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Author post-print (accepted) deposited by Coventry University's Repository

Original citation & hyperlink:

Foo, M, Kim, J, Kim, J & Bates, DG 2016, 'Proportional-integral degradation control allows accurate tracking of biomolecular concentrations with fewer chemical reactions' *IEEE Life Sciences Letters*, vol 2, no. 4, pp. 55-58

<https://dx.doi.org/10.1109/LLS.2016.2644652>

DOI 10.1109/LLS.2016.2644652

ESSN 2332-7685

Publisher: IEEE

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Proportional-Integral Degradation (PI-Deg) control allows accurate tracking of biomolecular concentrations with fewer chemical reactions

Mathias Foo¹, Jongrae Kim², Jongmin Kim³ and Declan G. Bates¹

Abstract—We consider the design of synthetic embedded feedback circuits that can implement desired changes in the concentration of the output of a biomolecular process (*reference tracking* in control terminology). Such systems require the use of a “subtractor”, to generate an error signal that captures the difference between the current and desired value of the process output. Unfortunately, standard implementations of the subtraction operator using chemical reaction networks are one-sided, i.e. they cannot produce negative error signals. Previous attempts to deal with this problem by representing signals as the difference in concentrations of two different biomolecular species lead to a doubling of the number of chemical reactions required to generate the circuit, hence sharply increasing the difficulty of experimental implementations and limiting the complexity of potential designs. Here we propose an alternative approach that introduces a degradation term into the classical proportional-integral control scheme. The extra tuning flexibility of the resulting PI-Deg controller compensates for the limitations of the one-sided subtraction operator, providing robust high-performance tracking of concentration changes with a minimal number of chemical reactions.

Index Terms—Proportional-integral degradation (PI-Deg) controller, chemical reaction network, synthetic biology

I. INTRODUCTION

A fundamental challenge in the design of synthetic circuitry is to develop designs for feedback systems that can be used to make the outputs of biomolecular processes track desired changes in the concentrations of molecular species of interest [1]. A promising framework for the design of such circuits is provided by nucleic acid-based chemistry, which allows circuits to be designed using abstract chemical reaction theory (e.g. [2], [3]) and then implemented in Deoxyribonucleic acid (DNA) using strand displacement reactions [4]. An essential prerequisite for the tracking of reference signals is the ability to generate an error signal (the difference between the desired and actual value of the process output) which can be used by the controller to drive the process towards its desired state. Although the computation of such error signals is essentially trivial in engineering control systems implemented *in silico*,

this is not the case in standard chemical reaction network theory, which does not yet allow the realisation of a proper two-sided biomolecular subtraction operator, i.e. an operator that computes the difference between two inputs regardless of their relative magnitude (see Fig. 1). This limitation represents a particular problem for feedback control systems, as the error between the desired reference (r) and the output (y) can take both positive ($r > y$) and negative ($r < y$) values. Since the controller acts directly on this error signal, the discrepancy in its computation will inevitably result in poor quality tracking and possibly even instability.

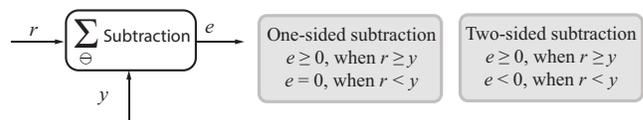


Fig. 1: Subtraction operator.

To date, the only available solution to this problem is to adopt the design framework proposed in [5], which requires each signal in the circuit to be implemented as the difference in the concentration of two chemical species. As we show below, while this allows the implementation of a two-sided subtractor, it also essentially doubles the number of chemical reactions required to implement the circuit. This represents a major challenge from the point of experimental implementations, and places serious constraints on the scalability of proposed designs. For example, for a circuit whose implementation requires n species, the potential bimolecular crosstalk interactions increase by n^2 . This has prompted researchers to look into ways to reduce crosstalk, for instance by requiring a certain number of mismatches for any two distinct recognition domains (see e.g. [6]). In practice, however, obtaining large numbers of well-behaved sequences with long domains will be extremely challenging.

