

Applications of Ultrasound in Processing of Liquid Foods (A Review)

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6

7 8 **Abstract**

9 Ultrasonic processing of a variety of liquids, drinks and beverages has generated much
10 interest with published literature papers increasing within this area in recent years.
11 Benefits include enhanced emulsification with improved homogenisation and fat globule
12 size reduction being recorded. In dairy systems increased creaming rates are observed on
13 sonication in a process known as fractionation. Whilst fruit juices exhibit retention or
14 enhancement of quality parameters whilst increasing levels of bioactive compounds.
15 Sterilisation of liquids is a large feature of ultrasonic treatment with microbial activity of
16 a range of fruit juices being monitored over time as increased stability and reduced
17 spoilage is observed. Progress has also been made towards scale up of ultrasonic
18 processes with several examples of batch and continuous processes being studied with
19 reduced processing times and temperatures being quoted as a result of ultrasonic
20 treatment. This short review covers the effect of sonication on liquids and beverages with
21 a specific focus towards dairy and fruit juices and covers emulsification, fractionation,
22 sterilization and some pilot scale initiatives.
23

24 **Keywords: ultrasound; food; liquids; drinks; beverages; processing**
25

26 **1. Introduction**

27 In recent years there has been much activity in the area of employing ultrasound to
28 process and interact with liquid foods primarily with dairy and fruit juices. A literature
29 search for novel research papers, written in 2015-2016 alone, resulted in over 100 papers
30 being located thus indicating the large extent of work in this field. Several authors have
31 also written book chapters and review articles covering the diverse areas of ultrasound
32 use in the food industry namely that of non thermal food processing of beverages [1,2],
33 processing in the dairy industry [3-6], processing of milk protein concentrate for food and
34 sports drinks [7] and the implications of its use on food safety and physicochemical and
35 nutritional properties [8]. These articles are wide ranging and examine the main effects of
36 sonication on microbiological, nutritional and physicochemical parameters of fluid foods.
37

38 The main frequencies used for ultrasonic processing appear to be in the power ultrasound
39 region with the range 20-25 kHz being the most popular. Ultrasound induces mechanical,
40 chemical and biochemical effects in liquids via the production and subsequent collapse of
41 cavitation bubbles [9]. Sound is propagated through a liquid *via* a series of compression
42 and rarefaction waves induced in the molecules of the medium through which it passes. If
43 the frequency of sound applied is of sufficient intensity voids within the liquid are
44 produced which are known as cavities. These cavities often grow as a result of a process
45 known as rectified diffusion, whereupon volatile gases already present within the bulk

46 medium enter the cavities however are not fully expelled during the subsequent
47 compression phase [10]. As these cavities grow they eventually become unstable and
48 collapse releasing high temperatures and pressures on a microscopic scale resulting in
49 extreme shear forces causing mass transfer, highly efficient mixing and homogenization
50 in addition to high temperature ‘hotspots’ within the bulk liquid being processed. It is this
51 cavitation collapse that generates the energy for various effects observed during food
52 processing such as reduced fat globule sizes, greater homogeneity of liquids and
53 enhanced extraction [11].

54
55 These ultrasonic effects in food liquids are monitored via the examination of the physico-
56 chemical parameters of beverages in terms of qualities such as colour, viscosity, brix
57 index, pH and acidity [12-14]. Benefits from the use of ultrasound include an increase in
58 the shelf life of beverages via the reduction of contaminating microbes/bacteria and
59 moulds [15-17]. Reduction in bacterial loading is a common feature which extends to
60 storage monitoring over extended periods ranging from 21 to 42 days with enhanced
61 stabilisation and quality exhibited by ultrasonically treated samples.

62 Additional nutritional and health benefits are being quoted by authors, such as increased
63 levels of antioxidants and bioactive compounds [18] and increased content of flavonoids
64 and flavonols [19] found in ultrasonically treated drinks. Whilst most encouragingly
65 several studies have pointed to the positive responses of taste panels involving consumer
66 groups preferring the textures, taste and flavour of ultrasonically treated beverages above
67 those treated with conventional means [20-23].

68 Often ultrasound is used to extract, mix, emulsify or sterilise liquids as diverse as milk,
69 yogurt and fruit juices. This short review is written to give an indication of the current
70 work being undertaken and covers milk and dairy, fruit juices, sterilization and examples
71 of pilot scale applications.

72

73 **2. Milk and dairy products**

74 Use of ultrasound as an alternative method of processing dairy products has been
75 explored in recent years and examined in terms of physical and functional properties of
76 the food product, food safety, shelf life and possible economic savings to the food
77 producer [24-27]. Emulsification of milk products is of interest and several studies have
78 examined the effect of ultrasound on the degree of emulsification and the overall
79 homogenisation of the final drink [28,29]. Other authors employ ultrasound in order to
80 fractionate the milk liquid and remove fat layers in order to produce lower fat content
81 milk products [30,31]. Sonication has also been employed to disrupt casein micelles and
82 produce milk containing smaller particle sizes. This milk has shown enhanced renneting
83 ability with the potential of more efficient production [32]. Further details of applications
84 can be seen in Table 1 and below.

85

86 **2.1 Degree of emulsification**

87 The stability of milk products during storage are often examined in terms of homogeneity
88 of the milk, fat globule sizes and degree of emulsification. Often flavour compounds are
89 also monitored in order to determine the degree of deterioration of the product [33].

90 Many researchers observe enhanced emulsification and reduced fat globule sizes for
91 ultrasonically treated milk which appears to result in a more stable end product [28,29].

92
93 It is the production of cavitation bubbles which aids in the formation of more stable
94 emulsions when liquids are treated with ultrasound. The collapse of unstable cavitation
95 bubbles results in enhanced levels of turbulence, mixing and shear forces within the bulk
96 liquid. Fat globules caught up in these forces are disrupted and reduced in size. The
97 longer the sonication time the greater the droplet size reduction and the more stable the
98 final emulsion produced [34][35]. However sonication for over 5 minutes of ultrasonic
99 treatment is thought not to be of further benefit [36]. By combining sonication with high
100 pressure homogenisation stable nano-emulsions were produced with much lower fat
101 globule sizes and with much reduced energy demands for the emulsification process [37].
102

103 The functional properties of two compositions of 7% flaxseed oil/milk emulsion were
104 examined after employing 20 kHz ultrasound, 176 W sonication for 1-8 min [28]. The
105 sonication process improved the gelation characteristics, gel strength and elastic nature
106 whilst decreasing the gelation time of the emulsion. This is attributed to the presence of
107 smaller oil globules, stabilized by partially denatured whey proteins. In another study the
108 effect of sonication on fat globules after thermosonication was monitored on a
109 microscopic level, using a 22 mm probe (Hielscher UP400S at 400 W, 24 kHz, 120 μm
110 amplitude) the milk samples were sonicated for 30 mins at 63°C [38]. Fat globules
111 appeared to be disrupted with smaller particle sizes and with a greater degree of
112 homogenisation as compared to thermally treated milk.
113

114 The effect of ultrasound treatment on the structural, physical and emulsifying properties
115 of three dairy proteins: sodium caseinate, whey protein isolate (WPI) and milk protein
116 isolate (MPI) was investigated [39]. Protein solutions were sonicated for 2 min with a
117 power intensity of $\sim 34 \text{ Wcm}^{-2}$. Ultrasound treatment reduced the size and hydrodynamic
118 volume of the proteins with no measurable reduction in their molecular weight. The
119 authors continued this work by examining the unadsorbed and adsorbed milk protein
120 isolate (MPI) and pea protein isolate (PPI). Under similar ultrasonic conditions it was
121 determined that ultrasound treatment reduced the size of both the milk (MPI) and pea
122 protein (PPI) isolates to the nanoscale ($\sim 150 \text{ nm}$) from micron sized aggregates ($\sim 20 \mu\text{m}$)
123 (see Figure 1). Emulsions prepared with these ultrasonically treated milk proteins yielded
124 significantly smaller emulsion droplet sizes than those prepared with untreated or
125 unadsorbed isolates. This suggests that some rearrangement of interfacial proteins occurs
126 thus allowing for the formation of smaller emulsion droplets associated with an enhanced
127 interfacial layer and greater electrostatic repulsive force [29].
128

129 **Figure 1 goes hereabouts**
130
131

132 Ultrasonic reduction of the particle sizes of fat globules were also noted in goat's milk
133 [40], skimmed milk [41], and is also thought to be the cause of increased homogenization
134 in cream [42,43].

