of mixed cathode lithium - ion batteries for electric
9 vehicles : Current status and future outlook. *Carbon Energy*, 2020, 101002.
- 10 [121] H. Teng, K. Yeow. Design of direct and indirect liquid cooling systems for high-capacity,
11 high-power lithium-ion battery packs. *SAE International Journal of Alternative Powertrains*.
12 2012, 1:525–536.
- 13 [122] M. Shen, Q. Gao. System simulation on refrigerant-based battery thermal management
14 technology for electric vehicles. *Energy Conversion and Management*, 2020, 203:112176.
- 15 [123] I.L. Krüger, D. Limperich, G. Schmitz. Energy Consumption of Battery Cooling In Hybrid
16 Electric Vehicles. *International Refrigeration and Air Conditioning Conference*, 2012:1–10.
- 17 [124] M. Hamid Elsheikh, D.A. Shnawah, M.F.M. Sabri, et al. A review on thermoelectric
18 renewable energy: Principle parameters that affect their performance. *Renewable and*
19 *Sustainable Energy Reviews*, 2014, 30:337–355.
- 20 [125] J. Kim, J. Oh, H. Lee. Review on battery thermal management system for electric vehicles.
21 *Applied Thermal Engineering*, 2019, 149:192–212.
- 22 [126] L.T. Yeh, R.C. Chu. *Thermoelectric Coolers*. John Wiley & Sons, Ltd, 2010, 801683.
- 23 [127] Y. Liu, S. Yang, B. Guo, C. Deng. Numerical Analysis and Design of Thermal Management
24 System for Lithium Ion Battery Pack Using Thermoelectric Coolers. *Advances in*
25 *Mechanical Engineering*, 2014, 8:852712.
- 26 [128] Y. Troxler, B. Wu, M. Marinescu, et al. The effect of thermal gradients on the performance
27 of lithium-ion batteries. *Journal of Power Sources*, 2014, 247:1018–1025.
- 28 [129] G. Liao, K. Jiang, F. Zhang, et al. Thermal performance of battery thermal management
29 system coupled with phase change material and thermoelectric elements State of Charge.
30 *Journal of Energy Storage*, 2021, 43:103217.
- 31 [130] Y. Lyu, A.R.M. Siddique, S.H. Majid, et al. Electric vehicle battery thermal management
32 system with thermoelectric cooling. *Energy Reports*, 2019, 5:822–827.
- 33 [131] G. Zhong, B. Mao, C. Wang, et al. Thermal runaway and fire behavior investigation of
34 lithium ion batteries using modified cone calorimeter. *Journal of Thermal Analysis and*

- 1 Calorimetry. 2019, 135:2879–2889.
- 2 [132] P. Wang, Z. Gong, K. Ye, et al. Design and construction of a three - dimensional electrode
3 with biomass - derived carbon current collector and water - soluble binder for high - sulfur
4 - loading lithium - sulfur batteries, 2020, 49:1–11.
- 5 [133] G. Wang, G. Zhao, H. Li, et al. Multi-objective optimization design of the heating/cooling
6 channels of the steam-heating rapid thermal response mold using particle swarm
7 optimization. International Journal of Thermal Sciences, 2011, 50:790–802.
- 8 [134] S. Wu, R. Xiong, H. Li, et al. The state of the art on preheating lithium-ion batteries in cold
9 weather. Journal of Energy Storage, 2020, 27:101059.
- 10 [135] J. Guo, F. Jiang. A novel electric vehicle thermal management system based on cooling and
11 heating of batteries by refrigerant. Energy Conversion and Management, 2021, 237:114145.
- 12 [136] S.F. Huang, Y. Lv, D. Tie, et al. Realizing simultaneously enhanced energy and power
13 density full-cell construction using mixed hard carbon/Li₄Ti₅O₁₂ electrode. Rare Metals,
14 2021, 40:65-71.
- 15 [137] X. Liu, D. Ren, H. Hsu, et al. Thermal Runaway of Lithium-Ion Batteries without Internal
16 Short Circuit. Joule, 2018, 2:2047–2064.
- 17 [138] G. Karimi, X. Li. Thermal management of lithium-ion batteries for electric vehicles.
18 International Journal of Energy Research, 2013, 37:13–24.
- 19 [139] L.I. Gang, X. Huang, X.N. Feng, et al. Design Research on Battery Heating and
20 Preservation System Based on Liquid Cooling Mode. Hunan Daxue Xuebao/Journal of
21 Hunan University Natural Sciences, 2017, 44:26–33.
- 22 [140] A. Pesaran. Battery Thermal Management in EVs and HEVs: Issues and Solutions, Battery
23 Man, 2001,43.
- 24 [141] R. Matthé, U. Eberle. The Voltec System-Energy Storage and Electric Propulsion. Elsevier.
25 2014, 38:151-176.
- 26 [142] R. Matthe, L. Turner, H. Mettlach. VOLTEC Battery System for Electric Vehicle with
27 Extended Range. SAE International Journal of Engines, 2011,4:1944–1962.
- 28 [143] P. Chen, Z. Lu, L. Ji, et al. Design of the Control Scheme of Power Battery Low Temperature
29 Charging Heating Based on the Real Vehicle Applications, in: Vehicle Power & Propulsion
30 Conference, 2013.
- 31 [144] J.B. Straubel, E. Berdichevsky, D. Lyons, et al. Battery mounting and cooling system.