Here, we propose an alternative approach, which augments a standard proportional-integral (PI) controller design [7] with a tunable degradation parameter. We show how the resulting PI-Deg controller can achieve accurate and robust tracking using only a one-sided subtractor, while requiring a minimal number of abstract chemical reactions.

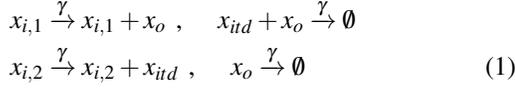
II. BIOMOLECULAR SUBTRACTION OPERATORS

A. One-sided subtraction operator

All existing designs for biomolecular subtraction operator using standard chemical reaction network theory implement

Research supported by EPSRC and BBSRC via research grants BB/M017982/1 and the School of Engineering of the University of Warwick. ¹:Warwick Integrative Synthetic Biology Centre, School of Engineering, University of Warwick, Coventry, CV4 7AL, UK. ²:School of Mechanical Engineering, University of Leeds, Leeds, LS2 9JT, UK. ³:Wyss Institute of Biologically Inspired Engineering, Harvard University, Boston, Massachusetts 02115, USA. M.Foo@warwick.ac.uk, menjkim@leeds.ac.uk, Jongmin.Kim@wyss.harvard.edu, D.Bates@warwick.ac.uk.

only a one-sided subtraction operator. For example, in [8], the authors present a comprehensive list and detailed analyses of several mathematical operators, including the subtraction operator, which may be designed using the following abstract chemical reactions:



Note that this subtraction operator requires four abstract chemical reactions. Abstract chemical reactions can be represented as ordinary differential equations (ODEs) using generalised mass-action kinetics (see e.g. [9]), and the corresponding ODEs for Eqn. (1) are given by

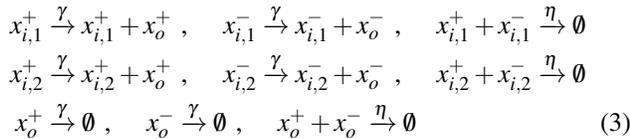
$$\begin{aligned} \frac{dx_o}{dt} &= \gamma(x_{i,1} - x_o x_{iid} - x_o) \\ \frac{dx_{iid}}{dt} &= \gamma(-x_o x_{iid} + x_{i,2}) \end{aligned} \quad (2)$$

At steady state, $x_{i,2} = x_o x_{iid}$, leading to $x_o = x_{i,1} - x_{i,2}$. In their analysis of the Jacobian matrix of the ODEs relating to the subtraction operator, the authors show that when the subtraction of two components results in either a negative value or zero, the system converges to an unstable fixed point or the fixed point does not exist respectively. Thus $x_o = x_{i,1} - x_{i,2}$ when $x_{i,1} \geq x_{i,2}$ and $x_o = 0$ when $x_{i,1} < x_{i,2}$, making the subtraction one-sided.

In [10], [11], a subtraction operator was designed using chemical reaction network theory to compute the difference of molecular fluxes, rather than concentrations. This subtraction operator is also one-sided, however.

B. Two-sided subtraction operator

An exception to the limitations discussed above is the design framework proposed in [5]. To get around the issue of one-sided subtraction, the authors represent a signal, u as a difference between two chemical species resulting in the chemical species having positive and negative components, i.e. $u := u^+ - u^-$. This allows the implementation of a two-sided subtraction operator. To illustrate this, consider first the summation operator, whose abstract chemical reactions are given by

