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Assessing the role of CAP for more sustainable and healthier food systems in Europe: A literature review

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Today, the European food system is characterized by unhealthy dietary trends, environmentally unsustainable production, and a dependency on an ageing farming population. The ongoing reform of the Common Agricultural Policy (CAP) represents an opportunity to redress these issues. This literature review highlights trends in how academic and grey literature have received CAP attempts in addressing the (i) environmental issues, (ii) nutritional outcomes, and (iii) rural livelihoods. Additionally, future policy and research directions relating to the CAP have been identified from the selected literature. The reviewed literature varies in approach and perspective. In particular, since the environment and rural development are already part of the CAP, the reviewed studies analyze and propose improvements to existing mechanisms. While for nutrition, the reviewed studies assessed possible policy strategies for integrating this sphere within the CAP, highlighting both the complexity of this task as well as its potential benefits. Despite these differences, a clear commonality emerged from the policy recommendations: the CAP should promote the European (EU) policy integration and multi-disciplinary and participatory research as key strategies to meet food system sustainability targets.

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1. Introduction

The European Union (EU) agri-food sector is among the major drivers of negative environmental externalities. The sector accounts for more than 10% of the total greenhouse gas emissions in the EU-28 (EEA, 2018), it is among the major contributors to water (EEA, 2012; Eurostat, 2009) and soil depletion (Eurostat, 2015), as well as biodiversity loss (EEA, 2015, 2017). Agri-food production in the EU also relies on a rapidly ageing (78% is older than 44 and 31% older than 65; EC, 2017a) and majority-male farming population (women account for less than 32% of the entire workforce; Eurostat, 2017). In consumption terms, despite increased citizen awareness of nutrition, food quality and related health benefits (EC, 2017b; Solazzo et al., 2016), the EU is currently experiencing a negative nutritional transition (Alexandratos, 2006), with marked increases in nutrition-related diseases and public health costs (Eurostat, 2018).

These problems have not gone unnoticed at the EU-level. Numerous policy instruments have been introduced and developed in the attempt to mitigate their effects, from the General Food Law Regulation to the Water Framework Directive (WFD) and, of course, the Common Agricultural Policy (CAP). Since its creation in 1962 (EEC, 1962), CAP objec-
tives have steadily evolved to respond to EU society changes and needs, providing a central and connecting role among different food-systems dimensions, such as environmental protection and support for rural development (see IFPRI, 2018; Kuhmonen, 2018, for a storyline of the CAP). In particular, three reforms have played a central role in shaping the strategic direction of the CAP. Firstly, the 1992 reform, in line with the principle of sustainable development launched by the Rio Earth Summit, began incentivizing ‘environmentally compatible’ farming practices through direct payments. Secondly, the Fischler Reform in 2003 consolidated the central role of food quality, environmental protection, animal health and welfare, and rural development in the EU agriculture by introducing the cross compliance concept (Brady et al., 2009; Swinnen, 2010). Thirdly, the 2013 reform increased the direct support to producers to respond to long-term objectives reflecting the three dimensions of sustainability (EC, 2013a): viable food production, balanced rural development, and sustainable natural resources management and climate action (Barnes et al., 2016; EC, 2013a).

After this, we attempt to identify further trends across this literature pointing to likely future policy and research directions relating to the CAP. After a brief description of the review methodology, we present some conclusions and points to possible future research and policy options.

2. Methodology

Based on the last public consultation (EC, 2017a) and on the literature on the SDGs and sustainable food systems (e.g., Garnett, 2011; IIASA, 2018), a list of research terms including ‘Common Agricultural Policy’, ‘nutrition’, ‘health’, ‘food policy’, ‘non-communicable
disease”, “healthy diet”, “environment”, and “farmers” has been selected to carry out the literature review. As for scientific databases, Scopus, ScienceDirect, PubMed, GoogleScholar and Wiley were used. The research was restricted to the period 2013–2018, i.e., consequent to the last CAP reform, but some earlier articles cited in the selected list that were deemed particularly relevant were also reviewed. To complete the picture with relevant grey literature, qualifying documents published by EU and international agencies (e.g., European Commission, WHO, FAO) were considered. After a first screening phase focused on titles, abstracts and key words, we excluded a number of documents which a) did not consider the most recent round of CAP reforms and their impacts, and b) did not make any recommendations for future policy and research directions. For the issue of farmer livelihoods, a further set of keywords was applied due to the vast number of results returned. Further rationale for this decision plus the key words themselves are included in Section 5. Concerning the nutrition section, due to the limited number of studies dealing with the relation between CAP and nutrition, we extended the research timeframe (i.e., from 2000). This decision permits an investigation of the long, but still ongoing debate around this topic, which was not influenced by the past CAP reforms since citizen nutrition and health are not yet integrated into the CAP.

The whole text was then reviewed to identify relevant issues and gaps to be considered in the future policy and research directions for the CAP, and a final selection of 165 papers has been considered. The reviewed literature analyses the CAP mechanisms and performances, and gives suggestions and directions for the future CAP through both quantitative analysis based on mathematical models and surveys (e.g., Panagos et al., 2015) and policy review (e.g., Grosjean et al., 2018). Additional details on the review methodology are reported in the Supplementary materials.

