# Uncertainty modelling and stability robustness analysis of nucleic acidbased feedback control systems

Paulino, N., Foo, M., Kim, J. & Bates, D.

### Author post-print (accepted) deposited by Coventry University's Repository

## Original citation & hyperlink:

Paulino, N, Foo, M, Kim, J & Bates, D 2018, Uncertainty modelling and stability robustness analysis of nucleic acid-based feedback control systems. in IEEE Conference on Decision and Control. Proceedings of the IEEE Conference on Decision & Control / IEEE Control Systems Society. IEEE Conference on Decision & Control, IEEE, pp. 1077-1082, IEEE Conference on Decision and Control, Miami Beach, United States, 17/12/18 https://dx.doi.org/10.1109/CDC.2018.8619072

DOI 10.1109/CDC.2018.8619072 ISSN 0743-1546

Publisher: IEEE

© 2018 IEEE. Personal use of this material is permitted. Permission from IEEE must be obtained for all other uses, in any current or future media, including reprinting/republishing this material for advertising or promotional purposes, creating new collective works, for resale or redistribution to servers or lists, or reuse of any copyrighted component of this work in other works.

Copyright © and Moral Rights are retained by the author(s) and/ or other copyright owners. A copy can be downloaded for personal non-commercial research or study, without prior permission or charge. This item cannot be reproduced or quoted extensively from without first obtaining permission in writing from the copyright holder(s). The content must not be changed in any way or sold commercially in any format or medium without the formal permission of the copyright holders.

This document is the author's post-print version, incorporating any revisions agreed during the peer-review process. Some differences between the published version and this version may remain and you are advised to consult the published version if you wish to cite from it.

# Uncertainty Modelling and Stability Robustness Analysis of Nucleic Acid-Based Feedback Control Systems

Nuno M.G. Paulino<sup>†1</sup>, Mathias Foo<sup>2</sup>, Jongmin Kim<sup>3</sup> and Declan Bates<sup>†4</sup>

Abstract-Recent advances in nucleic acid-based chemistry have highlighted its potential for the implementation of biomolecular feedback circuits. Here, we focus on a proposed design framework, which is able to approximate the inputoutput behaviour of key linear operators used in feedback control circuits by combining three elementary chemical reactions. The implementation of such circuits using DNA strand displacement introduces non-linear internal dynamics due to annihilation reactions among different molecular species. In addition, experimental implementation of in silico designs introduces significant levels of uncertainty and variability in reaction rate constants and equilibrium concentrations. Previous work using this framework has overlooked the practical implications of these issues for the construction of nucleic acidbased feedback control circuits. Here, we analyse the impact of these nonlinearities and uncertainties on the stability of a biomolecular feedback loop. We show that a rigorous analysis of its nucleic acid-based implementation requires an investigation of the associated non-linear dynamics, to decide on realisable parameters and acceptable equilibrium concentrations. We also show how the level of experimental uncertainty that is tolerated by the feedback circuit can be quantified using the structured singular value. Our results constitute a first step towards the development of a rigorous robustness analysis framework for nucleic acid-based feedback control circuits.

#### I. INTRODUCTION

A primary aim of synthetic biology is the development of systematic design and analysis frameworks for biomolecular feedback control systems that can regulate concentrations of molecular species inside the cell [1]. The recently proposed use of chemical reaction networks (CRNs), implemented via nucleic acid-based chemistry, as a programming language for the design of such systems [2], has made it possible to conceptualise many biological circuits in line with classical feedback control ideas, [3], [4].

However, a direct translation of abstract mathematical operators (e.g. gain, summation) into biomolecular circuits via chemical reactions is often not straightforward. For example, implementation of the subtraction operator using CRNs generally results in a one-sided operation, (i.e. it can only compute a positive difference between two inputs), whereas in feedback control the error signal generated by the difference between the measured and the desired values can take both positive and negative values. This is a critical limitation, as a one-sided error computation can lead to poor control performance or even instability [5], [6].

To get around this problem, Oishi and Klavins [3] proposed a formalism that can perform a two-sided subtraction, by letting each signal in the circuit be the difference in the concentration of two chemical species,  $x^+$  and  $x^-$ . In this way, a systematic framework for implementing simple linear biomolecular feedback controllers can be developed using three elementary reactions, and the same formalism has recently been extended to include more complicated mathematical operators [7] and non-linear controllers [8], [9].