135 Ultrasound has a strong use in emulsification with 20 kHz being the most popular
136 frequency employed, however ranges up to 2 MHz are now being explored. The power
137 ultrasound region is still proving to be most efficient for the production of stable

138 emulsions however the extreme temperatures and shear conditions present at this
139 frequency can result in the generation of hydroxyl and hydrogen radicals [44] these in
140 turn can result in lipid oxidation and deterioration of the milk product. Milk stability and
141 lipid oxidation during storage was examined after ultrasonic treatment at frequencies (20,
142 400, 1000, 1600 and 2000 kHz) and specific energies (up to 409 kJ/kg) [33]. Results
143 showed that lipid oxidation in milk can be controlled by reducing the sonication time and
144 temperature and is to some extent dependent upon the fat content of the treated milk
145 itself. The highest concentrations of deterioration products were seen at 1000 kHz and
146 energies above 271 kJ/kg for raw milk and 102 kJ/kg for pasteurized skim milk which
147 bodes well for emulsification purposes. This information could be of interest when
148 designing larger scale up reactors for use in the dairy industry to ensure milk sensory
149 quality retention. Ultrasound appears to be a viable alternative processing technique
150 where stable emulsions could be prepared in the absence of any emulsification enhancers
151 thus leading to more stable additive free products.

152
153

154 **2.2 Fractionation**

155 An interesting application of ultrasound is its use to deliberately fractionate dairy liquids,
156 thus forming distinct layers of fat heavy or fat low concentrations in order enhance the fat
157 creaming rate.

158 Fractionation employs the premise of ultrasonic standing waves which are produced in a
159 liquid as it is subjected to sonication resulting in the formation of nodes of low pressure
160 and anti nodes of high pressure. Higher frequencies of ultrasound (>400 kHz) are
161 employed to achieve fat separation/fractionation as it is thought to be a more gentle
162 process encouraging fat movement rather than the highly disruptive/mixing force present
163 at much lower ultrasonic frequencies. Fat globules naturally migrate to areas of high
164 pressure (anti nodes) and become concentrated in these nodes whereupon the fat globules
165 flocculate and coalesce resulting in an enhanced creaming rate. Using ultrasound
166 separation rates many times faster than natural conventional creaming have been reported
167 [30,31].

168 The ability of ultrasound to fractionate fat was observed in milk where ultrasonic
169 frequencies of 0.4 MHz and 1.6 MHz were employed at 35 °C for 5 mins. Coalescence of
170 fat globules was confirmed by micrographs and particle size measurements [45]. The
171 work was then scaled up to 6 Litres where various transducer frequencies and
172 configurations were employed to enhance creaming with most efficient results being
173 obtained with 0.4 MHz employing single and double vertical transducer configurations
174 [46].

175 A process where ultrasound enhanced gravity achieved separation of milk, in order to
176 fractionate the fat and form distinct particle size distributions, has also been examined
177 [47]. By sonicating the milk for periods of 5-20 mins clear volume partitions were
178 removed and the fat content and size distribution of fat droplets determined. Further
179 stages involved 5 min sonication, with single and dual transducer configurations at 1
180 MHz and 2 MHz, followed by aliquot collection for particle size characterization.
181 Ultrasound enhanced fractionation provided fat enriched fractions located at the top of
182 the vessel of up to $13 \pm 1\%$ (w/v) with larger globules present in the particle size
183 distributions. Semi-skim milk fractions located at the bottom of the vessel could be
184 produced, containing proportionally smaller sized fat globules as low as $1.2 \pm 0.01\%$

185 (w/v). Higher frequency ultrasound at 2 MHz was more effective in manipulating smaller
186 sized fat and removed $59 \pm 2\%$ of the fat contained in the initial sample compared to only
187 $47 \pm 2\%$ removed with 1 MHz after 3 ultrasound-assisted fractionation stages.

188 The continuous ultrasonic separation of milk fat using a 1.8 L flow reactor at volumetric
189 flow rates was scaled up to 33 L/h by using simultaneous 1 MHz/2 MHz sonication at
190 temperatures around 30 °C [48].

191 The partially skimmed, or fat enriched milk, was treated by cycling it multiple times
192 through the reactor for further fat skimming or concentration, respectively. The visible
193 cream layer was removed from the top of the separation vessel, and the skimmed milk
194 retained was processed again in the following stage (see Figure 2).

195

196

197 **Figure 2 goes hereabouts**

198

199

200

201 The milk fat was separated into fat enriched and skimmed streams with final product
202 volumes of between 0.2 and 5 L. Higher frequencies of ultrasound are well known to
203 produce oxidative free radicals however here there is thought to be no damage to fat
204 globules as lipid oxidation derived volatiles were also found to be below the human
205 sensory detection level and no oxidative changes were observed.

206 Fractionation studies include the temperature effect of sonication on fat coalescence [30]
207 the effect of cavitation and non cavitation on separation [49] and subsequent scale up
208 design parameters [31]. All these studies determined that the use of ultrasound enhances
209 the efficiency of the separation process.

210

211 **2.3 Effect on milk proteins**

212 Ultrasound is thought to enhance the destabilisation of casein micelles in milk. This can
213 be used to advantage in the coagulation of various milk sources for example goat's milk,
214 and reconstituted milk. Goats milk is known for its weaker coagulation abilities as
215 compared to cows' milk however in this study the use of ultrasound at 20 kHz, 800W for
216 up to 20 mins treatment prior to addition of rennet resulted in smaller and more uniform
217 particle sizes in the coagulant formed [32]. This results in a firmer final product with a
218 faster rate of coagulation observed.

219 Reconstituted milk was sonicated at 30 °C using frequencies (20, 400 or 1600 kHz,
220 energy input of 286 kJ/kg) [50,51]. It is thought that the physical and mechanical action
221 of sonication disrupted the casein micelles sufficiently to allow proteins to be released
222 into the milk serum which then reassembled to form aggregates of smaller sizes. This
223 milk source was then treated with rennet and the subsequent process was shown to have
224 enhanced properties in terms of rennet gelation rate, curding rate and curd firmness. This
225 effect was greatest at the lowest treatment frequencies and may be used to produce a
226 product which has different properties to that of thermally treated milk in addition to a
227 more efficient manufacturing process.

228 Ultrasonically treated milk samples also showed an increase in the gel firmness,
229 coagulum strength, final storage modulus, cohesiveness and water holding capacity [52].
230 These factors were stated to be due to a decrease in the soluble proteins and an increase

231 of insoluble high molecular weight co-aggregates formed as a result of protein
232 denaturation on sonication [52-54]. In these cases once again 20 kHz was the favoured
233 frequency of sonication. Authors state an enhanced protein solubilisation and a delayed
234 serum separation with no change to quality or sensory parameters of the final product.
235

236 **3. Fruit Juice**

237 Food drinks are normally processed to increase their shelf-life and thermal pasteurization
238 is the procedure of choice due to its efficiency in preventing microbial growth in many
239 types of beverages including fruit juices. The obvious downside of this treatment is the
240 use of high temperatures which may lead to undesirable biochemical and nutritional
241 variations that could affect the quality characteristics of the final product.

242 Consumers tend to prefer extracted juices which have a fresh taste with minimal flavour
243 and nutrient losses. Maintaining quality via the retention, or enhancement of bioactive
244 material, such as concentrations of antioxidants or vitamins present, would obviously be
245 beneficial to the consumer and also to the producers themselves from a health marketing
246 point of view.

247 The use of ultrasound as a non thermal processing technology has generated great interest
248 as a viable alternative to the use of conventional thermal methods, since it appears to
249 have minimal impact on sensorial and nutritional properties of fresh juices whilst having
250 the ability to process liquids at much lower temperatures. Its ability to maintain juice
251 quality whilst enhancing bioactive ingredients in addition to increasing shelf life, due to
252 the reduction of spoilage microorganisms, has proven to be of great interest to many
253 researchers as the current wealth of publications in this area indicates. Further details on
254 applications and experimental conditions are found below and also in Table 2.
255

256 **3.1 Enhancement of fruit juice quality**

257 Analysis of the quality of fruit juices involves monitoring of physico-chemical
258 parameters such as pH, acidity, Brix index, colour, cloudiness and also electrical
259 conductivity. Factors such as the total antioxidant ability are monitored via the use of
260 common radical scavengers, such as 2,2-diphenyl-1-picrylhydrazyl (DPPH) , with total
261 phenolics, flavonoids and flavonols also being evaluated as examples of the quality of the
262 juice pre and post sonication. Ultrasound treatment of fruit juices at low temperatures,
263 even as low as room temperature or below, has the potential of making significant
264 savings to food processors and producers. As a result there are many examples of work
265 on many different types of fruit juices all combining to provide significant evidence of
266 the benefits of ultrasonic processing.

267 The sonication of grapefruit for 30, 60 and 90 min, at 28 kHz and constant temperature of
268 20 °C, showed that there was significant improvement in the cloud value, total
269 antioxidant capacity, DPPH free radical scavenging activity, ascorbic acid, total
270 phenolics, flavonoids and flavonols in all sonicated juice samples [19]. Some differences
271 in colour values were observed but the overall quality of grapefruit juice was improved
272 with no changes to pH, Brix or acidity levels. Employing a pulsed electric field in
273 combination with ultrasound on grapefruit juice resulted in no significant change in pH,
274 acidity, Brix and electrical conductivity however a significant decrease in viscosity and
275 increase in cloud value was observed [55].