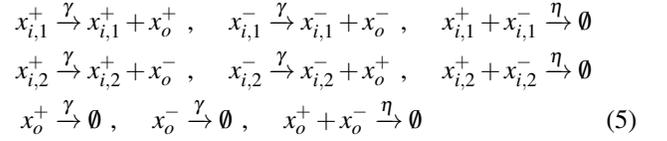


where $x_{i,1}$ and $x_{i,2}$ are the two inputs, x_o is the output. γ and η are reactions rates with $\eta \gg \gamma$. The corresponding ODEs are given by

$$\begin{aligned} \frac{dx_o^+}{dt} &= \gamma(x_{i,1}^+ + x_{i,2}^+ - x_o^+) - \eta x_o^+ x_o^- \\ \frac{dx_o^-}{dt} &= \gamma(x_{i,1}^- + x_{i,2}^- - x_o^-) - \eta x_o^+ x_o^- \\ \frac{dx_o}{dt} &= \frac{dx_o^+}{dt} - \frac{dx_o^-}{dt} = \gamma(x_{i,1} + x_{i,2} - x_o) \end{aligned} \quad (4)$$

where at steady state (i.e. $dx_o/dt = 0$), $x_o = x_{i,1} + x_{i,2}$.

Now, for the subtraction operator, its chemical reactions are given by



Notice the difference of the superscripts $+$ and $-$ in the abstract chemical reaction compared to Eqn. (3). The corresponding ODEs are given by

$$\begin{aligned} \frac{dx_o^+}{dt} &= \gamma(x_{i,1}^+ + x_{i,2}^- - x_o^+) - \eta x_o^+ x_o^- \\ \frac{dx_o^-}{dt} &= \gamma(x_{i,1}^- + x_{i,2}^+ - x_o^-) - \eta x_o^+ x_o^- \\ \frac{dx_o}{dt} &= \frac{dx_o^+}{dt} - \frac{dx_o^-}{dt} = \gamma(x_{i,1} - x_{i,2} - x_o) \end{aligned} \quad (6)$$

where at steady state, $x_o = x_{i,1} - x_{i,2}$. Both the summation and subtraction operators in Eqns. (3) and (5) require nine abstract chemical reactions.

Now, note that an operator to sum two concentrations (equivalent to (Eqn. (4)) with positive signals) could also have been obtained without using the positive/negative components formalism, by employing the following three chemical reactions: $x_{i,1} \xrightarrow{\gamma} x_{i,1} + x_o$, $x_{i,2} \xrightarrow{\gamma} x_{i,2} + x_o$ and $x_o \xrightarrow{\gamma} \emptyset$. Surprisingly, however, it is not possible to obtain an equivalent of the subtraction operator (Eqn. (6)) in the same way, as there are no associated abstract chemical reactions to realise it. This can be demonstrated as follows.

Consider the following two reactions: $x_{i,1} \xrightarrow{\gamma} x_{i,1} + y$ and $y \xrightarrow{\gamma} \emptyset$. The ODEs for those two reactions are $dy/dt = +\gamma x_{i,1}$ and $dy/dt = -\gamma y$ respectively and the final ODE expression can be obtained by summing these two together, i.e. $dy/dt = \gamma(x_{i,1} - y)$. Now, the ODE required for the subtraction operator is given by $dy/dt = \gamma(x_{i,1} - x_{i,2} - y)$. We have already shown how we can obtain $dy/dt = \gamma(x_{i,1} - y)$, and thus we simply need another abstract chemical reaction that will provide $dy/dt = -\gamma x_{i,2}$. With the sign on the Right-Hand-Side (RHS) of the ODE being negative, one would expect to write y on the Left-Hand-Side (LHS) of the abstract chemical reaction. Additionally, we require the multiplication of $x_{i,2}$ with γ , which means $x_{i,2}$ has to be on the LHS of the abstract chemical reaction as well. A natural first attempt would therefore be to write $x_{i,2} + y \xrightarrow{\gamma} \emptyset$. However, a sum of reactants leads to multiplication in the corresponding ODE, i.e. $dy/dt = -\gamma x_{i,2} y$. If we are to move y to the RHS of the abstract chemical reaction, i.e. $x_{i,2} \xrightarrow{\gamma} x_{i,2} + y$, its ODE would be $dy/dt = +\gamma x_{i,2}$. Thus, there is no way to realise $dy/dt = -\gamma x_{i,2}$ using standard abstract chemical reactions. This is why it is necessary to use the positive/negative components formalism introduced by [5] in order to realise a two-sided subtraction operator.