While previous studies have indicated satisfactory stability and performance properties for controllers designed using this framework, no formal robustness analysis of these circuits has so far been attempted. This is an important issue, because the implementation of CRNs using nucleic acids requires the matching of specified reaction rates for the required biomolecular reactions to realise a particular operator, which can be problematic in real experimental implementations.

The use of nucleic acids to implement CRNs is appealing because a simple kinetic model of toehold-mediated strand displacements could explain a large number of different strand displacement reactions from the DNA sequences [10]. However, predicting reaction rate constants from sequence information alone, i.e. without experimental inputs, is still challenging and can result in large deviations from predicted design values. Improved biophysical models [11] and computational tools [12] can help improve the precision of predicted kinetics of hybridization and toehold-mediated strand displacement. It is also reasonable to assume that the different reactions may be characterised and the rates measured. Finally, varying the concentrations of auxiliary species [2] can also be used to iteratively bring the reaction rates closer to their design values. Based on the above considerations, it is estimated that environmental factors, together with uncertainty of the characterisation, i.e., the difference between measured rates and the actual reaction rates in the implementation, could result in uncertainty in the values of rate constants of between 10% to 20%.

Thus, it is crucial to be able to formally quantify in advance the robustness of proposed CRN-based designs to specified levels of uncertainty. Although some formal definitions of robustness in systems biology have been proposed [13], the rigorous analysis of the effects of perturbations and uncertainties in synthetic circuits calls for more structured

<sup>&</sup>lt;sup>†</sup>N. Paulino and D. Bates are with the Warwick Integrative Synthetic Biology Centre (WISB), School of Engineering, University of Warwick, Coventry CV4 7AL, UK <sup>1</sup>N.Paulino@warwick.ac.uk, <sup>4</sup>D.Bates@warwick.ac.uk

 $<sup>^2</sup>M.$  Foo is with the School of Mechanical, Aerospace and Automotive Engineering, Faculty of Engineering, Environment and Computing, Coventry University, Coventry CV1 5FB, UK Mathias.Foo@coventry.ac.uk

<sup>&</sup>lt;sup>3</sup>J. Kim is with the Wyss Institute for Biologically Inspired Engineering, Harvard University, Boston, Massachusetts 02115, USA Jongmin.Kim@wyss.harvard.edu



Fig. 1. Representation for  $\mu$ -analysis, where the nominal linear system G(s) is interconnected with the uncertainty structured in the system  $\Delta$ .

mathematical formulations for robustness analysis, estimation and model-based prediction [14].

Here, we investigate the internal stability of the complete set of reactions resulting from an implementation of a linear feedback control circuit using nucleic acid-based chemistry. The assumption of high concentrations of intermediary species allows some simplification of the reactions, but still captures an important aspect missing from the inputoutput behaviour of the system: the circuit has non-linearities due to the annihilation reactions, which are not observable in the input-output dynamics. We show that the presence of these hidden internal dynamics has an impact on the equilibrium of the system, and also imposes lower bounds on the concentrations of the intermediary species. More importantly, the attraction of the equilibrium condition and its stabilising role also needs to be characterised. Secondly, we use the structured singular value (SSV) tool [15], [16] from robust control theory to perform a formal validation of the robustness of the proposed controller. An informal robustness and sensitivity analysis was carried out in [3], where the system was subjected to a square wave as reference input over 50 simulations in the presence of random  $\pm 10\%$ variability in the reaction rates. This led to mismatches in some of the reactions rates, causing significant steady state errors in the input tracking. In contrast to simulation-based approaches, the SSV analysis does not rely on statistics, making it a very strong certification method as long as the modelling of the underlying uncertainty is representative.

The use of SSV, or  $\mu$ -analysis, has been previously investigated in systems biology [17] in an attempt to quantify and validate robustness in natural biochemical networks [18], and it is now widely used in other domains such as aircraft [19], [20] and space engineering [21]. Once the uncertainty in the circuit is established, it can be aggregated in an uncertain system  $\Delta$  interconnected with the nominal system (see Fig. 1), representing an infinite family of systems accounting for all possible combinations of the uncertain parameters, where any variation of the parameters will cause a deviation from the nominal response. The SSV analysis searches for the  $\mu$  value (see e.g. [19]), which is a metric defining how far the system is from losing stability. If the domain of  $\Delta$  does not contain destabilising parameter combinations, then robust stability is guaranteed for the specified level of uncertainty.