276 Thermosonication (ultrasound combined with thermal treatment) of fresh apple juice
277 using an ultrasonic bath (25 kHz, 30 min, 0.06 W cm^{-3}) and probe (20 kHz, 5 and 10
278 min, 0.30 W cm^{-3}) at 20, 40 and 60°C was employed for the inactivation of spoilage
279 enzymes (polyphenolase, peroxidase and pectin methyl esterase) and microflora (total
280 plate count, yeast and mould) [56]. The highest inactivation of enzymes was obtained
281 with the ultrasonic probe at 60°C for 10 min, where the microbial population was
282 completely inactivated at 60°C . All other juice parameters such as Brix and acidity were
283 significantly improved. Thermosonication was also employed to deactivate pectin methyl
284 esterase in sour orange juice. The optimal processing conditions for inactivation, both in
285 the thermal process and with thermosonication, were 21.8 min at 75°C and 9.8 min at
286 63°C , respectively. The results indicate that thermosonication of sour orange juice could
287 entirely inactivate the pectin methyl esterase [57].
288 The effect of sonication on polyphenolic compounds, sugars, carotenoids and minerals in
289 apple juice was also investigated [58]. Samples were sonicated for 0, 30 and 60 min at 20°C
290 ($\text{frequency } 25 \text{ kHz}$ and $\text{amplitude } 70\%$, 2 W/cm^2). The concentration of polyphenolic
291 compounds and sugars increased significantly when sonicated at 30 mins however
292 sonication for 60 mins enhanced total carotenoids, mineral elements (Na, K and Ca) and
293 viscosity suggesting some improvement of phytonutrients present naturally in apple juice.
294 Examining the physico-chemical parameters of the ultrasonically treated apple
295 determined that no significant effect of sonication was observed on juice characteristics
296 such as Hunter colour values, cloud value, antioxidant capacity, and scavenging activity
297 [12]. However a significant reduction in microbial population was observed suggesting
298 improved quality and safety of the ultrasonically treated juice. In addition by using pulsed
299 ultrasound (5s on/off, amplitude 30, 60 and 90% , $\sim 2 \text{ Wcm}^{-2}$) applied via an ultrasonic
300 probe (20 kHz, 20°C for 3min), significant increases in quality parameters were observed
301 in all sonicated samples with consumer panels preferring the sonicated samples as
302 compared to others during taste tests [20].
303

304 **3.2 Enhancement of bioactive ingredients**

305 One of the benefits of using ultrasound is its enhanced performance at lower temperatures
306 as compared to other methods of processing liquids. Use of higher temperatures during
307 processing leads to the degradation of many nutritionally beneficial compounds and can
308 result in oxidative degradation of many others. Ultrasound has been shown to retain many
309 bioactive ingredients both immediately after processing and also during storage.
310 Resveratrol-enriched grape juice was produced from 3 varieties of grape cleaned using
311 sonication for 5 mins post harvest and then incubated for 6 h in the dark at 25°C . This
312 resulted in the amounts of resveratrol increasing in the final juice by factors of 1.53, 1.15
313 and 1.24 [59].
314 Bioactive and antioxidant compounds in black mulberry juice were evaluated by
315 examining different sterilization methods namely thermal (12.81 kJ/mol), microwave
316 (13.07 kJ/mol), and ultrasonic processing (15.99 kJ/mol) [18]. Post treatment samples
317 were stored for 8 days at temperatures of 5, 15, and 25°C . The antioxidant activity of
318 thermal treated juice was shown to deplete with storage time, whilst both ultrasound and
319 microwave treated juices showed an increase during the first 2 days which then later
320 decreased with storage time.

321 The qualities of fruit and vegetable juices (orange, sweet lime, carrot and spinach juices)
322 were examined with respect to simultaneous ultrasonic (20 kHz, 100 W, 15 min) and UV
323 treatment (2 UVC lamps (254 nm) 8 W) and compared to conventional thermal
324 pasteurization (80 °C for 10 min) [60]. Treated juices were analysed for total phenol
325 content, antioxidant activity, vitamin C levels and carbohydrate content. Ultrasound
326 treated juice retained most of its nutritional qualities whilst a scale up attempt with
327 spinach juice was also successful in retaining nutrients for 18 days post treatment
328 resulting in an enhanced shelf life as compared to conventional thermally treated juices.
329 The sensory properties of the ultrasound treated juice were most acceptable to the
330 consumer taste panel, which preferred the ultrasound treated juice in terms of taste,
331 flavour and odour; as a result samples were rated similarly to the quality parameters of
332 the fresh untreated juices [22].

333
334 Other studies also indicate that juices retained or enhanced their physico-chemical
335 qualities on ultrasonic processing namely peach juice [13]; pear juice [61]; five fruit
336 juices namely carambola (*Averrhoa carambola* L.), black jamun (*Syzygium cumuni*
337 L.Skeels.), watermelon (*Citrullus lanatus* var *lanatus*), pineapple (*Ananas comosus* L.
338 Merr) and litchi (*Litchi chinensis* Sonn.) [62]; cactus pear juice [63]; Chokanan Mango
339 (*Mangifera indica* L.) [64,65], apple juice [66]; apple and nectar juices [67,68];
340 strawberry juice [69] and kiwifruit juice [70].

341
342 All authors state beneficial enhancements of antioxidants and reduction of microflora
343 within the juices whilst either maintaining or improving the quality parameters. Only one
344 set of authors have highlighted a negative result [71]. Here the levels of sonication
345 required in order to inhibit the browning of fresh apple juice resulted in a reduction in the
346 concentration of the total phenolics, flavonoids, chlorogenic acid and antioxidant activity
347 of the juice. The authors state that ultimately enzyme browning of fresh apple juice is
348 dependent on three parameters namely polyphenol oxidase (PPO) enzyme activity,
349 oxygen presence, and levels of phenolic compounds. The authors suggest that despite an
350 increase in PPO activity the reduction in enzymatic browning they observed was as a
351 result of degassing of the apple juice on sonication, resulting in oxygen removal.
352 Beneficial phenolic and anti-oxidant compounds in the juice were also degraded at an
353 enhanced rate by the presence of free radicals formed in the bulk liquid as a result of
354 ultrasonic cavitation. The combination of all these effects resulted in an unsatisfactory
355 final product.

356
357

358 **3.3 Non Dairy Pro-biotic Juices**

359 Pro-biotic juices of a non dairy variety are now becoming popular with consumers who
360 want to limit or avoid the intake of dairy. The development of juices which contain
361 beneficial bacteria is therefore a potential area for growth in the market. Use of
362 ultrasound to encourage the growth of pro-biotic bacteria in fruit juices has proven to be
363 beneficial and a range of juices have been inoculated and treated by sonication often with
364 positive results.

365 The use of pineapple juice as substrate for *L. casei* cultivation was investigated and it was
366 determined that the maximum microbial viability was at 31 °C and pH 5.8 in
367 ultrasonically treated samples. After 42 days of storage under refrigeration (4 °C), the

368 microbial viability was 6.03 Log CFU/mL in the non-sweetened sample and 4.77 Log
369 CFU/mL in the sweetened sample [72,72,73]. In addition high-intensity ultrasound (376
370 W/cm² and 10 min) reduced polyphenoloxidase activity by 20%; juice viscosity by 75%
371 and enhanced both the juice colour and stabilization during 42 days of storage when
372 compared to the non-sonicated samples [72].
373 Cantaloupe melon juice was examined as a non dairy probiotic juice substrate for
374 *Lactobacillus casei* [74]. The ultrasonically treated juice was stored for 42 days at 4 °C
375 and various parameters such as colour, acidity and levels of viable cells were evaluated.
376 The caloric value was reduced during the storage period due to sugar consumption by the
377 remaining microorganisms however all other parameters were retained.
378 Evidence exists for the beneficial use of sonication for production of non dairy probiotic
379 juices if consumer interest continues to grow the potential for enhanced novel products
380 should also increase.

381
382

383 4. Sterilization

384 The food industry uses conventional thermal pasteurization and sterilization techniques in
385 order to inactivate microorganisms and enzymes and to increase the shelf life of many
386 products. While most vegetative microorganisms and some spores respond well to such
387 techniques others prove more resistant and this can result in spoilage. Thermal processes
388 require high levels of energy and as a result impact, sometimes unfavourably, on the
389 nutritional content, sensory properties and quality of the final product [75]. In addition to
390 disadvantageous effects on the products the economic costs of energy involved in these
391 high temperature processes have a large impact on the food production process and
392 ultimately on the manufacturer themselves. Additionally there is always the risk of food
393 borne microbial infections associated with the consumption of inadequately
394 sterilised/pasteurized dairy products and fruit juices.