32 BATTERY MODULE, 2015, 14:706837.
- 33 [145] X. Hu, Y. Zheng, D.A. Howey, et al. Battery warm-up methodologies at subzero
34 temperatures for automotive applications: Recent advances and perspectives. Progress in

- 1 Energy and Combustion Science, 2020, 77:100806.
- 2 [146] Z.H. Rao, S.F. Wang, Y.L. Zhang. Thermal management with phase change material for a
3 power battery under cold temperatures. *Energy Sources, Part A: Recovery, Utilization and*
4 *Environmental Effects*, 2014, 36:2287–2295.
- 5 [147] J. Song-Lin, G. Hong-Zhang, W. Luda, et al. Carbon nanotube-based flexible electrothermal
6 film heaters with a high heating rate. *National Library of Medicine*, 2018, 5:172072.
- 7 [148] Y. Wang, X. Zhang, Z. Chen. Low temperature preheating techniques for Lithium-ion
8 batteries: Recent advances and future challenges. *Applied Energy*, 2022, 313:118832.
- 9 [149] A. Pesaran, A. Vlahinos, T. Stuart. Cooling and preheating of batteries in hybrid electric
10 vehicles, in: 6th ASME-JSME Thermal Engineering Joint Conference, 2003, pp. 1–7.
- 11 [150] C.Y. Wang, G. Zhang, S. Ge, et al. Lithium-ion battery structure that self-heats at low
12 temperatures. *Nature*, 2016, 529:515–518.
- 13 [151] C.-Y. Wang, T. Xu, S. Ge, et al. A Fast Rechargeable Lithium-Ion Battery at Subfreezing
14 Temperatures. *Journal of The Electrochemical Society*, 2016, 163:A1944–A1950.
- 15 [152] C.Y. Wang, G. Zhang, S. Ge, et al. Lithium-ion battery structure that self-heats at low
16 temperatures. *Nature*, 2016, 529:515–518.
- 17 [153] R. Xiong, J. Cao, Q. Yu, et al. Critical Review on the Battery State of Charge Estimation
18 Methods for Electric Vehicles. *IEEE Access*, 2018, 6: 1832–1843.
- 19 [154] J. Jagemont, L. Boulon, Y. Dubé. A comprehensive review of lithium-ion batteries used in
20 hybrid and electric vehicles at cold temperatures. *Applied Energy*, 2016,164:99–114.
- 21 [155] Y. Ji, C.Y. Wang. Heating strategies for Li-ion batteries operated from subzero temperatures.
22 *Electrochimica Acta*, 2013, 107:664–674.
- 23 [156] J. Zhang, H. Ge, Z. Li, et al. Internal heating of lithium-ion batteries using alternating
24 current based on the heat generation model in frequency domain. *Journal of Power Sources*,
25 2015, 273:1030–1037.
- 26 [157] T.A. Stuart, A. Hande, HEV battery heating using AC currents. *Journal of Power Sources*,
27 2004, 129:368–378.
- 28 [158] Z. LEI, C. ZHANG, J. LI, et al. Preheating method of lithium-ion batteries in an electric
29 vehicle. *Journal of Modern Power Systems and Clean Energy*, 2015, 3:289–296.
- 30 [159] X. Wu, L. Li, J. Du. Preheating strategy of variable-frequency pulse for lithium battery in
31 cold weather. *International Journal of Energy Research*, 44, 2020:10724–10738.
- 32 [160] Z. Liao, S. Zhang, K. Li, et al. A survey of methods for monitoring and detecting thermal
33 runaway of lithium-ion batteries. *Journal of Power Sources*, 2019, 436:226879.

- 1 [161] S. Ge, Y. Leng, T. Liu, et al. A new approach to both high safety and high performance of
2 lithium-ion batteries. *Science Advances*, 2020, 6:7633.
- 3 [162] C. Xu, J. Jiang. Designing electrolytes for lithium metal batteries with rational interface
4 stability. *Rare Metals*, 2020, 23:99–101.
- 5 [163] K. Liu, W. Liu, Y. Qiu, et al. Electrospun core-shell microfiber separator with thermal-
6 triggered flame-retardant properties for lithium-ion batteries. *Science Advances*, 2017,
7 3:1601978.
- 8 [164] Z. Chen, P.C. Hsu, J. Lopez, et al. Fast and reversible thermoresponsive polymer switching
9 materials for safer batteries. *Nature Energy*, 2016, 1:15009.
- 10 [165] X. Li, Z. Wang. A novel fault diagnosis method for lithium-Ion battery packs of electric
11 vehicles. *Measurement*, 2018,116:402–411.
- 12 [166] J. Zhu, X. Zhang, H. Luo. Investigation of the deformation mechanisms of lithium-ion
13 battery components using in-situ micro tests. *Applied Energy*, 2018, 224:251–266.