III. PI-DEG CONTROLLER DESIGN WITH ONE-SIDED SUBTRACTION

In order to minimise the number of chemical reactions required, and thus ease the problem of experimental implementation, we propose a novel controller design strategy that

works even in the presence of the type of one-sided subtraction operator proposed in [8]. The system block diagram for our proposed controller is shown in Fig. 2. A standard PI controller

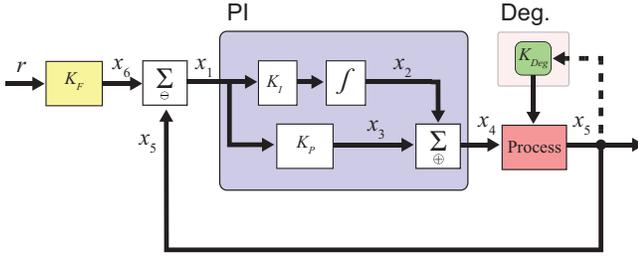


Fig. 2: System block diagram with PI-Deg controller. The standard PI controller is shown within the blue rectangular box. The dotted line indicates the additional degradation component with its tuning parameters, K_{Deg} shown within the pink rectangular box.

can be described by two scalar gain operators, one integration operator and one summation operator. For the details of the abstract chemical reactions describing those operators, see [5] and [7]. We assume that the feedback control system uses a one-sided subtraction operator. The controller operates on a nonlinear second order biomolecular process as indicated in Fig. 2. Following the variables defined in Fig. 2, we have the following chemical reactions.

[Subtraction:] $x_6 \xrightarrow{k_{s1}} x_6 + x_1$, $x_{iid} + x_1 \xrightarrow{k_{s1}} \emptyset$, $x_5 \xrightarrow{k_{s1}} x_5 + x_{iid}$ and $x_1 \xrightarrow{k_{s1}} \emptyset$, where x_{iid} is an intermediate species and k_{s1} is the subtraction reaction rate.

[PI controller]: $x_1 \xrightarrow{K_I} x_1 + x_2$, $x_1 \xrightarrow{\gamma_G K_P} x_1 + x_3$ and $x_3 \xrightarrow{\gamma_G} \emptyset$, $x_2 \xrightarrow{k_{s2}} x_2 + x_4$, $x_3 \xrightarrow{k_{s2}} x_3 + x_4$ and $x_4 \xrightarrow{k_{s2}} \emptyset$ where K_I is the integral gain, K_P is the proportional gain, γ_G is the gain reaction rate and k_{s2} is the summation reaction rate.

[Process:] The chemical reactions implementing the process are given by $x_4 + x_e \xrightarrow{k_{r1}} x_i$, $x_i \xrightarrow{k_{r2}} x_5 + x_e$ and $x_5 \xrightarrow{k_{r3}} \emptyset$, where k_{r1} , k_{r2} and k_{r3} are respectively the process binding, catalytic and degradation rates. x_i and x_e are intermediate species variables.

To compensate for the limitations of the one-sided subtraction operator, we introduce an additional degradation reaction, with rate K_{Deg} , that acts on the process output as indicated in Fig. 2 by the pink rectangular box. The additional abstract chemical reaction for the degradation term in the process output is given by $x_5 \xrightarrow{K_{Deg}} \emptyset$. We use K_{Deg} as an additional tuning parameter to the proportional and integral gains, K_P and K_I , of the standard PI controller. The desired change in the concentration of the process output x_5 , specified by the reference signal r is translated into a change in the concentration of species x_6 via the constant scaling factor K_F .