395 Several studies have shown the ability of ultrasound to inactivate spoilage and pathogenic
396 microorganisms and enzymes in dairy products [6,24,25,76-79] and fruit juices [80-83]
397 (See Table 3 and below for further details). This is often attributed to thinning of cell wall
398 membranes, formation of localized hotspots and production of free radicals within the
399 bulk medium [84-86]. It is also stated that the use of ultrasound produces mechanical
400 forces which result in the breaking and shearing of microorganism cell walls. Electron
401 microscopic analysis of milk spoilage microbes namely *Escherichia coli*, *Saccharomyces*
402 *cerevisiae* and *Lactobacillus acidophilus* showed that sonication inflicts both internal and
403 external damage on the microbes [87,88].

404 However use of ultrasound as a sole method of inactivation is not feasible with most
405 benefits being observed with combined treatments such as thermosonication,
406 manosonication or use of UV irradiation [89]. High intensity, low frequency (10-1000 W
407 cm⁻² or 20-100 kHz) ultrasound is most often quoted as being able to generate sufficient
408 acoustic cavitation to be of benefit.

409

410 The microbial activity of a range of fruit juices over several weeks was monitored (see
411 Figure 3). Those treated with ultrasound for 30 minutes at temperatures below 30 °C,
412 either with or without additional UV irradiation, provided similar inactivation rates as
413 compared to conventionally treated samples over the longest storage times [60].

414 Ultrasound consistently maintained microbiological safety levels for the range of fruit

415 juices studied for up to 10 weeks post treatment however achieved at much lower
416 treatment temperatures.

417

418

419 **Figure 3 goes hereabouts**

420

421

422 Thermosonication of skim milk and cream at average powers of 104 W (133 μ mp-p) and
423 115 W (152 μ mp-p) for 1 and 3 min destroyed coliforms and over 99% of the total
424 aerobic bacteria as well as decreasing plasmin activity by almost 94%. On longer term 30
425 day storage the total aerobic bacteria counts of thermosonicated skim milk and cream
426 samples were less than 20,000 cfu/mL [41].

427 The effect of thermosonication (35 kHz) on Ayran, an acidic milk drink, were also
428 investigated at different temperatures (60, 70 and 80°C) and times (1, 3 and 5 min). The
429 effects on the physicochemical, microbiological and sensorial of the drink were examined
430 during storage [23]. According to the results, thermosonication was most effective at
431 70°C for 3 mins with both *Streptococcus thermophilus* and *Lactobacillus delbrueckii*
432 *subsp. bulgaricus* counts decreasing as the temperature and treatment time increased. The
433 sensory properties of the thermosonicated samples were also better than the thermally
434 treated samples.

435 Two flow-through reactors for hydrodynamic and ultrasonic cavitation were employed
436 for the simultaneous pasteurization and homogenization of fresh cow milk [4].

437 Hydrodynamic cavitation was employed via a loop reactor at 6 bar pressure under a CO₂
438 atmosphere for 30 min treatment durations which resulted in up to 88% microorganism
439 inactivation. Employing acoustic cavitation in an ultrasonic flow reactor (Sonotube®,
440 370 W) resulted in a 95% microorganism inactivation within 10 minutes of treatment.

441 The authors state that efficient homogenization was achieved and that both processes can
442 easily be scaled-up for industrial applications.

443 Ultrasound was combined with either sodium benzoate or citrus extract, to inhibit
444 *Fusarium oxysporum* in orange juice. Ultrasonic treatment at 130W, 20 kHz; amplitude
445 40% to 100%, pulse (2 and 10 s), and duration of the treatment (from 2 to 10 min
446 achieved a reduction of 5 log cfu/ml for at least 14 days [90]. The inactivation of spoiling
447 yeasts of fruit juices were initially tested towards *Saccharomyces cerevisiae* inoculated in
448 different juices (strawberry, orange, apple, pineapple and red-fruits) [81]. Optimum
449 conditions 130W amplitude 60 %, 4 min duration with 2 s pulse was then applied to
450 yeasts (*Pichia membranifaciens*, *Wickerhamomyces anomalus*, *Zygosaccharomyces*
451 *bailii*, *Zygosaccharomyces rouxii*, *Candida norvegica*) [91]. Ultrasonic power and
452 duration had the most effect in reducing levels of the organisms, with the use of
453 intermittent ultrasonic pulse treatment having only a minimal influence on the results
454 [81,91].

455 The survival and growth of *Escherichia coli* in cactus pear juice was evaluated over 5
456 days in terms of total soluble solids, pH, titratable acidity, [15]. Total inactivation was
457 observed after 5 min of ultrasound treatment 20 kHz, 1500W at most amplitude levels
458 (60%, 70%, 80% and 90%).

459 The microbial shelf life of yeasts *Candida parapsilosis* and *Rhodotorula glutinis* in
460 cloudy apple juice was also examined [80]. Samples treated with ultrasound produced a

461 reduction of aerobic mesophilic counts and psychrophilic bacteria of approximately 3 and
462 5 log CFU/mL respectively. Consumer taste test panels also expressed a preference for
463 the sonicated juice [21].

464
465 Other authors investigated bacteria, yeast and spores in a range of liquid beverages for
466 example the inactivation of *Geobacillus stearothermophilus* in skim milk powder [92],
467 *Alicyclobacillus acidoterrestris* spores in orange juice [82]; inactivation of
468 *Alicyclobacillus acidoterrestris* spores and *Saccharomyces cerevisiae* inoculated in
469 natural squeezed apple juices [83]; *Escherichia coli* ATCC 35218, *Salmonella Enteritidis*
470 MA44 and *Saccharomyces cerevisiae* KE 162 and indigenous flora in commercial (CAJ)
471 and freshly pressed (NAJ) apple juices [93]; inactivation of *Listeria monocytogenes* and
472 *Escherichia coli* suspended in apple and orange juices [94]; *Escherichia coli* O157: H7
473 and *Salmonella Enteritidis* in mango juice [95]; *Escherichia coli* K12 cells suspended in
474 apple cider [96]; *Escherichia coli*, *Pseudomonas fluorescens*, *Staphylococcus aureus* and
475 *Debaryomyces hansenii* in milk [97]; *Escherichia coli* ATCC 25922 and *Saccharomyces*
476 *cerevisiae* in pomegranate juice [98,99]. *Saccharomyces cerevisiae* in orange juice [100];
477 *Listeria monocytogenes* in nonfat, low-fat and full-cream milks [101].

478
479 All authors state an inactivation of bacteria or yeasts when employing sonication with
480 thermosonication being the most common process employed. Authors also claim an
481 increase in shelf life with no reduction of flavour stability or texture. The most often
482 quoted frequencies used are in the range of 20 kHz with short duration times, often well
483 under 5 minutes. Treatment temperatures are most commonly 50-60 °C with a few below
484 being investigated. Nevertheless temperatures are well below those normally employed
485 for thermal pasteurization and sterilization thus leaving open the option of potential
486 economic savings in terms of energy use for mass producers

487

488 **5. Potential for pilot scale applications**

489 Some authors have previously reported examples of scale up work using ultrasound in
490 food processing describing equipment used for batch and continuous processing [102],
491 with applications ranging from homogenization, pasteurization and solid/liquid mixing
492 [103][104]. Novel ultrasonic transducers have been specifically tailored to the
493 requirements of a range of industrial food processes. These comprise a variety of
494 transducers designed with the radiators adapted to different specific uses in fluids and
495 multi-phase media [105]. Often bespoke systems are required for optimal results
496 whereupon each process has been assessed on an individual basis and configurations can
497 range up to 1000L volumes using a range of ultrasonic frequencies. With regard to the
498 very most recent work several authors have attempted to reproduce results found on a
499 laboratory scale to slightly larger proportions or attempted to identify processes which
500 could be scaled up to some extent (see Table 4).