3. Nutrition

3.1. The EU nutritional challenges

The CAP’s relationship with food provision has evolved in piecemeal, by including rules on food quality and safety (Schmid and Sinabell, 2007). Despite the belief that it relates only to agriculture (Walls et al., 2016), the CAP was originally created to address food security and nutrition issues (Lang and Heasman, 2015). Today, policy makers have increasingly recognized food as a policy field that requires an integrated approach to overcome current challenges (Barling et al., 2002; Lang and Ingram, 2013).

A nutritional transition started in the 60s has set in motion dietary trends characterized by (i) increased consumption of meat, dairy, sugars, fats and energy-dense food (Alexandratos, 2006; Grigg, 1995), (ii) lower intake of ‘healthy’ food such as fish, fruit, vegetables, whole grains, nuts, pulses and seafood (Fresco and Poppe, 2016) compounded by processed food containing excess amounts of sugar, salt, and fats (Drewnowski and Popkin, 2009; Hyseni et al., 2017; Reynolds et al., 2014), and (iii) decline in adherence to the so-called ‘healthy diets’ such as the Mediterranean diet (da Silva et al., 2009; EC, 2005). These dietary trends have had significant impacts on public health. In Europe, 30–80% of adults and more than 20% of children and adolescents suffer from overweight (WHO, 2011, 2017), among which one third is obese. Poor diets play a major role among the NCDs risk factors (WHO, 2015a), while the risk of NCDs and chronic diseases can be reduced through the adoption of healthy diets (WHO, 2015b) including adequate consumption of fruits and vegetables (Boeing et al., 2012; Dauchet et al., 2005), reduction of saturated fats, free sugars (WHO, 2015b), salt (Mozaffarian et al., 2014) and meat (particularly of processed and red meat) (Danaei et al., 2014; Montagnese et al., 2015).

Health problems constitute the second largest item of government expenditure for the EU–28 (Eurostat, 2018), and between 70% to 80% of healthcare costs are spent on NCDs (about €700 billion; EC, 2012a,b,c). These numbers are estimated to increase in the future.

3.2. Integration of health and nutrition into the CAP: examples and suggestions from the literature

Calls to better integrate nutrition and health into the CAP started in mid-1990s (Elinder et al., 2003), firstly, with a focus on the food supply distortion due to overproduction and high prices of fruits and vegetables (Elinder, 2005; Lang and Rayner, 2005), and secondly with emphasis on reducing obesity and other NCDs (Elinder, 2005; Hawkes, 2007; Pederson, 2008). Nowadays, despite the integration of nutritional aims in an agricultural policy remains not well understood (Elinder, 2005; Hawkes, 2007), mechanisms and interventions that can improve health outcomes at the EU national and local level have emerged from the present literature review (see Table 1 for a summary).

Firstly, some authors suggest subsidies to increase the availability and affordability of healthy food (An, 2013; Thow et al., 2014; Veerman et al., 2006; Waterlander et al., 2018). The most subsidised agricultural products include beef, sugar and milk (da Silva et al., 2009), with surpluses being exported or becoming ingredients for high-fat processed food (Alexandratos, 2006). Conversely, fruit and vegetables receive the least support in relation to their EU market value, and where support exists, it has failed to stimulate production increases seen in other sectors (Eurostat, 2018). This resulted in one of the most striking effects of CAP policy: foods with high saturated fat content are more affordable for consumers than fruit and vegetables (Faculty of Public Health, 2007). In response to this crisis, it has been argued that CAP should focus on rebuiding a sustainable horticulture sector, providing the foundations of a healthy food system (Alexandratos, 2006; Lang and Schoen, 2016). As food production directly influences the food environment, contributing to healthy food availability, quality and affordability, a nutrition-focused CAP could encourage more diverse, environmentally sustainable plant-based consumption patterns and ultimately promote dietary changes and support healthy diets (Schmidhuber, 2007). Studies estimated that increases of about 20% on fruit and vegetables subsidies might increase their consumption by 10% (Schmidhuber, 2007), and a reduction of fruit and vegetable prices might prevent coronary heart disease and ischemic stroke (Thow et al., 2010).

That said, the relationship between agricultural subsidies and food accessibility remains somewhat unclear (Devlin et al., 2017). Some authors report that CAP should instead promote healthy eating by raising consumer prices for dairy and sugar products (Mazzocchi et al., 2009). However, despite some studies reported the effectiveness of taxation and subsidies in affecting dietary behaviour and consumption, policy adoption remains scarce and consumer information provision is usually preferred (An, 2013; Sisnkowski et al., 2015). Therefore, some authors suggested that restrictions on advertising, public awareness campaigns and fiscal measures would be the key element in improving public health (Capacci et al., 2012). In general, the EU provides a wide regulatory framework of dietary related laws that could properly address NCDs and obesity prevention (Sisnkowski et al., 2015), namely: (i) consumer information through nutrition labelling, (ii) health and nutrition claims used for marketing practices, and (iii) the nutritional standards of food programs like the School Milk Scheme, the School Fruit Scheme and the EU food distribution program (Brambila-Macias et al., 2011; Mazzocchi et al., 2009; Sisnkowski et al., 2015; Table 1). The two school programs defined by the Single Common Market Organization Regulation indicated a nutritionally stringent approach by excluding from EU co-financing “unhealthy products” (i.e. products containing added sugar, fat, salt or sweeteners). In doing so, legislators attempted to integrate the economic motivations of the CAP in “stabilizing markets” while promoting healthier diets. However, the re-orientation of the EU food distribution program did not follow this integration direction as it was separated out of the CAP and transformed into a market purchase-based program without a clear nutrition focus (Sisnkowski et al., 2015). Besides the EU level, some initiatives for integrating food dimensions into policy have been taken at the national and local level (Candel and Pereira, 2017), with different outcomes
The adoption of a comprehensive food chain approach in research and in planning intervention in the CAP could clarify the links between food system actors and phases, underlining by the call for a better understanding of healthy diets and health risks. On the other hand, Denmark attempted to introduce a statutory ban on the marketing of unhealthy food products (Hungary); in 2011 a “public health product fee” (EC, 2011b) to help reduce the domestic consumption of categories of food causing health risks. On the other hand, Denmark attempted to introduce a saturated fat tax in 2011 (2011/19/DK) but the policy was abolished within one year due to competing economic and political interests (Smed, 2012; Waterlander et al., 2018).