#### II. UNCERTAINTY MODELLING

Fig. 2 shows the configuration of the feedback control system analysed throughout this work. It is a single-input/single-



Fig. 2. The analysed feedback loop with proportional-integral controller from [3].

output (SISO) system with a single state  $\xi_4$  where the output of a constant plant  $P = 1/(1+\varrho)$  tracks a reference input uby the action of a proportional and integral controller. The closed-loop feedback trajectories evolve accordingly to:

$$\dot{\xi}_4 = k_I \xi_1 = k_I (u - y)$$
 (1)  
 $y = P(k_P \xi_1 + \xi_4)$ 

#### A. CRN Approximation of a Linear Feedback Control Circuit

Following the formalism introduced in [3], the configuration shown in Fig. 2 is approximated by a circuit composed of CRNs, where the negative and positive parts of a signal  $\xi$  are represented respectively by concentrations of two different species  $x^+$  and  $x^-$ . The final value of the signal is retrieved with  $\xi = x^+ - x^-$ .

The proposed CRNs for each of the operations in the circuit are based on three elementary reactions, namely catalysis, annihilation and degradation. Here, the nomenclature  $x^{\pm}$  represents compactly the two different species  $x^{+}$  and  $x^{-}$ . Similarly, the notation  $x^{\pm} \xrightarrow{\gamma^{\pm}} y^{\pm}$  represents compactly two simultaneous reactions

$$x^+ \xrightarrow{\gamma^+} y^+, \quad x^- \xrightarrow{\gamma^-} y^-$$
 (2)

The element  $\emptyset$  is used when the result of a reaction degrades, gets sequestered, or is removed, and no longer participates in the reactions.

Thus, the subtraction block  $\xi_1 = u - \xi_5$  is approximated by the reactions:

$$u^{\pm} \xrightarrow{\gamma_{1}^{\pm}} u^{\pm} + x_{1}^{\pm} \quad , \quad x_{5}^{\pm} \xrightarrow{\gamma_{2}^{\pm}} x_{5}^{\pm} + x_{1}^{\mp} \qquad (3)$$
$$x_{1}^{\pm} \xrightarrow{\gamma_{3}^{\pm}} \emptyset \quad , \quad x_{1}^{+} + x_{1}^{-} \xrightarrow{\eta} \emptyset$$

For the weighted integrator  $\dot{\xi}_4 = k_I \xi_1$ , the CRNs are:

$$x_1^{\pm} \xrightarrow{k_I^{\pm}} x_1^{\pm} + x_4^{\pm} \quad , \quad x_4^{+} + x_4^{-} \xrightarrow{\eta} \emptyset$$
 (4)

Finally, for the weighted summation  $y = \xi_5 = k_p \xi_1 + \xi_4$ , we have:

$$x_{1}^{\pm} \xrightarrow{\gamma_{7}^{\pm}k_{P}^{\pm}} x_{1}^{\pm} + x_{5}^{\pm} \quad , \quad x_{4}^{\pm} \xrightarrow{\gamma_{8}^{\pm}} x_{4}^{\pm} + x_{5}^{\pm} \qquad (5)$$
$$x_{5}^{\pm} \xrightarrow{\gamma_{9}^{\pm}(1+\varrho)} \emptyset \quad , \quad x_{5}^{\pm} + x_{5}^{-} \xrightarrow{\eta} \emptyset$$

The parameters  $\gamma_i$ ,  $k_P$ ,  $k_I$ , and  $\eta$  represent the rates of the reactions, and  $\rho$  is a constant (set to  $\rho = 2$  as in [3]). Using the law of mass action, where the rate of a chemical reaction

is proportional to the product of the concentrations of the reactants (see e.g. [22]), the dynamics of the CRNs in (3)-(5) can be modelled using the ordinary differential equations (ODE):

$$\dot{x}_{1}^{+} = -\gamma_{3}^{+}x_{1}^{+} + \gamma_{2}^{-}x_{5}^{-} + \gamma_{1}^{+}u^{+} - \eta x_{1}^{+}x_{1}^{-}$$