- 14 [167] R. Wang. Lithium ion battery failure detection using temperature difference between
15 internal point and surface, *Dissertations & Theses Gradworks*. 2011.
- 16 [168] R. Srinivasan, P.A. Demirev, B.G. Carkhuff. Rapid monitoring of impedance phase shifts
17 in lithium-ion batteries for hazard prevention. *Journal of Power Sources*, 2018, 405:30–36.
- 18 [169] Andriy Kvasha, C. Gutiérrez, U. Osa, et al. A comparative study of thermal runaway of
19 commercial lithium ion cells. *Energy*, 2018, 159, 547-557.
- 20 [170] D.P. Finegan, M. Scheel, J.B. Robinson, et al. Investigating lithium-ion battery materials
21 during overcharge-induced thermal runaway: an operando and multi-scale X-ray CT study.
22 *Physical Chemistry Chemical Physics*, 2016, 18:30912-30919.
- 23 [171] W. Luo, S. Zhu, J. Gong, et al. Research and Development of Fire Extinguishing
24 Technology for Power Lithium Batteries. *Procedia Engineering*, 2018, 211:531–537.
- 25 [172] L. Zhang, Y. Li, Q. Duan, et al. Experimental study on the synergistic effect of gas
26 extinguishing agents and water mist on suppressing lithium-ion battery fires. *Journal of*
27 *Energy Storage*, 2020, 32:101801.
- 28 [173] L. Zhang, Q. Duan, Y. Liu, et al. Experimental investigation of water spray on suppressing
29 lithium-ion battery fires. *Fire Safety Journal*, 2021, 120:103117.
- 30 [174] Y. Liu, Q. Duan, J. Xu, et al. Experimental study on a novel safety strategy of lithium-ion
31 battery integrating fire suppression and rapid cooling. *Journal of Energy Storage*, 2020,
32 28 :101185.
- 33 [175] J. Weng, D. Ouyang, X. Yang, et al. Alleviation of thermal runaway propagation in thermal
34 management modules using aerogel felt coupled with flame-retarded phase change material.

- 1 Energy Conversion and Management, 2019, 200:112071.
- 2 [176] Y. Huang, Y. Wu, B. Liu. Experimental investigation into the use of emergency spray on
3 suppression of battery thermal runaway. *Journal of Energy Storage*, 2021, 38:102546.
- 4 [177] Q. Gao, Y. Liu, G. Wang, et al. An experimental investigation of refrigerant emergency
5 spray on cooling and oxygen suppression for overheating power battery. *Journal of Power
6 Sources*, 2019, 415:33–43.
- 7 [178] C. Jin, Y. Sun, H. Wang, et al. Model and experiments to investigate thermal runaway
8 characterization of lithium-ion batteries induced by external heating method. *Journal of
9 Power Sources*, 2021, 504:230065.
- 10 [179] D. Ren, L. Xiang, X. Feng, et al. Model-based thermal runaway prediction of lithium-ion
11 batteries from kinetics analysis of cell components. *Applied Energy*, 2018, 228:633–644.
- 12 [180] S. Abada, M. Petit, A. Lecocq, et al. combined experimental and modeling approaches of
13 the thermal runaway of fresh and aged lithium-ion batteries. *Journal of Power Sources*,
14 2018, 399:264-273.
- 15 [181] X.G. Yang, G. Zhang, S. Ge. Fast charging of lithium-ion batteries at all temperatures.
16 *Proceedings of the National Academy of Sciences of the United States of America*, 2018,
17 115:7266–7271.
- 18 [182] W. Li, M. Rentemeister, J. Badede, et al. Digital twin for battery systems: Cloud battery
19 management system with online state-of-charge and state-of-health estimation. *Journal of
20 Energy Storage*, 2020, 30:101557.
- 21 [183] Z. Zhang, W. Ma, F. Li, et al. An Attention-Enhanced Edge-Cloud Collaborative
22 Framework for Multi-Task Application, in: 2021, 2021, 49: 9359693
- 23 [184] S. Yang, R. He, Z. Zhang, et al. CHAIN: Cyber Hierarchy and Interactional Network
24 Enabling Digital Solution for Battery Full-Lifespan Management. *Matter*, 2020, 3:27–41.
- 25 [185] B. Wu, W.D. Widanage, S. Yang, et al. Battery digital twins: Perspectives on the fusion of
26 models, data and artificial intelligence for smart battery management systems. *Energy and
27 AI*, 2020,1:100016.
- 28 [186] M.S. Aslanpour, S.S. Gill, A.N. Toosi. Performance evaluation metrics for cloud, fog and
29 edge computing: A review, taxonomy, benchmarks and standards for future research.
30 *Internet of Things*, 2020, 12:100273.
- 31 [187] A. Sy, A. Zz, C.A. Rui, et al. Implementation for a cloud battery management system based
32 on the CHAIN framework. *Energy and AI*. 2021,5:100088.
- 33 [188] Y. wei Pan, Y. Hua, S. Zhou, et al. A computational multi-node electro-thermal model for
34 large prismatic lithium-ion batteries. *Journal of Power Sources*, 2020, 459:228070.