The discussion around the inclusion of nutritional objectives in the CAP has become crucial for the future of EU (James et al., 2006; WHO, 2004), and “food policy” has become an increasingly important concept used to emphasize the need for integrative strategies that align different policies affecting food systems (Candel and Pereira, 2017). Achieving successful policy integration has always been a challenge (Peters, 2015), underlined by the call for a better understanding of healthy diets and the consequent introduction of supra-national dietary guidelines across Europe (Walls et al., 2016). In the CAP, this is even more complicated due to the lack of clarity in the legal mandate to address health issues at the EU level and the lack of a multidisciplinary approach necessary to target public health goals (Lock et al., 2010; Waterlander et al., 2018).

Overall, the reviewed literature reveals the complexity of integrating nutrition goals into the CAP, however, though the potential for agricultural policy interventions to improve diets remains limited, they are nonetheless important (Hawkes et al., 2012). The adoption of a comprehensive food chain approach in research and in planning intervention in the CAP could clarify the links between food system actors and phases, and consequently foster the transition towards healthy eating, and prevent nutrition related diseases (ibidem), but also reducing food waste (EC, 2017e) and environmental burdens (Fresco and Poppe, 2016).

With the last open consultation, a public debate about addressing health and nutrition in CAP (EC, 2017a) and a debate on policy integration and a possible transition to a Common Food Policy has started (EC, 2016c; IPES-Food, 2017a; Marsden, 2016). This latter is claimed to support a wider food systems perspective and span different governance levels from EU to urban level (IPES-Food, 2017b; MUFPP, 2015). Consequently, the CAP 2021–2017 regulation proposal (EC, 2018a) shows first attempts in moving towards a food system approach. In particular, it included an objective on the response of EU agriculture to new societal demands on food and health, and actions to promote the consumption of fruit and vegetables (Articles 42 and 43).

4. The environment
4.1. The environmental dimension of CAP

European landscapes are dominated by agriculture, which accounts for almost half of the total EU land surface (Halada et al., 2011). The EU has often been seen as a forerunner in the implementation of environmental measures (Lafferty and Hovden, 2003; Nilsson and Persson, 2017; Venghaus and Hake, 2018), with the first Environmental Action Program dating back to the ‘70s (EC, 1973). Since the 1990s, the CAP has been repeatedly reformed in the attempt to tackle environmental issues (EC, 2017l), starting from the Environmental Policy Integration in 1993 up to the ongoing “greening” process. During this period, the CAP has widened its aims from modernisation of agriculture, price stability and food accessibility (Erjavec and Erjavec, 2009; Garzon, 2006), to the promotion of multifunctional and sustainable agriculture, and rural development (Solazzo et al., 2016).

Today the CAP addresses environmental concerns through two pillars. Pillar I includes rules and mandatory standards for all EU farmers throughout countries. For instance, since the 70s Norway has adopted an integrated Nutrition and Food Policy (Klepp and Forster, 1985) and the country recently notified a proposed ban on the marketing of unhealthy food to children (2013/9005/N) (Sisowski et al., 2015). Hungary adopted in 2011 a “public health product fee” (EC, 2011b) to help reduce the domestic consumption of categories of food causing health risks. On the other hand, Denmark attempted to introduce a saturated fat tax in 2011 (2011/19/DK) but the policy was abolished within one year due to competing economic and political interests (Smed, 2012; Waterlander et al., 2018).

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to respond to the “Polluter-Pays-Principle” through direct payments and market measures. Pillar II aims to support rural development, and responds to the “Provider-Getts-Principle” that involves dedicated incentives to remunerate farmers’ voluntary engagement in contributing to environmental objectives beyond the mandatory standards (e.g., by enhancing crop diversification, maintaining permanent grassland, and improving farm biodiversity, conserving forest; EP, 2013). Despite these initiatives, the EU agriculture is still among the major drivers of negative impacts on the environment. It causes more than 10% of the total greenhouse gas emissions in EU-28 (EEA, 2018), almost 44% of total water withdrawals (EEA, 2012; with higher rates in water-scarce Mediterranean countries; FAO, 2016), eutrophication risk due to fertilizer application (EEA, 2014; Eurostat, 2009), and soil erosion (Eurostat, 2015). Consequently, the loss of EU biodiversity has accelerated (EEA, 2015), making it very difficult for Europe to meet the target of halting the biodiversity loss and the ecosystem services degradation by 2020 (EC, 2011a).