$$\dot{x}_{1}^{-} = -\gamma_{3}^{-}x_{1}^{-} + \gamma_{2}^{+}x_{5}^{+} + \gamma_{1}^{-}u^{-} - \eta x_{1}^{+}x_{1}^{-}$$
(6)
(7)

$$= -\gamma_3^- x_1^- + \gamma_2^+ x_5^+ + \gamma_1^- u^- - \eta x_1^+ x_1^-$$
 (7)

$$\dot{x}_4^+ = k_I^+ x_1^+ - \eta x_4^+ x_4^- \tag{8}$$

$$\dot{x}_4^- = k_I^- x_1^- - \eta x_4^+ x_4^- \tag{9}$$

$$\dot{x}_5^+ = -\gamma_9^+ (1+\varrho) x_5^+ + \gamma_7^+ k_P^+ x_1^+ + \gamma_8^+ x_4^+ - \eta x_5^+ x_5^- \quad (10)$$

$$\dot{x}_5^- = -\gamma_9^- (1+\varrho) x_5^- + \gamma_7^- k_P^- x_1^- + \gamma_8^- x_4^- - \eta x_5^+ x_5^-$$
(11)

The input-output systems have states computed by the difference in concentration of the species tracking the positive and negative components of the signal with  $\xi_i = x_i^+ - x_i^-$ . Considering the nominal case where  $\gamma_i^+ = \gamma_i^-$ , the dynamics of the CRNs are linear and are given by

$$\dot{\xi} = \begin{bmatrix} -\gamma_3 & 0 & -\gamma_2 \\ k_I & 0 & 0 \\ \gamma_7 k_P & \gamma_8 & -\gamma_9 (1+\varrho) \end{bmatrix} \xi + \begin{bmatrix} \gamma_1 \\ 0 \\ 0 \end{bmatrix} u \quad (12)$$

With the right choice of rates  $\gamma_i$ , and controller parameters  $k_I$  and  $k_P$ , the input-output behaviour of (12) mimics the input-output behaviour of the linear system shown in Fig. 2. In this sense, the abstract CRNs of (3)-(5) can implement a similar input-output response to the feedback system (1) with biomolecular reactions, albeit with the inclusion of extra dynamics.

#### B. Implementation with Nucleic Acids

The abstract CRNs given above can be implemented experimentally using DNA strand displacement (DSD) reactions [2],[3],[11]. The parameters  $\gamma_i^{\pm}$ ,  $k_I^{\pm}$ , and  $k_P^{\pm}$  in the CRNs are set as functions of nominal strand displacement rates  $q_i^{\pm}$ . The individual dependencies of  $\gamma_i^{\pm}$  on  $q_i^{\pm}$  are inferred from the DSD implementation in [3], and set as

$$\gamma_i^{\pm} = \frac{1}{2} q_i^{\pm} C_{max}, \quad i \in \{1, 2, 3, 7, 8, 9\}$$
(13)

$$k_I^{\pm} = \frac{1}{2} q_5^{\pm} C_{max}, \quad k_P^{\pm} = \frac{q_7^{\pm}}{q_8^{\pm}} \tag{14}$$

The scaling parameter  $C_{max}$  results from the DSD implementation of the feedback system in [3], which relies on bimolecular reactions mediated by intermediary auxiliary species with initial concentrations given by  $C_{max}$ . If  $C_{max} \gg x_i^{\pm}(t)$ , the concentration of the auxiliary species can be considered almost constant, and the set of reactions of the DSD network can be approximated by the unimolecular reactions of the CRNs in (3)-(5). Thus it is important to consider a set of parameters where the concentrations remain small with respect to  $C_{max}$ .

The annihilation reaction  $\eta$  depends on a maximum displacement rate  $q_{max}$  [2], and the DSD implementation proposed in [3] results in the equivalency

$$\eta = \frac{1}{2}q_{max} \tag{15}$$

If  $\eta \gg \gamma_i$ , the annihilation reaction keeps the concentrations  $x_i^+$  and  $x_i^-$  minimal without changing the transmission of the signal  $\xi_i$  [3]. Often this entails that for steady state, if  $\xi_i > 0$  then  $x_i^+ \approx \xi_i$  and  $x_i^- \approx 0$ . For  $\xi_i < 0$  we have the inverse with  $x_i^- \approx \xi_i$  and  $x_i^+ \approx 0$ .