The corresponding ODEs for each module of the closed-loop system are then given by

[Subtraction:]

$$\sum_{\ominus} : \begin{cases} \frac{dx_1}{dt} &= k_{s1}(x_6 - x_1 x_{iid} - x_1) \\ \frac{dx_{iid}}{dt} &= k_{s1}(x_5 - x_1 x_{iid}) \end{cases} \quad (7)$$

At steady state, $x_1 = x_6 - x_5$ for $x_6 \geq x_5$, or $x_1 = 0$ otherwise.

[PI-Deg controller + Process:]

$$\text{PI:} \begin{cases} \frac{dx_2}{dt} &= K_I x_1 \\ \frac{dx_3}{dt} &= \gamma_G K_P x_1 - \gamma_G x_3 \\ \frac{dx_4}{dt} &= k_{s2}(x_2 + x_3 - x_4) \end{cases} \quad (8)$$

At steady-state, $x_4 = x_2 + x_3$, while the second-order biomolecular system considered in this paper is given by

$$\text{Process:} \begin{cases} \frac{dx_i}{dt} &= k_{r1} x_4 x_e - k_{r2} x_i \\ \frac{dx_5}{dt} &= k_{r2} x_i - (k_{r3} + K_{Deg}) x_5 \end{cases} \quad (9)$$

where $x_e + x_i = x_{e,T}$ is constant. Here, we are assuming that the natural degradation rate k_{r3} of the species x_5 in the process cannot be altered, necessitating the introduction of an additional degradation mechanism represented by K_{Deg} . Note that this design approach can be applied to any process that can be modelled using chemical reaction networks.

IV. SIMULATION RESULTS

Example simulation results for the standard PI controller with a one-sided subtractor are shown in Fig. 3(A), where $k_{s1} = 3$, $k_{s2} = 4 \times 10^{-4}$, $\gamma_G = 8 \times 10^{-4}$, $k_{r1} = 5 \times 10^{-5}$, $k_{r2} = 1.6$, $k_{r3} = 8 \times 10^{-4}$, $x_{e,T} = 5.5$ and the reference signal, r , initially changes from 0 to 4 before decreasing to 1 at time 40,000 s. All units are assumed to be defined appropriately. Initial values for K_P and K_I were chosen as 20 and 2.5×10^{-4} , respectively. As shown in Fig. 3(A), the output response is highly oscillatory and its convergence rate is very slow. When the reference value changes from 4 to 1, $r = x_6 < x_5$, the one-sided subtraction operator produces $x_1 = 0$, and the controller is unable to respond to the desired change in the value of x_5 .

To improve the performance of the system, the PI-gains were retuned, following standard control theoretic design principles. Example results are shown in Fig. 3(B) for K_P increased by a factor of 1.5 and K_I increased by a factor of 10. Although the oscillatory behaviour has been removed, large overshoots and steady-state errors are now observed. The calculated error by the one-sided subtraction operator converges to zero even for a non-zero error in the output because the subtractor only works correctly when $x_6 \geq x_5$ and outputs zero when $x_6 < x_5$. As a result, the PI controller considers that the output has converged to the desired reference value, when in fact it has not. Repeated attempts to retune the standard PI controller produced no improvement in performance, highlighting the problems caused by the one-sided subtraction operator.

In contrast, achieving effective tracking of reference signals using our PI-Deg controller architecture was quite straightforward. Setting $K_I = 5 \times 10^{-6}$, $K_P = 30$, $K_F = 1.24$ and $K_{Deg} = 1.2 \times 10^{-3}$ produced the results shown in Fig. 3(C) - the oscillatory behaviour is removed, the convergence rate is much faster, and the steady state error is now zero. The use of the degradation term allows us to use a much higher proportional gain and lower integral gain without incurring large overshoots, and now the output of the process is able to track both increasing and decreasing reference changes equally well.