The 2017 public consultation stressed the need for a stronger CAP action in addressing climate change, unsustainable management of natural resources (e.g., water, soil, and air), and loss of biodiversity and landscapes (EC, 2017a,g). The European Commission included these priorities in the regulation for the CAP 2021–2027 by dedicating three of the nine general objectives to these issues (EC, 2018a), in the attempt to address to the environmental challenges set by SDGs, the Paris agreements on climate change, and Aichi convention of biodiversity (EC, 2018b).

4.2. EU agriculture towards environmental sustainability: CAP trends and future directions from the literature

The reviewed literature analyses the CAP environmental mechanisms and performances. The themes resulting from the literature review are consistent with the environmental priorities underlined in the last CAP consultation (Table 2), and the needs to support the transition towards a more sustainable EU food system emerged from the review can be grouped into three major challenges.

The first challenge for the future CAP relates to the adoption of a systemic and integrated approach when defining environmental targets and assessing agricultural practices (Creutzig, 2017; Van Grinsven et al., 2016; Venghaus and Hale, 2018). This multi-dimensionality is needed by both the multi-faceted nature of the environment and the multifunctional role of agriculture (Eksvärd and Marquardt, 2018), as highlighted for instance by the ecosystem services framework (TEEB, 2018). This assessment framework emerged from the various attempts to address environmental issues within the CAP (Bouwma et al., 2018; Fagerholm et al., 2016; Frueh-Mueller et al., 2018; Mercx and Pereira, 2015; Toma et al., 2017); alongside the provision of food and income, agriculture should, indeed, incorporate and develop the capacity to mitigate climate change, produce and use sustainable energy, protect biodiversity, preserve water and soil, and safeguard our cultural heritage (Lampkin et al., 2015). Scientific research on CAP should thus adopt a multi-criteria and systemic approach in order to detect conflicts and synergies between different environmental dimensions (e.g., climate change, biodiversity conservation and water and soil management), as well as identifying feasible trade-off solutions and strategies (Gocht et al., 2017; Jakobsson and Lindborg, 2015; Toma et al., 2017). These analyses could support a change from the “productive” to the “sustainable” hectare perspective, the definition of new mechanisms that go beyond individual farmers (Leventon et al., 2017), but also link the environmental and the socioeconomic spheres (e.g., with carbon emission trade or the Payment for Ecosystem Services; Brady et al., 2017; Fares, 2016).

A second major challenge is the policy coherence for the purpose of achieving environmental sustainability. Within the CAP, some studies agree on the fact that there is a weak link between environmental objectives and the relative actions (Solazzo et al., 2016). For instance, according to Pe’er et al. (2017) there is a mismatch between Ecological Focus Areas goals and the implemented actions, since the most effective measures for conserving biodiversity are barely selected by farmers (Sutherland et al., 2016). Moreover, due to the lack of integrated assessment behind the definition of CAP targets, possible conflicts between the different CAP objectives are not evident to policy makers and thus are not properly tackled (Hernández-Morcillo et al., 2018; Ribeiro et al., 2016; Turpin et al., 2017; Zinggrebe et al., 2017). Besides the inner policy coherence, the CAP lacks harmonization with other environmental EU policies. Given the envisaged multi-functionality of agriculture and its direct relationship with the environment, CAP plays a

<table>
<thead>
<tr>
<th>Environmental priority</th>
<th>Argument</th>
<th>References</th>
</tr>
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<tbody>
<tr>
<td><strong>Water</strong></td>
<td>Agricultural productivity goals should be balanced with sustainable management of natural resources (1)</td>
<td>(Antonelli et al., 2017; Frueh-Mueller et al., 2018; Salmoral et al., 2017)</td>
</tr>
<tr>
<td></td>
<td>Need to align the goals of different (environmental) EU policies (2)</td>
<td></td>
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</table>

**Soil and land**

The actual characterization of “sustainable management” is vague (3) CAP should fit the needs of specific rural sub-areas and farming styles (3) CAP should provide quantitative indications to guide farmers’ actions (3) CAP mechanisms might actually thwart wider European strategy and policy goals (2)

| Climate change          | Inconsistency between CAP goals and actions (e.g. EFA vs. rural development initiatives) (2) | (Bouma and Wösten, 2016; Cortigiani and Dono, 2015; Fastelli et al., 2017) |
|                        | Incentive should integrate ecosystem services and socio-economic information (1) | |
|                        | There is a weak link between policy objectives and policy action (2) | |

**Biodiversity**

Policy consistency and coordination is crucial (1,2) CAP needs to focus more on climate change mitigation and adaptation (1) There is a weak link between policy objectives and policy action (2)

| Biodiversity           | Policy consistency and coordination is crucial (1,2) | (Burrascano et al., 2016; Corderoni and Esposti, 2018; Frueh-Mueller et al., 2018; Solazzo et al., 2016; Verschuuren, 2018) |
|                        | CAP needs to focus more on climate change mitigation and adaptation (1) | |
|                        | There is a weak link between policy objectives and policy action (2) | |

**All**

Systemic and integrated approach are necessary to define environmental targets and assess agricultural systems (1) CAP guidelines should reflect agriculture multi-functionality (1) CAP effects depend on the specific resources and context (3)

| All                    | Systemic and integrated approach are necessary to define environmental targets and assess agricultural systems (1) | (Eksvärd and Marquardt, 2018; Venghaus and Hale, 2018) |
|                       | CAP guidelines should reflect agriculture multi-functionality (1) | |
|                       | CAP effects depend on the specific resources and context (3) | |
central role in fostering the integration of these policies. A large amount of evidence now suggests that re-aligning the objectives of the different sectoral policies will likely result in improvements in natural resources management (e.g., reduction in floods, droughts, and water pollution) (Linnerooth-Bayer et al., 2015; Salmoral et al., 2017), in a more effective biodiversity conservation (Palacin and Alonso, 2018), and mitigation of climate change and soil erosion (Fares, 2016; Warner et al., 2017).