#### C. Implications of Non-Linear Dynamics

While the CRN model provides a framework to approximate the dynamics in (1) using concentrations of chemical species, when dealing with the DSD implementation it is important to note that the dynamics of  $\xi_i$  do not provide a complete description of the system. For example, the requirement that  $x_i^{\pm}(t) \ll C_{max}$  cannot be verified from the input-output response alone, since the concentrations of  $x_i$  are not observable in the states  $\xi_i$ , or y.

In addition, the stability and steady states of the system cannot be inferred from (12) alone, due to the absence of the non-linear annihilation reactions. Consider the non-negative state vector of species concentrations  $x = [x_1^+, x_1^-, x_4^+, x_4^-, x_5^+, x_5^-]^T \in \mathbb{R}_0^+$ . The system of ODE's in (6)-(11) can be represented compactly as

$$\dot{x} = Ax + Bu - \eta g(x) \tag{16}$$

The input vector  $u = [u^+, u^-]^T$  contains both positive and negative components of the reference input, and the linear part of the model is given by

$$A = \begin{bmatrix} -\gamma_3^{-1} & 0 & 0 & 0 & 0 & \gamma_2^{-1} \\ 0 & -\gamma_3^{-1} & 0 & 0 & \gamma_2^{+1} & 0 \\ k_1^{+1} & 0 & 0 & 0 & 0 & 0 \\ 0 & k_1^{-1} & 0 & 0 & 0 & 0 & 0 \\ \gamma_7^{+}k_P^{+1} & 0 & \gamma_8^{+1} & 0 & -\gamma_9^{+}(1+\varrho) & 0 \\ 0 & \gamma_7^{-}k_P^{-1} & 0 & \gamma_8^{-1} & 0 & -\gamma_9^{-1}(1+\varrho) \end{bmatrix}$$
$$B = \begin{bmatrix} \gamma_1^{+1} & 0 \\ 0 & \gamma_1^{-1} \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}, C = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & -1 \end{bmatrix}$$

A non-linear term g(x) is now present containing the multiplications due to bimolecular annihilation reactions:

$$g(x) = \begin{bmatrix} x_1^+ x_1^- \\ x_1^+ x_1^- \\ x_4^+ x_4^- \\ x_5^+ x_5^- \\ x_5^+ x_5^- \\ x_5^+ x_5^- \end{bmatrix}$$

As mentioned above, the states  $\xi_i \in \mathbb{R}$  are obtained from the six non-negative components  $x_i^{\pm} \in \mathbb{R}_0^+$ . This subtraction results in the projection of the trajectories  $x_i^{\pm}$  in a  $\mathbb{R}^3$  manifold, defined by the projection matrix  $\Psi : \mathbb{R}^6 \to \mathbb{R}^3$ 

$$\Psi = \begin{bmatrix} 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & -1 \end{bmatrix}$$
(17)

Applying this projection to the non-linear system in (16) we have that  $\Psi \cdot q(x) = 0$ , and the trajectories of  $\xi_i$  result from the linear dynamics

$$\dot{\xi} = \Psi \left( Ax + Bu - \eta g(x) \right) = \frac{1}{2} \Psi A \Psi^T \xi + \Psi B u \quad (18)$$



Fig. 3. Probability density function fitted to the distribution of the equilibrium estimation  $(1 + e_6) = x_5^{0+}/\hat{r}_5$  (solid black), and the limits (dotted red) containing 99.9% of the respective cumulative distribution function (dashed red).

An immediate consequence is that the steady state of (18) tells us nothing about the steady state of the DNA strand concentrations, which are the solution to (16).

The other significant consequence is that, in our example, while  $\frac{1}{2}\Psi A\Psi^T$  is Hurwitz, the matrix A is not. The stability of the experimental implementation using DSD depends also on  $\eta g(x)$  and the equilibrium solution. For example, with initial conditions x(0) = 0, at t = 0 the system in (16) is unstable (g(x) = 0 and A is not Hurwitz). The nonlinear term  $\eta g(x)$  is an adaptive stabilising term, where the state x needs to converge to an equilibrium where the system becomes stable. By collapsing the trajectories with the projection (17), this information about the internal stability is hidden.