501

502 A novel type of ultrasound equipment employed for the extraction of virgin olive oil has
503 been developed [106]. Two ultrasound assisted extraction processes (35 kHz, 150W,
504 4.25L) were compared to the traditional method. The sonication treatment was applied to
505 olives submerged in a water bath (before crushing) and also to olive paste (after
506 crushing). A reduction of the processing time with enhanced extract yields was observed

507 with the whole olives exhibiting greater yields of minor compounds and greater ease of
508 extraction as compared to the traditional method.
509 Olive oil with oleuropein was produced both on a laboratory and pilot plant scale [107].
510 Olive oil was treated with 25 kHz, 200W frequency sonication using a 30L bath type
511 batch system. Extraction of the target phenolic compounds was enhanced within 45
512 minutes when sonicated as compared to those obtained using conventional methods. The
513 final oil exhibited a higher radical scavenging capacity indicating an enrichment of these
514 compounds within the final product.
515 A doughnut shaped ultrasonic reactor was employed at 20 kHz sonication frequency to
516 enhance corn slurry saccharification [108]. Continuous flow experiments were conducted
517 by pumping corn slurry at various flow rates (10–28 L/min) through the ultrasonic horn
518 and the yield and particle sizes of the sugars were monitored. The sonicated samples were
519 found to produce 2–3 times more reducing sugars than the unsonicated controls.
520 In another study Tween 80, or milk protein isolate (MPI), were employed as emulsifiers
521 with continuous laboratory scale processing carried out by positioning the ultrasonic
522 probe (20 kHz, 750W) orthogonal to the path of flow of the pre-emulsion with flow rates
523 of 25– 250 mL/min and an amplitude of 20–40% (see Figure 4.(a)). Experiments were
524 increased to pilot scale employing the Hielscher Ultrasonics UIP1000hd equipment
525 which again had the probe orthogonal to the path of flow of the pre-emulsion (see Figure
526 4.(b)) [109].

527

528 **Figure 4 goes hereabouts**

529

530

531 In the pilot scale experiments flow rates ranged from 2700 to 5700 mL/min (163–343
532 L/h) with ultrasound at 20 kHz, 1000W, amplitudes of 50– 100%. Processing volume,
533 residence time and ultrasonic amplitude, as well as emulsion formulations, emulsifier
534 type and concentration, were studied for the effect on emulsion droplet size. Emulsions
535 prepared with ultrasound yielded submicron droplets, ~200 nm. Efficiency was
536 determined to be the result of smaller processing volumes required for continuous
537 ultrasonic emulsification [109].

538 Emulsifying agents Tween 80 and Span 80 were used to prepare stable emulsions of
539 coconut oil in water [110]. The effect of different ultrasonic operating parameters on a
540 50mL–2 L scale were examined in addition to the stability and droplet sizes of the final
541 emulsions produced. Several reactor designs were suggested with the authors stating that
542 the power dissipated per unit volume of emulsion being of prime importance and that the
543 final droplet size of the emulsion can be correlated with the time of sonication and power
544 density employed.

545 Using milk protein concentrate and a batch mode, pilot-scale process, involving
546 ultrasound pre-treatment, enzymolysis, membrane filtration and drying; the production of
547 angiotensin converting enzyme (ACE), inhibitory and anti-oxidative peptides was
548 investigated. The milk concentrate solutions, 50L containing 2% or 5% protein, were
549 sonicated for 4 min at 800W then hydrolysed for 2h followed by ultrafiltration. Results
550 indicated that membrane filtration can separate ACE inhibitory and anti-oxidative
551 peptides in complex protein hydrolysates [111].

552 The performance of ultrasound based sterilization for processing of different fruit and
553 vegetables was evaluated. 5L volumes of spinach juice were studied and larger scale
554 results met microbiological and physiochemical safety limits even after 20 days storage
555 under refrigerated conditions [60].

556 Several experimental parameters were optimised for processing large volumes of whey
557 and casein-based dairy systems in pilot scale ultrasonic reactors [112]. Flow rates ranging
558 from 200 to 6000 mL/min. were examined and a significant reduction in viscosity
559 observed. The gelling properties and heat stability of the sonicated samples were retained
560 even after spray drying and reconstitution. The authors state that the sonication procedure
561 may be used to improve dairy process efficiency and improve production times.

562 Finally two 400 kHz transducers were employed to separate out palm oil from an ex-
563 screw press feed. The plates were placed into direct contact with the feed and the rate of
564 oil recovery was greatly increased by sonication. The final oil quality was also unaffected
565 in terms of its bleachability index, vitamin E and free fatty acid content thus indicating
566 that sonication enhances recovery whilst maintaining the quality of the final oil [113].
567

568 Recently reported work in this area appears to suggest that authors are beginning to scale
569 up processes which appear to be very promising to a more industrial focus. This suggests
570 that users are becoming more open to the potential of employing ultrasound in a range of
571 food processes ranging from sterilisation and emulsification to reducing processing times
572 and temperatures.
573

574 **6. Conclusion**

575 In conclusion the benefits of using ultrasound to process liquid foods are clear. Enhanced
576 emulsification and sterilization can be achieved at much lower temperatures than
577 conventional processing, thus producing a more stable product whilst preventing
578 deterioration and retaining many beneficial bioactive ingredients.

579 Ultrasonically enhanced fat fractionation, casein disruption and production of dairy pro-
580 biotic drinks offers the potential of reduced processing times, and as a result economic
581 savings, as well as possible products which have different attributes than those produced
582 through conventional means.

583 Scale up of several processes has been achieved thus opening up the possibility of more
584 industry led ultrasonic processes with viable economic savings to the producers in terms
585 of processing times, temperatures and value added products.

586 Finally consumers also appear to be satisfied with several studies showing that
587 ultrasonically treated products appear to perform better with taste panels in terms of
588 quality, texture and flavour of the final products themselves.

589 All these factors lead to a positive outcome for future use of ultrasound within the liquid
590 food processing industry.
591
592
593

594 **Tables 1 – 4 go here**

595
596

597 **7. References**

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931 Figure 1. Protein size distributions for (a) 1% untreated MPI (solid line) and 1% ultrasound treated MPI
932 (dashed line) and (b) 1% untreated PPI (solid line) and 1% ultrasound treated PPI (dashed line). ([33]
933 [doi:10.1016/j.colsurfa.2015.07.065](https://doi.org/10.1016/j.colsurfa.2015.07.065)) Reprint permission under a Creative Commons [license](#)

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936 Figure 2. Schematic depiction of the stage-based ultrasonic processing protocol. Each 'stage' refers to
937 5 min of sonication, after which sample is collected at the very top and bottom of the separation vessel.
938 These samples represent the extents of separation after each stage. [43]

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941 Figure3. Microbial activity of juices treated with different methods (combinations of US and UV as well as
942 thermal) during storage at 4 °C for 10 weeks [57].

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945 Figure 4. Schematic of continuous ultrasonic emulsification for (a) lab scale and (b) pilot scale trials. [104]
946 [doi:10.1016/j.jfoodeng.2015.05.001](https://doi.org/10.1016/j.jfoodeng.2015.05.001)). Reprint permission under a Creative Commons [license](#)

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949 **Table 1 – Ultrasonic parameters and effects on milk and dairy products**

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951 **Table 2 – Ultrasonic parameters and effects on fruit juices**

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953 **Table 3 - Ultrasonic parameters and effects on sterilization**

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955 **Table 4 – Examples of Ultrasonic Pilot Scale**

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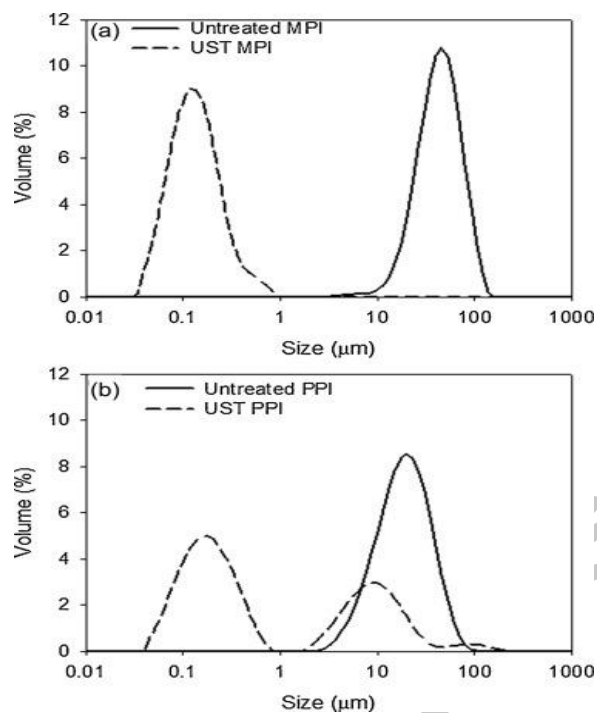


Figure 1. Protein size distributions for (a) 1% untreated MPI (solid line) and 1% ultrasound treated MPI (dashed line) and (b) 1% untreated PPI (solid line) and 1% ultrasound treated PPI (dashed line). ([33] doi:10.1016/j.colsurfa.2015.07.065) Reprint permission under a Creative Commons license