The third challenge regards the EU heterogeneous territories and agricultural cultures. In order to achieve environmental benefits throughout Europe, the future CAP requires diversified environmental targets and actions defined according to regional or context-based characteristics. For instance, Bouma and Wösthen (2016) state that scientists should create a new narrative for major soil categories to define operational procedures to support both the definition of legislative targets and guidelines of management practices for farmers. The Farm Advisory System (FAS) emerged as indispensable to efforts to analyze and characterize EU diversity and support the development of ad-hoc strategies (Bouma and Wösthen, 2016; Cortognani and Done, 2018; Santiago-Freijanes et al., 2018).

With the last reforms, the CAP took the first steps in tackling this heterogeneity by giving more flexibility to the Member States in designing strategic plans and Agro-environmental schemes (Pe’er et al., 2017). This flexibility should be supported by practical guidelines and specific best practices based on scientific evidence built on quantitative, spatio-temporally distributed monitoring campaigns and assessments (Fischer and Wagner, 2016; Verschuuren, 2018). It has been argued that the actual environmental objectives are indeed defined in a generic and qualitative manner, despite they are often controlled and measured with quantitative technical parameters at the national level (Eksvärd and Marquardt, 2018). This approach conflicts with the transboundary nature of environmental processes (Batáry et al., 2015; Fischer and Wagner, 2016), which should be addressed by adopting an “eco-agri-food systems” perspective (TEEB, 2018) and considering regions, eco-regions or ecosystems through the cooperation between countries and the fundamental coordination of EU (e.g., Voulvoulis et al., 2017). Additionally, CAP monitoring and assessment systems should move to evaluate long-term effects and sustainability outcomes (Fischer and Wagner, 2016), e.g., depending on site characteristics, it can take up to 200 years for 1 cm of soil to form (Verheijen et al., 2009), which can then be eroded in only few minutes (Panagos et al., 2016). Quantitative and multidimensional environmental assessment and monitoring frameworks would support more result-driven, feasible and adequate targets and guidelines and would alleviate the often-unambiguous nature of CAP targets (Eksvärd and Marquardt, 2018).

5. Farmers and rural development

5.1. Farmer livelihoods and rural development in the CAP

Much like its environmental and nutritional dimensions, resilient EU farmer livelihoods and the rural communities they support are crucial for the food system transition towards sustainability. In large part, the introduction of the CAP’s “second pillar” (see Section 4.1, EU, 2013) was intended to ‘support rural areas of the Union’ to meet the wide range of economic, environmental and societal challenges of the 21st century’ (EP, 2018a). ‘Farmer livelihoods’ and ‘rural development’ is a vast and unwieldy category; as such we opted to focus on four specific constituents within this field: i) young farmers, ii) new entrants, iii) small-scale farmers, and iv) women farmers. This decision was taken in order to reflect not only the widespread concern for these particular areas in the literature, but also the corresponding view that for an agriculture as diverse as the EU’s, only a set of commensurately diverse stakeholders will be capable of effecting transitions to a healthier and sustainable food system (Candel and Pereira, 2017; EC, 2016c; Fresco and Poppe, 2016; Marsden, 2016). In the following sections, we give some background on these measures, before summarizing the perceived impacts on these four farmer groups (Table 3).

Table 3
CAP and the rural fabric.