#### D. Equilibria Change with Uncertainty on the Parameters

Our objective is to measure the robustness of the system to uncertainty. The strand displacement rates  $q_i^{\pm}$  depend on the designed DNA toehold sequences [10], which can be hard to predict. Even after experimental characterisation, there will always be an error between the measured and real value of the reaction rates. Here, we consider that the reaction rates are never perfectly known and are therefore uncertainties in the system.

Considering the start of the time response to be when  $u^+ = u^- = 0$ , the equilibrium condition  $x^0$  is the non-trivial solution to the non-linear equation

$$\eta \cdot g(x^0) = Ax^0 \tag{19}$$

Thus the solution depends on the parametrisation of A and the strand displacement rates  $q_i^{\pm}$ . Since it depends directly on the uncertain parameters, the solution is a moving equilibrium, [23], that changes with the values of the rates.

However, through numerical simulation of the dynamics in (16) it is possible to produce an arbitrary number of pairs associating an equilibrium condition  $x^0$  with the corresponding values of  $q_i^{\pm}$ , opening the way for estimation techniques. The SSV analysis framework requires rational representations of

uncertainty, and therefore each of the equilibrium components must be approximated by a polynomial function of the rates. To do this, the 14 uncertain rates  $q_i^{\pm}$  are organised in a column vector  $\bar{q}$ , and an estimator is obtained through the linear function

$$x^{0} = r(\bar{q}) + \varepsilon = V\bar{q} + \varepsilon \tag{20}$$

The samples of  $x^0$  and  $\bar{q}$  are arranged respectively as columns of R and Q, and  $V = RQ^T (QQ^T)^{-1}$  is the outcome of a Least Squares (LS) fitting. The fit of each of the components is further improved by expressing the LS fitting error  $\varepsilon_i$ ,  $i \in \{1, \ldots, 6\}$  as a quadratic function of  $r_i(\bar{q})$ :

$$\hat{r}_i(\bar{q}) = r_i(\bar{q}) + \left(\alpha_i + \beta_i r_i(\bar{q}) + \rho_i \left(r_i(\bar{q})\right)^2\right) + \vartheta_i \quad (21)$$

This new function reduces quadratic distortions of the errors and  $\varepsilon_i < \vartheta_i$ . The fittings of the matrix V and the three column vectors  $\alpha$ ,  $\beta$ , and  $\gamma$  in (21) were done using 10000 random samples of  $q_i^{\pm}$  in the intervals in Table I, to arrive at the estimation function and error

$$x^{0}(\bar{q}) = \hat{r}(\bar{q})(1+e)$$
(22)

Despite the presence of a small estimation error, we have now computed a rational function that relates the uncertain parameters and the equilibrium state.

To account for the estimation error we add corresponding uncertainties, as suggested in [23], obtained from the distributions of the fitting errors for each component  $e_i$ . These were fitted with a probability density function (p.d.f.). Limits of variability for  $e_i$  were defined containing 99.9% of the cumulative density function to define six additional uncertainties, which cover the error in tracking the moving equilibria  $x_i^0 = \hat{r}_i(\bar{q}) \cdot \delta_{ei}$ . The resulting limits of all uncertainties  $\delta_{ei}$  in the presence of 13% of uncertainty on  $q_i^{\pm}$  are listed in Table I. See the case of error  $(1 + e_5)$  in Fig. 3 when estimating  $x_5^{0+}$ .

Linearising (16) around the equilibrium point  $(x, u) = (x^0, 0)$  then allows for a frequency representation of the system's response around its equilibrium, which is suitable for analysis within the SSV framework. The error dynamics

TABLE I

Uncertain set  $\Delta$  comprised by the reaction rates  $q_i$  and the fitting error of the equilibrium  $\delta_{ei}.$ 

Name	Nominal	Variability
$q_i^{\pm}, i \in \{1, 2, 3, 5, 7, 8, 9\}$	800	[13, 13]%
$\delta_{e1}$	1	[0.9670811.09241]
$\delta_{e2}$	1	[0.9670981.09217]
$\delta_{e3}$	1	[0.9624631.06614]
$\delta_{e4}$	1	[0.9621971.06822]
$\delta_{e5}$	1	[0.9619241.09484]
$\delta_{e6}$	1	[0.964211.10709]