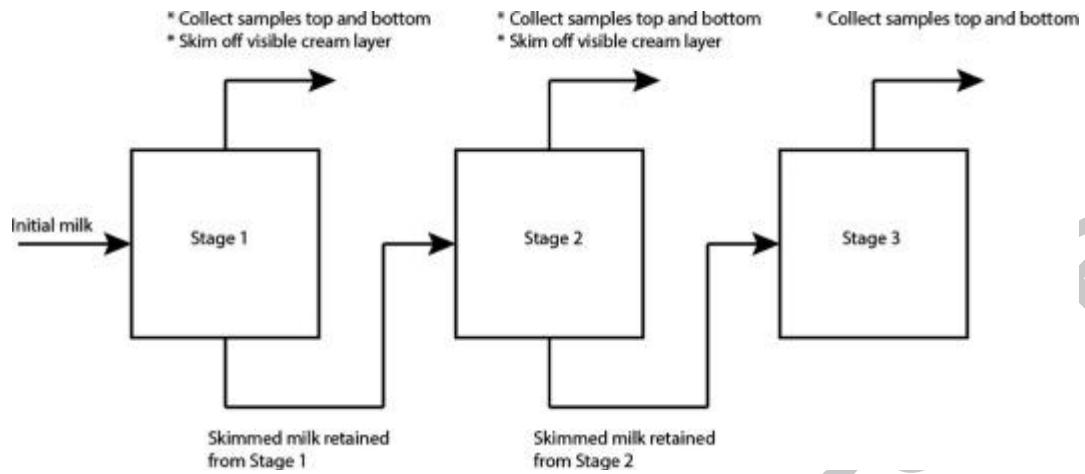


Figure 2. Schematic depiction of the stage-based ultrasonic processing protocol. Each 'stage' refers to 5 min of sonication, after which sample is collected at the very top and bottom of the separation vessel. These samples represent the extents of separation after each stage. [43]

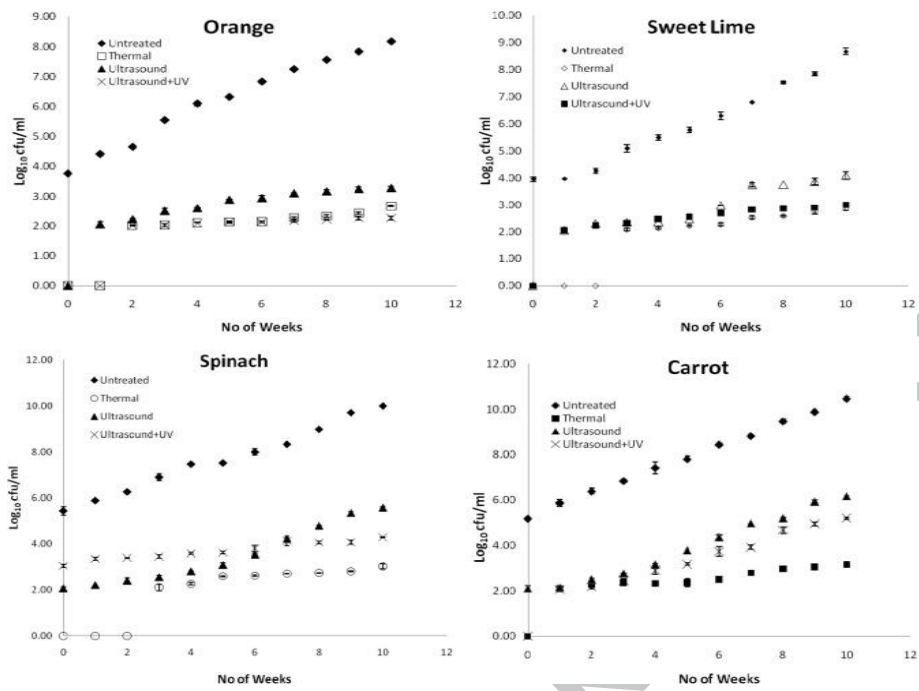


Figure 3. Microbial activity of juices treated with different methods (combinations of US and UV as well as thermal) during storage at 4 °C for 10 weeks [57].

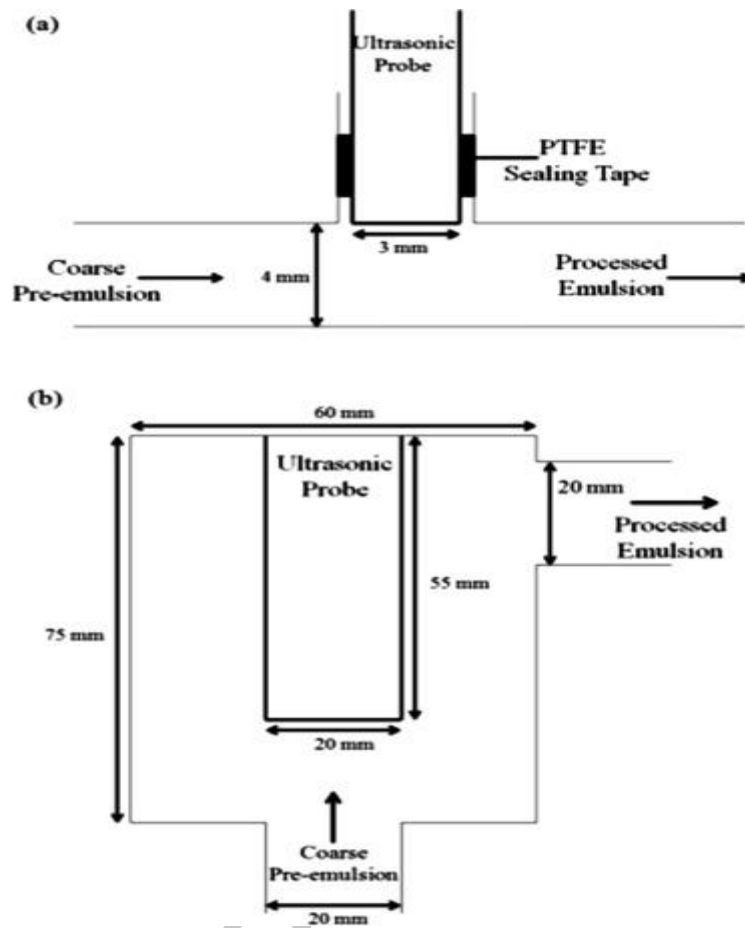


Figure 4. Schematic of continuous ultrasonic emulsification for (a) lab scale and (b) pilot scale trials. [104] doi:10.1016/j.jfoodeng.2015.05.001). Reprint permission under a Creative Commons license

962 **Table 1 – Ultrasonic parameters and effects on milk and dairy products**

Sample	Experimental parameters	Effect of Ultrasound	Author
Oil/Water	20 kHz, 400 W, amplitude 30 μm , approx 21 $^{\circ}\text{C}$	Production of nanoemulsions with mean particle sizes down to 40 nm	[35]
Flax seed Oil/Water	20–24 kHz, 400 W	Effective production of emulsions. More than one to five minutes sonication is ineffective	[36]
Oil/Water	24 kHz, amplitude 100 μm , 20 $^{\circ}\text{C}$, up to 300 secs	More stable nano-emulsions prepared by combining high pressure and high power ultrasound	[37]
Flax seed oil emulsions in dairy systems	20 kHz, 176 W, 1-8 min UV light (13.2 W cm^{-2}) 65 $^{\circ}\text{C}$ for 30 min	Produced stable emulsions for a minimum of 9 days storage at 4 \pm 2 $^{\circ}\text{C}$	[28]
Dairy proteins	20 kHz, ~ 34 W cm^{-2} , 2 min	Reduction in the micelle size and hydrodynamic volume for sodium caseinate, whey and milk protein isolate	[39]
Citrus oil in water	20 kHz, 2-4 mins 3 to 8 g/kg volumes using 10 mL/L oil, or oil concentrations from 5 to 20 mL/L using 6 g/kg cellulose	Ultrasonic homogenization was more effective in preparing stable emulsion than shear homogenization	[114]
Milk and Pea protein isolates	20 kHz, amplitude 95% (108 μm at 100% amplitude) (~34W cm^{-2} for 2min	Ultrasound treatment reduced the size of both MPI and PPI to the nanoscale (~150. nm) from micron sized aggregates (~20. μm).	[29]
Skim Milk and Cream	20 kHz, 115 W for 3 min, 104 W (133 μm -p) and 115 W (152 μm -p) for 1 and 3 mins	Decreased the fat globule size and reduced aerobic bacterial levels by 99%	[41]
Milk	(20, 400, 1000, 1600 and 2000 kHz), at temperatures (4, 20, 45 and 63 $^{\circ}\text{C}$) for various sonication times and ultrasound energy inputs of up to 409 kJ/kg.	Volatile compounds were detected at 400 kHz and 1000 kHz frequencies and specific energies greater than 271 kJ/kg	[33]
Milk	20 kHz, 70.2 W, 152 \pm 3 J cm^{-3} per min	Solubilisation of the powders and release of individual casein micelles into solution is accelerated	[42]
Skim Milk	20, 400 or 1600 kHz energy input of 286 kJ/kg, <30 $^{\circ}\text{C}$	Low frequency ultrasound caused greater disruption of casein micelles.	[50]
Milk	20 kHz, 600W, 50% amplitude for 0.5, 1, 2, and 5 mins	Particle size reduced from 28.45 μm to 0.13 μm after 0.5 min of sonication. Solubility increased significantly from 35.78% to 88.30% after 5 min of pre-treatment	[26]
Raw milk, retentate and cream	20 kHz, 450 W, 152 \pm 3 J cm^{-3} per min, up to 30 mins	Sonication at < 10 $^{\circ}\text{C}$ indicated aggregation of fat globules	[115]