<table>
<thead>
<tr>
<th>Farmer group</th>
<th>Argument</th>
<th>References</th>
</tr>
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<tbody>
<tr>
<td>Young farmers</td>
<td>Average age of farmers in Europe too high</td>
<td>(Barans et al., 2016; Fresco and Poppe, 2016; Hamilton et al., 2015; Kontogeorgos et al., 2015; Leonard et al., 2017; Zagata and Sutherland, 2015)</td>
</tr>
<tr>
<td></td>
<td>Provision for young farmers in CAP inadequate</td>
<td>(Barans et al., 2016; Fresco and Poppe, 2016; Hamilton et al., 2015; Kontogeorgos et al., 2015; Leonard et al., 2017; New Economics Foundation, 2017; Poppe and Jambor, 2015; Zagata and Sutherland, 2015)</td>
</tr>
<tr>
<td>“Young farmer problem” only really a problem in specific countries</td>
<td></td>
<td>(Fresco and Poppe, 2016; Poppe and Jambor, 2015)</td>
</tr>
<tr>
<td></td>
<td>Provision for young farmers in CAP inadequately</td>
<td>(Barans et al., 2016; Fresco and Poppe, 2016; Leonard et al., 2017; New Economics Foundation, 2017)</td>
</tr>
<tr>
<td>New entrants</td>
<td>Provision for new entrants in CAP inadequate</td>
<td>(Barans et al., 2016; Kontogeorgos et al., 2015; New Economics Foundation, 2017; Poppe and Jambor, 2015)</td>
</tr>
<tr>
<td></td>
<td>Land access key impediment to reducing average farmer age</td>
<td>(Zondag et al., 2015)</td>
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<tr>
<td></td>
<td>New entrants needed because they are more likely to use technology and create economies of scale</td>
<td>(Barans et al., 2016; Fresco and Poppe, 2016; Leonard et al., 2017; Poppe and Jambor, 2015)</td>
</tr>
<tr>
<td></td>
<td>New entrants needed to become SFF</td>
<td>(Tudge, 2016)</td>
</tr>
<tr>
<td></td>
<td>New system of training and support needed for new entrants</td>
<td>(Anderson et al., 2018; Sutherland et al., 2013)</td>
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<tr>
<td>Small-scale farming (SSF)</td>
<td>SFF at severe disadvantage in EU system</td>
<td>(Laughton, 2017a,b; New Economics Foundation, 2017; Urgenci, 2016)</td>
</tr>
<tr>
<td></td>
<td>Provision for SFF in CAP inadequate</td>
<td>(Laughton, 2017a,b; New Economics Foundation, 2017; Poppe and Jambor, 2015; Urgenci, 2016)</td>
</tr>
<tr>
<td></td>
<td>SFF is economically viable</td>
<td>(ETC Group, 2009; Laughton, 2017a,b; Urgenci, 2016)</td>
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<tr>
<td></td>
<td>SFF lacks economies of scale to make them viable</td>
<td>(Fresco and Poppe, 2016)</td>
</tr>
<tr>
<td>Women farmers</td>
<td>Women at severe disadvantage in EU system</td>
<td>(Oedl-Wieser, 2015; Shortall, 2015)</td>
</tr>
<tr>
<td></td>
<td>Provision for women in CAP inadequate</td>
<td>(Oedl-Wieser, 2015; Shortall, 2015)</td>
</tr>
<tr>
<td></td>
<td>Prejudices about farmer identity are preventing development of women farmers</td>
<td>(Brandt, 2002; Burandt and Mölders, 2017)</td>
</tr>
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</table>
5.2. CAP towards an inclusive and diverse farmers’ population: current issues and CAP future directions from the literature

5.2.1. Young farmers

Sometimes referred to as the ‘young farmer problem’ (Barnes et al., 2016; Hamilton et al., 2015; Leonard et al., 2017; Zagata and Sutherland, 2015), the ageing population of EU farmers is widely cited as a concern. The average age of farmers in the EU is 51.4 (EC, 2017h); however, across all countries the figures vary considerably. For example, in Portugal, half of all farmers are older than 65, while in Germany, Austria and Poland less than 10% of farmers continue to work beyond the age of 65 (EC, 2017h). This has led a number of researchers to reframe the ‘young farmer problem’ as specific to certain countries and farming systems (EC, 2017h; Fresco and Poppe, 2016).

In recent decades, CAP reforms have included some measures to address farmers’ ageing. For instance, all young farmers under 40 entering the sector receive an additional direct payment from the first pillar, which may be combined with set-up assistance under the second pillar (EC, 2013b; Zagata and Sutherland, 2015). Critics of these measures have pointed to their minimal reach. Firstly, only 12% of ‘young’ farmers (i.e., less than 1% of all farmers) benefited from the last round (Kontogeorgos et al., 2015). Secondly, less than 2% of the total designated to the first pillar of national allocations is set aside for young farmers (EP, 2018b). Thirdly, Early Farm Retirement Schemes have also been introduced to facilitate the transfer of farms into younger hands, though these have not met with much success (Leonard et al., 2017). Finally, direct payments have also been shown to powerfully inhibit land transfer by creating contradictory incentives for older financially-insecure livestock farmers to retain holdings by ‘destocking’ their land and continuing in order to be able to claim both direct payments and a state pension (Hennessy, 2014; Leonard et al., 2017).

The limited success of these measures has been noted in many studies, especially those which see young farmers as the primary way of increasing productivity, stimulating ‘innovation’ (Kontogeorgos et al., 2015) and effecting technology uptake (Barnes et al., 2016; Leonard et al., 2017; Raggi et al., 2013; Zagata and Sutherland, 2015). Regardless, a sense of optimism about farmer age does exist in some quarters, buoyed by research suggesting that ‘enrolments for agricultural degree and training programmes are at an all-time high, illustrating a strong intention to pursue farming as a primary career choice’ (Heanne and O’Donoghue, 2014). That said, many impediments to young farmers remain: broadband connectivity in rural areas being one (EC, 2017i), though by far the biggest being a ‘critically low’ ‘availability of farms’ (Leonard et al., 2017). Furthermore, efforts to decrease farmer age based on high-tech modernisation and productivity increases are not universally supported, with opposition coming from those advocating environmental protection, support for smaller farms and the preservation of picturesque countryside and rural cultures (EP, 2017; Laughton, 2017a,b; Sutherland et al., 2016).

5.2.2. New entrants

The issue of ‘new entrants’ to farming is very much connected to young farmers (Barnes et al., 2016). For instance, land access is a central concern: an EU study found that lack of land for rent and/or purchase were considered the biggest barrier to entry (Zondag et al., 2015). The CAP makes no specific provision for new entrants, apart from those made to young entrants (EU, 2013). The issue is considered to be a ‘devolved’ issue, with responsibility for setting up new entrant schemes falling to national (e.g. Ireland, Irish Department of Agriculture, 2017), or regional authorities (e.g. Scotland; Scottish Government, 2013), where particular grants and tax reliefs have been developed for eligible farmers.