Fig. 4. Top: reference input  $u = u^+ - u^-$  and its two components. Bottom: output of the projected CRN in (18) (dashed black) approximates the response of the ideal linear model in (1) (blue).

around equilibrium  $x^0$  are

$$\dot{x}_{e} = \left. \frac{\partial f}{\partial x} \right|_{x^{0}} x_{e} + \left. \frac{\partial f}{\partial u} \right|_{x^{0}} u_{e}$$
$$= \left( A - \eta J(x^{0}) \right) x_{e} + B u_{e}$$
(23)

$$y_e = x_{5e}^+ - x_{5e}^- \tag{24}$$

where  $x_e = x - x^0$ ,  $u_e = u - u^0$ , and  $y = y_e - y^0$ . The Jacobian matrix  $J(x^0)$  is given by

$$J(x^{0}) = \frac{\partial g(x)}{\partial x} \Big|_{x^{0},0}$$

$$= \begin{bmatrix} x_{1}^{0^{-}} & x_{1}^{0^{+}} & 0 & 0 & 0 & 0 \\ x_{1}^{0^{-}} & x_{1}^{0^{+}} & 0 & 0 & 0 & 0 \\ 0 & 0 & x_{4}^{0^{-}} & x_{4}^{0^{+}} & 0 & 0 \\ 0 & 0 & x_{4}^{0^{-}} & x_{4}^{0^{+}} & 0 & 0 \\ 0 & 0 & 0 & 0 & x_{5}^{0^{-}} & x_{5}^{0^{+}} \\ 0 & 0 & 0 & 0 & 0 & x_{5}^{0^{-}} & x_{5}^{0^{+}} \end{bmatrix}$$
(25)

The function in (22) is used to include  $\Delta$  in the Jacobian through  $J(\hat{r}_i(\bar{q}) \cdot \delta_{ei})$  to account for the moving equilibrium conditions. In this way, the equilibrium becomes correlated with the uncertainty, as in the original non-linear system. This step is important in order to remove the conservatism that would be introduced by assuming an independent variability of the equilibrium conditions.

#### **III. ANALYSIS RESULTS**

The model in (16) for the non-linear system was implemented and simulated in Matlab/Simulink<sup>®</sup>, and analysed with the Robust Control Toolbox from Mathworks [24].

In our example,  $C_{max} = 1\mu M$  and the displacement rates  $q_i = 800M^{-1}s^{-1}$  (as in [3]). The maximum rate was set to  $q_{max} = 10^6M^{-1}s^{-1}$ . The reference input u(t) is given by a square wave oscillating between  $\pm 4 \times 10^{-9}M$ . The desired alternating step input is composed of the difference between two concentrations in the presence of the annihilation reaction  $u^+ + u^- \xrightarrow{\eta} \emptyset$  (see Fig. 4). The trajectories  $x_i^{\pm}$  for the complete nominal system in (16) are shown in Fig. 5



Fig. 5. Trajectories of the states for the non-linear system in (16), with initial conditions around the equilibrium solution of (19).

when the initial conditions are set to  $x^0$ . The tracking error  $\xi_1 = x_1^+ - x_1^-$  can assume positive and negative values and is null at steady state. The trajectories of  $x_1^{\pm}$  are not inferable from  $\xi_1$ , where  $x_1^+$  and  $x_1^-$  are always positive, and alternate their role with each new step on the reference.

For the robustness analysis we consider that variability is present for all 14 strand displacement reaction rates independently, which can allow for  $q_i^+ \neq q_i^-$ . The nominal and uncertain values for  $q_i^{\pm}$  and the fitting errors  $\delta_{ei}$  are summarised in Table I. The final system has a high repetition of uncertainties, and for strictly real uncertainties it can be computationally hard to obtain good lower bounds on the SSV (=  $1/\mu$ ). However, it is sufficient to demonstrate that the upper-bound of the SSV is less than 1 for all frequencies to guarantee that the system is stable for all possible parameterisations (see e.g. [19]).