Goats Milk	30 kHz, 100 W ultrasonic power and high hydrostatic pressures of up to 600 MPa for a maximum of 9 minutes	Enhanced homogeneity of fat globules	[40]
Goats Milk	20 kHz, 800 W, 0-20 min at 38°C	Particle sizes decreased. Increase in whey protein denaturation, soluble calcium and phosphorus, gel firmness, coagulum strength, final storage modulus, cohesiveness, water holding capacity and cross-linking	[32]
Milk	1 MHz and 2 MHz	Fractionation of fat in milk to form distinct particle size distributions.	[47,48]
Milk	0.4–3 MHz, 5L reactor, 35 °C, 4 min	Creaming, aggregation of fat globules	[116]
Raw and recombined milk	400 kHz , 1.6 MHz, 4 mins, 35 °C	Enhanced Creaming, aggregation of fat globules	[45]
Whole Milk	600 kHz (583 W/L) or 1 MHz (311 W/L) temps of 5, 25, or 40 °C. up to 5 min treatment time	Greatest degree of fat separation at 25°C	[30]
Whole Milk	1 MHz, 2 MHz, 5 L , up to 20 mins	Fat separation was enhanced by reducing transducer distance	[31]
Milk	20 kHz and elevated CO ₂ pressure (50 to 100 bar)	Reduction in viable numbers of aerobic and lactic acid bacteria Levels were reduced by approx 3-log fold	[117]
Milk	30 kHz, 2- 8 W, 1-3 mins	Activated the metabolic activity of lactic acid bacteria increased the rate of the fermentation process by 10%. Improved the quality	[118]
Milk	20 kHz, 150–750 W, conventional pressure treatment (10–30 MPa/5 MPa).	Homogenization reduced the fat globule size to 0.78 µm. The pH reduction rate and the duration of pH lag phase were reduced	[52,53]
Milk	20 kHz , 100, 125 and 150W	Delayed serum separation and increased viscosity.	[54]
Skim milk	20 kHz, 101 kW m ⁻² 15 min	The rennet gelation time, curd firming rate, curd firmness, and the connectivity of the rennet gel network were improved significantly in rennet gels made from milk ultrasonicated at pH 8.0 and re-adjusted back to pH 6.7 compared to those made from milk sonicated at the natural pH 6.7.	[52]
Milk	1 MHz, 348 W and 2 MHz, 280W decreasing power level to 0	Lipid oxidation volatiles were below sensory detection levels with no oxidation observed	[119]

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968 **Table 2 – Ultrasonic parameters and effects on fruit juices**

Sample	Experimental Parameters	Effect of Ultrasound	Author
Grapefruit	28 kHz, 30, 60 and 90 min, 20 °C	Significant improvement in the cloud value, total antioxidant capacity, DPPH free radical scavenging activity, ascorbic acid, total phenolics, flavonoids and flavonols	[19,55]
Apple	25 kHz, 0.06 W cm ⁻³ , 30 mins and 20 kHz, 0.30 Wcm ⁻³ , 5 and 10 mins, 20, 40 and 60 °C	Inactivation of enzymes (polyphenolase, peroxidase and pectinmethylesterase) and microflora	[56]
Apple	25 kHz , 2 W/cm ² , (70% amplitude), 0, 30 and 60 mins, 20 °C	Concentration of polyphenolic compounds and sugars increased significantly. No significant effect on juice characteristics with significant reduction in microbial population	[12] [58]
Apple	20 kHz, 20°C , 3mins, pulse durations of 5s	Significant increases in colour values, cloud value, ascorbic acid and antioxidant capacity	[20]
Grape	40 kHz sonication for 5 mins post harvest and then incubated for 6 h in the dark at 25 °C	Resveratrol increase by factors of 1.53, 1.15 and 1.24.	[59]
Mulberry	20 kHz, 650 W, 30 mins 20 °C	Preserved more bioactive compounds and antioxidant activity with ultrasonically treated samples exhibiting more total phenolics, anthocyanin and antioxidant activity.	[18]
Orange, sweet lime, carrot and spinach juices	20 kHz, 100 W, 15 min UV treatment (2 UVC lamps (254 nm) 8 W)	Ultrasound treated juice retained most of its nutritional qualities whilst a scale up attempt with spinach juice retained nutrients for 18 days post treatment resulting in an enhanced shelf life. Positive sensory evaluation panel	[22,120,121]
Sour orange	20 kHz, 80W for 21.8 min at 75°C and 9.8 min at 63°C	Inactivation of pectin methyl esterase	[57]
Pineapple	19 kHz, 376 Wcm ⁻² , 10 mins	Development of non dairy probiotic beverages for L. casei cultivation	[72,73]
Melon	19 kHz, 376 Wcm ⁻² , 10 mins	Development of non dairy probiotic beverages for L. casei cultivation	[74]
Pear	20 kHz, 750W, 10 mins at 25, 45 and 65°C	Retention of ascorbic acid and other phenolic compounds with significant reduction in enzyme activities and complete inactivation of microbes	[61]
Chokanan mango	40 kHz, 15, 30 and 60 min at 25 °C	Retention of individual phenolic compounds, significant enhancement in antioxidant activities. Positive sensory evaluation panel	[64,65]

Apple	55 to 3300W/L. 23°C (ambient) to 60°C and processing times 5 to 20 min	Partial inactivation of Polyphenoloxidase and Peroxidase	[66]
Apple and Nectar	20 kHz (amplitudes 60, 90 and 120 mm) for 3, 6 and 9 min at temperatures of 20, 40 and 60 °C	Formation of new compounds	[67,68]
Strawberry and Kiwi	40 kHz, 180W, 10 and 30 mins	Reduced yeast and mould counts	[69,70]
Cactus Pear	20 kHz, 1500W (80% amplitude), 15 and 25 min	Minimum increase in pectinmethylesterase activity (from day 14), and similar total plate counts to pasteurized juice. An increase of phenolic content was observed after 14 days of storage and an increase in antioxidant activity (ABTS, DPPH) by the end of storage	[63]

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973 **Table 3 - Ultrasonic parameters and effects on sterilization**

Sample	Experimental Parameters	Effect of Ultrasound	Author
Inactivation of <i>Listeria innocua</i> and mesophilic bacteria in milk	24 kHz, 120 μ m, 400 W, 63 °C, 30 min	5 log-reduction was obtained after 10 min when sonication is used in combination with temperature	[122]
<i>Enterobacter aerogenes</i> , in skimmed milk	20 kHz, 850 kHz, up to 50W for 60 mins 30 °C or less	High freq ultrasound was not able to reduce bacterial levels even on prolonged treatment	[76]
<i>Enterobacter aerogenes</i> , <i>Bacillus subtilis</i> , <i>Staphylococcus epidermidis</i> , <i>S. epidermidis</i> , <i>Staphylococcus pseudintermedius</i>	20 kHz, 13W, 20 mins 30 °C or less	up to 4.5-log reduction for <i>E. aerogenes</i> and <i>B. subtilis</i> however <i>Staphylococcus</i> spp. were not affected	[77]
Total aerobic mesophilic and coliform bacteria in Milk	Sonication 24 kHz, 400 W up to 15 mins 65 °C Photosonication 100% (120 μ m; 240 W) 13.2 W cm ⁻²	UV light contributed to the ultrasonic lethal effect with greater log reductions observed with photosonication than with sonication alone.	[78]
Inactivation of <i>Pseudomonas fluorescens</i> , <i>Escherichia coli</i> , <i>Listeria monocytogenes</i> in milk	20 kHz, up to 15 mins	Most cell counts reduced by 100% within 10 mins of sonication with no loss of proteins observed	[87]
Electron microscopic analysis of dairy microbes	20 kHz, 750 W, at 100%/124 μ m wave amplitude	Bacterial levels reduced, extensive damage observed	[88]
Inactivation of <i>Geobacillus stearothermophilus</i> in skim milk powder.	20 kHz, 45 °C, and 30 secs for cells 20 kHz, 67.5 °C, and 17.5 secs for spores	Cell reduction (4.8 log) at 19.75% total solids and spore reduction (0.45 log) 31.5% total solids	[92]
Skim Milk and Cream	20 kHz, 115 W for 3 min, 104 W (133 μ mp-p) and 115 W (152 μ mp-p) for 1 and 3 min	Reduced aerobic bacterial levels by 99%	[41]
Inactivation of <i>Escherichia coli</i> and <i>Saccharomyces cerevisiae</i> in pomegranate juice..	20 kHz , amplitude levels of 50, 75 and 100% , 0, 3, 6, 9, 12 and 15 min, 25 \pm 1°C	100% amplitude level for 15 min, reduced levels by 3.47 and 1.86 log cfu/mL, respectively	[98]
<i>Fusarium oxysporum</i> in orange juice.	20 kHz, 130 W, amplitude 40% to 100%, pulse (2 and 10 secs), 2 to 10 min	The use of benzoate and citrus extract controlled <i>F. oxysporum</i> growth in orange juice and achieved a reduction of 5 log cfu/ml for at least 14 days	[90]
<i>Saccharomyces cerevisiae</i> , <i>Pichia membranifaciens</i> , <i>Wickerhamomyces</i>	20 kHz, 130W amplitude 20% to 60%), pulse (2 and 6 s), 2 to 6 min	Reduction of spoilage organisms	[81,91]