Enthusiasm for new entrants follows much the same pattern as for young farmers; that is, it is hoped they will bring ‘innovation’ (invariably, technologically-focused) and increases in productivity (Barnes et al., 2016). Contrary to this techno-modernising trend, a sizeable minority see new entrants as potential proponents of a movement towards an agroecological and ‘knowledge-intensive’, rather than an ‘input-intensive’ agriculture (FAO, 2017). This being said, such a shift remains dependent on the development of support mechanisms which do not currently exist, at least not at scale; for example, funding for horizontal knowledge-exchange platforms and impartial advisory services tailored to the needs of small-scale and agroecological systems (Anderson et al., 2018; Coolsaet, 2016; Sutherland et al., 2013).

5.2.3. Small-scale farmers

This brings us to the issue of small-scale farming (SSF) itself - perhaps the most controversial issue in the ongoing debates around the CAP. The exact definition of a small farm varies, and reliable data is often hard to come by (ETC Group, 2009). In 2013, there were almost 5 million very small (i.e. less than 2 ha of utilised agricultural area) and 4.5 million physically small (2–20 ha) holdings in the EU-28. Combined, these holdings accounted for more than 85% of EU farms and for more than two thirds of the labour force ‘directly working on farms’ (Eurostat, 2017).

In spite of these numbers (and widespread concern for the diverse cultures and agricultural practices they represent), the CAP has historically incentivized large-scale food production, by (among other things) coupling direct payments to farm area (Fairlie, 2016), continuing commitments to export subsidies (Grant, 2010), and focusing on ‘capital investment in machinery to benefit from economies of scale’ (EC, 2013c). Unsurprisingly, support for SSF in the CAP has been minimal. In the 2013 reform, a maximum lump sum of €1275 was made available through the Small Farmers Scheme, though only fifteen member countries opted-in (EP, 2017). For countries that opted-out, any holding under 5 ha is ineligible for direct payments, often leaving the smallest and most vulnerable farmers without any support (Devlin et al., 2017).

Despite the damage to rural communities and agro-ecosystems that some see resulting from this approach (van der Ploeg et al., 2012), the majority of the papers we read in this review advocate increasing the size of agricultural holdings in the EU. Sentiments such as ‘to maintain their income, farmers have to increase farm size’ (Fresco and Poppe, 2016) and small-scale farmers do ‘not encourage efficient scale’ (Popp and Jámbor, 2015) were commonplace. At the same time, no one advocates getting rid of SSF altogether; for example, Fresco and Poppe (2016) – despite calling for increases in scale elsewhere in their paper – also call for continued support for SSF.

In many ways, SSF takes us to the heart of the CAP’s widening contradictions: the CAP has become both a way of stabilizing productivity through incentivizing economies of scale (Barnes et al., 2016), and (in more recent years) a strategy of rural redevelopment often focused on the preservation of small-scale farming systems (EP, 2018a). To complicate matters further, some studies present compelling evidence of the benefits of SSF, which can be overlooked in a productivist paradigm: for instance, high employment rates per unit area (Laughton, 2017a, b), the economic resilience of mixed-farming and Community Supported Agriculture (Urgenci, 2016), yield per unit area for ‘high value’, labour intensive crops (Laughton, 2017a,b), and evidence of stronger community and social networks (Głowacki-Dudka et al., 2013).

5.2.4. Women farmers

Finally, we move to the issue of women in EU farming, a group who have consistently existed at the margins of the sector (Saugeres, 2002). On average women’s holdings tend to be significantly smaller than men’s, representing less than one third of farm managers (EC, 2013a). In 2011, agriculture provided 4% of all jobs held by women in the EU-27, compared 6% of men’s jobs (EC, 2013c). For young women farmers, the imbalance is more pronounced, with only 15% of all applications for support coming from women (Oedl-Wieser, 2015). Some evidence exists as well of a ‘gender pay-gap’, research done in the UK suggests that men farmers earn as much as a third more than women farmers (Farmers’ Weekly, 2014).
Like new entrants, the issue of women farmers is considered a devolved issue, and this may account somewhat for the lack of detail in the CAP itself. Indeed, women are mentioned only five times in 2013 reforms, and often in very vague terms: according to Shortall (2015) ‘the stated commitment to gender mainstream the CAP shows that it is simply rhetoric. There are no aims and objectives identified. What gender mainstreaming might achieve is never discussed’.

Research on the topic was also very limited - only a handful of papers were found (indeed some papers outside the original search period were included for this reason). A number of commentators acknowledge this, claiming that such limited and disaggregated data further prevents effective evidence-based policy interventions (EC, 2012c; Oedl-Wieser, 2015; Shortall, 2015). Alongside inadequate policy provision, many commentators pointed to the CAP's inability to address the structural disadvantages, which affect women farmers. For example, limited childcare, transport, healthcare, credit, and educational services in rural areas (which make accessing labour market difficult for women in care roles) and unequal pay (EC, 2012c). Furthermore, the precise extent of gender bias in EU agriculture today is likely worse than the data suggests, due to the highly gendered nature of our perceptions of who farmers are (Brandth, 2002).

6. Discussion: future policy and research directions

The present literature review investigates trends in the way the CAP has been received in the academic and grey literature, particularly with regards to its attempts to address problems relating to the environment, nutritional outcomes, and rural livelihoods.