The implementation of the summation and subtraction operations requires identical reaction rates (i.e. k_{s1} and k_{s2}), which

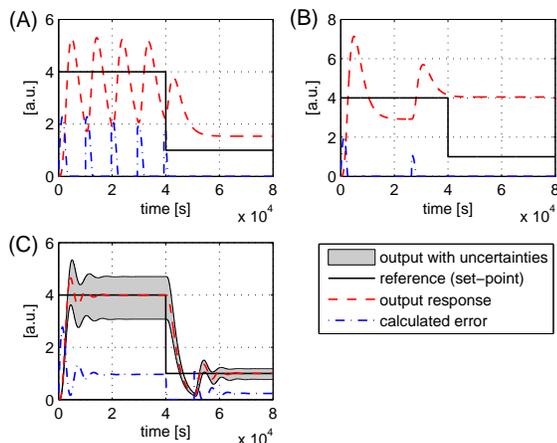


Fig. 3: System responses with different control gains. (A) Original PI-gain: Initial PI-gain with no pre-filter and degradation terms. (B) Tuned PI-gain: PI controller is retuned with no pre-filter and degradation terms. (C) PI-Deg: PI controller incorporating the pre-filter and the degradation terms.

may not be feasible in practice. To investigate the effect of this, we performed a robustness analysis as following. To test the robustness of the PI-Deg controller, we performed Monte Carlo simulations, where we randomly draw all the parameters in the equations implementing the closed-loop system from a uniform distribution and perform repeated simulations. The number of Monte Carlo simulation needed to obtain various levels of estimation uncertainty with known probability are calculated based on the well-known Chernoff bound [12]. Based on the guidelines in [13], a total number of 1060 simulations [12], [14] are required for the Monte Carlo simulation to achieve an accuracy level of 0.05 with confidence level of 99%. Here, we vary all parameters within ranges of 10% around their nominal values. Mathematically, we have $p(1 + 0.1\delta)$, where $p \in \{k_{s1i}, \gamma_{Gj}, k_{s2k}, K_I, K_P, k_{r1}, k_{r2}, k_{r3}, K_F, K_{Deg}\}$, δ is a random number from the uniform distribution in $[-1, 1]$, $i \in \{a, b, c, d, e\}$, $j \in \{a, b\}$ and $k \in \{a, b, c\}$. Note that we split reaction rates k_{s1} , γ_G and k_{s2} according to the number of chemical reactions in which they are involved. As shown in Fig. 3(C), the grey shaded region is the output envelope covering all possible response from 1060 Monte Carlo simulations for randomly perturbed parameters in the range of $\pm 10\%$ from the nominal values. The PI-Deg controller shows a good level of robust performance with no loss of stability due to parameter variations.

The closed-loop feedback control system utilising our proposed design approach uses a total of 14 abstract chemical reactions compared to a total of 36 if the design framework of [5] using a two-sided subtraction operator is used, a reduction in circuit complexity of 61%. For the DNA-strand displacement (DSD) implementation of the proposed PI-Deg controller, the degradation mechanism can be achieved by incorporating auxiliary DNA species specifically designed for the degradation reaction. Interestingly, this also makes the total operation time for the circuit finite, since these auxiliary

species will eventually be exhausted over time. A full analysis of this and other tradeoffs involved in circuit implementation requires simulations of the actual DNA reactions using the software package Visual DSD, [15], which is the subject of current research by the authors.

V. CONCLUSIONS

Subtraction operators are essential modules in any feedback control system that is designed to track changing reference demands on process outputs, but two-sided subtraction operators have yet to be realised using standard chemical reaction network theory. Current solutions to this problem result in large numbers of additional chemical reactions being required, which complicates experimental implementations and places strong limitations on the scalability of synthetic feedback control systems. We demonstrated a novel feedback controller architecture that compensates for the limitations of a one-sided subtraction operator by introducing an additional degradation term that may be tuned during the controller design process. The resulting PI-Deg controller is shown in simulation to provide accurate and robust tracking of dynamic reference demands, while requiring approximately half as many chemical reactions to implement as current solutions.

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