<i>anomalus</i> , <i>Zygosaccharomyces bailii</i> , <i>Zygosaccharomyces rouxii</i> , <i>Candida norvegica</i> in strawberry, orange, apple, pineapple and red-fruits juice			
<i>Escherichia coli</i> in cactus pear juice.	20 kHz, 1500W (60%, 70%, 80% and 90% amplitude), 1, 3 and 5 mins	Total inactivation was observed in both fruit juices after 5 min of ultrasound treatment at most amplitude levels, evaluated over 5 days	[15]
<i>Streptococcus thermophilus</i> and <i>Lactobacillus delbrueckii subsp. bulgaricus</i> in Ayran, an acidic milk drink	35 kHz, 60, 70 and 80°C, 1, 3 and 5 mins	Counts decreased as the temperature and time increased. Sensory properties of the thermosonicated samples were better than the thermally treated samples after storage	[23]
Inactivation of <i>Alicyclobacillus acidoterrestris</i> spores in orange juice	24 kHz, 460 W/cm ² , 33 W and 105 W/cm ² , 162 W	Thermosonication required at least 8 °C lower temperatures than thermal treatments to achieve the same spore inactivation. (D75°C-value of 49 min for 20.2 W/mL vs. 217 min for 0.33 W/mL). (D85°C-value decreased from 69 to 29 min)	[82]
Inactivation of <i>Alicyclobacillus acidoterrestris</i> spores and <i>Saccharomyces cerevisiae</i> in natural squeezed apple juices.	20 kHz, 600 W and 95.2µm wave amplitude; 10 or 30min at 20, 30 or 44±1°C) and pulsed light (PL) (Xenon 1& 3 pulses/s; 0.1m distance; 2.4-71.6 Jcm ⁻² ; initial temperature 2, 30, 44±1°C)	Combination of these technologies led up to 3.0 log cycles of spore reduction in commercial apple juice and 2.0 log cycles in natural juice; while for <i>S.cerevisiae</i> , 6.4 and 5.8 log cycles of reduction were achieved	[83,93]
<i>Escherichia coli</i> ATCC 35218, <i>Salmonella Enteritidis</i> MA44 and <i>Saccharomyces cerevisiae</i> KE 162 and indigenous flora in commercial (CAJ) and freshly pressed (NAJ) apple juices.	20 kHz, 600 W and 95.2µm wave amplitude; Pulsed light 0.73 Jcm ⁻² , 155 mL/min	Combined Ultrasound and pulsed light led up to 3.7-6.3 log reductions of inoculated microorganisms. Browning development during storage was prevented	[93]
The inactivation of <i>Listeria monocytogenes</i> and <i>Escherichia coli</i> suspended in apple and orange juices	35 °C, 110 µm, 200 kPa	Increase of 116 W increased the inactivation rate approximately 10-fold in both juices	[94]
<i>Escherichia coli</i> O157: H7 and <i>Salmonella</i>	25 kHz, 200W, 50 and 60°C for 10 and	Inactivation rate was different for both pathogens	[95]

<i>Enteritidis</i> in mango juice,	7 mins respectively		
<i>Escherichia coli</i> K12 cells suspended in apple cider,	20 kHz, 100 kPa, 59°C, 4 min	5-log reduction was achieved Quality profile of sonicated sample similar to raw apple cider	[96]
<i>Escherichia coli</i> , <i>Pseudomonas fluorescens</i> , <i>Staphylococcus aureus</i> and <i>Debaryomyces hansenii</i> in milk.	24 kHz, amplitude (70 and 100%) and duration (50, 100, 200 and 300 s)	Population reduction but caused milk deterioration	[97]
<i>Escherichia coli</i> and <i>Saccharomyces cerevisiae</i> in pomegranate juice	20 kHz amplitudes (50, 75, and 100%) and times (0, 6, 12, 18, 24, and 30 min)	More than a 5-log inactivation of <i>E. coli</i> and a 1.36-log inactivation of <i>S. cerevisiae</i>	[99] [98]
<i>Saccharomyces cerevisiae</i> in orange juice	20 kHz, 778.2 W ultrasonic power, and 11 min of exposure, 350 W microwave power, 35 °C	Complete inactivation	[100]
<i>Candida parapsilosis</i> and <i>Rhodotorula glutinis</i> in cloudy apple juice	24 kHz, 400W, amplitude 100%, 35°C, 360 secs/100 mL	Shelf life of sonicated juices around 21 days with flavour maintained	[80]
Simultaneous pasteurization and homogenization of fresh cow milk	Hydrodynamic cavitation in a loop reactor working at 6 bar pressure in a CO ₂ atmosphere for 30 min. Acoustic cavitation in a ultrasonic flow reactor (Sonotube®, power 370 W)	88% microorganism inactivation using hydrodynamic cavitation and acoustic cavitation achieved microorganism abatement of 95% within 10 mins	[4]

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Sample	Experimental conditions and effect of ultrasound	Author
Liquids	Range of applications discussed covering a range of equipment	[103] [89], [105]
Olive oil	Malaxation of olives examined after prior sonication 35 kHz, 150W, 4.25 L, 19 °C using an ultrasonic bath. Prior sonication reduced malaxation time	[106]
Olive Oil	25 kHz, 60 W, 30L, 16 °C, 45 min. Final olive oil is greatly enriched in phenols whilst other beneficial compounds were not degraded	[107]
28% w/v corn slurry	Batch systems operating at a frequency of 20 kHz, 3.3kW, 20-40 secs, Continuous flow at various flow rates (10–28 L/min) through an ultrasonic reactor at constant amplitude of 12 µm. Ultrasonic system yields 2-3 times more saccharides	[108]
Emulsification of protein solutions	Batch and continuous ultrasonic emulsification processes on both lab and pilot scales 20 kHz 750W and 1000W, Emulsions prepared with ultrasound yielded submicron droplets, ~200 nm, with Tween 80 and Milk protein isolate	[109]
Coconut oil	Production of nanoemulsions of coconut oil using 3 processors namely 20 kHz, at 750W, 20kHz at 1000W and 20kHz at 1500W up to 2 L volumes, Droplet size of the final emulsion can be correlated with the time of sonication and power density employed	[110]
Reconstituted whey protein in dairy	Flow through continuous sonication process at 20 kHz, 4 kW power, flow rates ranging from 200 to 6000 mL/min. significant reduction in viscosity ranging between 6% and 50%	[112]
Production of angiotensin converting enzyme inhibitory and antioxidative peptides from milk protein concentrate	Batch mode, pilot-scale process, involving ultrasound pre-treatment, enzymolysis, membrane filtration and drying 50 L batches, duration 4min at 800W Large amounts of angiotensin converting enzyme inhibitory peptides were recovered	[111]
Palm oil	Two 400 kHz transducer plates operating at 13.4 kJ/kg were used to separate out palm oil feed on a pilot plant scale. Greater amounts were recovered using sonication	[113]
Processing of different fruit and vegetable juices in terms of sterilization and microbial growth	Combination of UV, crude orange peel extract and sonication of 20 kHz, 100 W, duration, 15 mins with up to 5 L processed A greater than 5 log reduction of microorganisms was achieved and sonicated juice still satisfied the microbiological and physiochemical safety limits requirements when refrigerated for 20 days	[60]

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986 Use of ultrasound for processing of liquid foods is reviewed

987 Ultrasound enhances emulsification and sterilisation resulting in more stable products

988 Ultrasound increases the rate of fat fractionation and creaming in dairy products

989 Some progress has been made towards scale up

990 Ultrasonically treated products appear to perform better with taste panels in terms of quality,
991 texture and flavour

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ACCEPTED MANUSCRIPT