Overall, 165 papers providing policy recommendations for the future directions of the CAP have been selected and reviewed. Focusing on the three investigated spheres, they are differently integrated in the CAP, and consequently, the literature we reviewed varied considerably. Environment and farmers’ livelihoods are covered within the CAP pillars, and the reviewed studies assessed sectoral-specific mechanisms (e.g., support to young and/or small-scale farmers) and propose possible improvements (e.g., on how to tackle the multifaceted relationship between agriculture and environment). Though the CAP was originally intended to secure food to Europeans, in the current legislation citizens’ nutrition and health are not explicitly addressed. In this regard, the reviewed literature proposes mechanisms to integrate this sphere into the CAP, highlighting the complexity of this process, but also its potential significant benefits for public health.

While the literature we reviewed did vary somewhat in approach and perspective, there were a number of clear commonalities in policy recommendations.

Firstly, in the majority of cases, the literature we reviewed called for maintenance of current financial support to young farmers, new entrants, SFF and FAS (e.g., Barnes et al., 2016; Bouma and Wösten, 2016; Cortignani and Dono, 2018; Hamilton et al., 2015; Leonard et al., 2017; Popp and Jambor, 2015; Santiago-Freijanes et al., 2018), as well as certain specific sectoral increases (e.g., horticulture, e.g., Alexandratos, 2006; Lang and Schoen, 2016). In complement to this, there were calls for funding for better, more detailed, multi-disciplinary and multiannual data collection, based on concerns that current data shortfalls conceal the real extent of disadvantage, and impede more effective interventions (e.g., Fischer and Wagner, 2016; Laughton, 2017a,b; Oedl-Wieser, 2015; Shortall, 2015; Verschuuren, 2018). That said, there was considerable disagreement about scale in EU farming: while there were some strong calls for incentivizing increases in SSF (e.g. Laughton, 2017a,b), the majority of studies advocated increases in the size of holdings (e.g. Fresco and Poppe, 2016; Popp and Jambor, 2015; Barnes et al., 2016), leaving uncertain the environmental impact of such an approach.

Secondly, better-integrated, participatory and multi-disciplinary research was advocated as a means of developing policy suited to the variety of EU environmental conditions, the diversity of farming practices (Cortignani and Dono, 2019), and the setting of ambitious, but feasible targets. In particular, participatory knowledge transfer platforms were advocated, especially those capable of supporting the diversity of farming approaches and scales needed to meet new food-system challenges and to promote diversified and context-specific strategies (e.g., Coolsaet, 2016; Sutherland et al., 2013). Such a move would be timely: contrary to the industrialized, homogenized and high-inpu model of agriculture, some estimates suggest that millions of new farmers are needed to rebuild a sustainable small-scale farming sector (Tudge, 2016). Multi-disciplinary research can play a crucial role in providing evidence-based policies (IPPR, 2018) through integrated multi-dimensional evaluation frameworks (e.g., Health Impact Assessments, the Ecosystem Services Assessment) and integrated databases (still lacking in the CAP, Capacci et al., 2012). These can be used to assess the impact of policy interventions (Lock et al., 2010) on dietary changes and consequent effects on public health (Dangour et al., 2013), to improve the environmental profiling of different agricultural systems throughout Europe (Niles et al., 2018), and better informing policy makers about cross-sectoral interactions.

A third common aspect directly linked to the integrated and multi-disciplinary research emerged from the analyzed literature. The reviewed publications confirmed the importance of a revised approach to policy-making able to draw together social, environmental, food and agricultural policies to create ‘whole-food system’ impacts. According to the literature, policy integration should take into account all the food system “actors”, from the environment to the EU citizens (Candel and Pereira, 2017; Peters and Pierre, 2014), and the often-competing perspectives and interests (Candel and Pereira, 2017; Walls et al., 2016). Despite numerous calls for policy integration towards a whole-food system approach, the reviewed studies only provided few concrete examples and suggestions on how to implement it (i.e., mechanisms to integrate nutrition and health in the CAP).

Taken together, the above outcomes represent a call for the CAP to support the integration of conventional agricultural objectives with the challenge of improving nutrition and health, and the protection of the natural and human ecosystem on which food production depends. The CAP has adapted somewhat during its existence to a rapidly changing society; the next step, however, is particularly challenging due to the accelerating complexity of international food systems and to the growing environmental pressure. Despite the partial failure of outcomes to date and the complexity of EU policy cycles, steps towards the integration of different policy sectors towards a whole food system approach are central in existing policy debates (e.g., IPES-Food, 2017a) and the last steps in the CAP history (EC, 2017a, 2018a). The latter two attempted to consider, besides the economic and market goals, the three issues investigated in this review, further stressing urgency with which they must be addressed. We therefore join the majority of studies featured in this review in urging the development of CAP legislation aimed at ensuring access to sufficient, safe, sustainable and nutritious food for EU citizens (for example by targeted support for small-scale horticulture).

Despite the promise of these proposals, some issues remain inadequately addressed (e.g., CAP coherence to and integration with other EU policies, multi-disciplinary and comprehensive assessment methods, and the role of women in farming) or offer only incremental improvements on the current provision. These attempts towards a whole-food system approach might also face significant budgetary limitations (EC, 2018a) and trade-offs between diverse policy objectives (Lusk, 2017). The precise extent of these risks is yet unknown; however, given the urgency of international food system crises, combined with the rare opportunity offered by this round of reforms, the recent proposals (EC, 2018a) represent a substantial disappointment. In these uncertain times, considerably more far-reaching measures are needed to achieve the kind of policy integration advocated in the majority of reviewed studies, let alone to redress the crises afflicting our vital agro-ecosystems.
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Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.scitotenv.2018.10.377.

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