As shown in Fig. 6, for uncertainty in the reaction rates of up to 13%, the SSV analysis guarantees that the closedloop system is stable for all uncertain parameters shown in Table I, since the upper bound on  $1/\mu$  remains below 1 for all frequencies. For levels of 14% or more the analysis is not conclusive. Since the fitting errors  $\delta_{ei}$  introduce conservatism, which does not exist in the non-linear system where the equilibrium is always the true value, a better description of  $\hat{r}(\bar{q})$  would improve the accuracy of the bound. Even with this extra artificial conservatism, however, our analysis shows that the system is guaranteed to be robustly stable for a 13% variation in the reaction rates, which falls within the expected 10 - 20% level of experimental uncertainty. This represents crucial information to help experimentalists estimate the accuracy with which reaction rates need to be implemented for successful construction of these circuits.

#### **IV. CONCLUSIONS**

In this paper we investigated the problem of designing linear feedback control circuits that can be practically im-



Fig. 6. Upper bounds on destabilising  $1/\mu$ , for different levels of uncertainty. The upper bounds are lower than 1 across all frequencies for uncertainty levels of 13% or lower.

plemented using nucleic acid-based chemistry. We showed how the implementation of even simple linear circuits with distinct chemical species depends on non-linear terms that drive important dynamics which are not observable in the system's input-output response. Rigorous analysis of the robustness of such circuits requires that we focus on the dynamics of the complete state vector and analyse the internal stability of the system. This provides information about closed loop stability and the system's steady state that is highly relevant when choosing experimental parameters.

The use of the SSV framework provides a method for the rigorous quantification of how much the circuit implementation deviates from the ideal response in the presence of experimental uncertainty, and allows a certification of the stability of the system around its equilibrium conditions. To achieve a linear representation, it was necessary to express the equilibrium conditions as a function of the uncertainty, to account for shifts due to parameter variability. We found the system to be robustly stable around its equilibrium for experimentally feasible uncertainty levels in the reaction rates. Further work is ongoing to investigate the significance of the non-linear terms in the dynamics of more complex feedback circuits, to improve the estimation of the moving equilibrium, to include additional sources of uncertainty, to quantify the effects of uncertainties on performance, and to obtain improved estimates of likely ranges of the uncertainties based on experimental data.

#### ACKNOWLEDGEMENT

Funding from the EPSRC & BBSRC (Centre for Doctoral Training in Synthetic Biology EP/L016494/1, and Warwick Integrative Synthetic Biology Centre BB/M017982/1).

#### References

 D. Del Vecchio, A. J. Dy, and Y. Qian, "Control theory meets synthetic biology," *Journal of Royal Society Interface*, vol. 13, no. 120, 2016.

- [2] D. Soloveichik, G. Seelig, and E. Winfree, "DNA as a universal substrate for chemical kinetics," *Proceedings of the National Academy* of Sciences, vol. 107, no. 12, pp. 5393–5398, 2010.
- [3] K. Oishi and E. Klavins, "Biomolecular implementation of linear I/O systems," *IET Systems Biology*, vol. 5, no. 4, pp. 252–260, 2011.
- [4] Y.-J. Chen, N. Dalchau, N. Srinivas, A. Phillips, L. Cardelli, D. Soloveichik, and G. Seelig, "Programmable chemical controllers made from DNA," *Nature Nanotechnology*, vol. 8, no. 10, pp. 755–762, 2013.
- [5] M. Foo, J. Kim, J. Kim, and D. G. Bates, "Proportional-integral degradation control allows accurate tracking of biomolecular concentrations with fewer chemical reactions," *IEEE Life Sciences Letters*, vol. 2, no. 4, pp. 55–58, 2016.
- [6] M. Foo, J. Kim, R. Sawlekar, and D. G. Bates, "Design of an embedded inverse-feedforward biomolecular tracking controller for enzymatic reaction processes," *Computers & Chemical Engineering*, vol. 99, pp. 145 – 157, 2017.
- [7] M. Foo, R. Sawlekar, J. Kim, D. G. Bates, G. B. Stan, and V. Kulkarni, "Biomolecular implementation of nonlinear system theoretic operators," in *Proc. European Control Conference*, Aalborg, Denmark, Jun. 2016, pp. 1824–1831.
- [8] R. Sawlekar, F. Montefusco, V. V. Kulkarni, and D. G. Bates, "Implementing nonlinear feedback controllers using DNA strand displacement reactions," *IEEE Transactions on NanoBioscience*, vol. 15, no. 5, pp. 443–454, 2016.
- [9] M. Foo, R. Sawlekar, and D. G. Bates, "Exploiting the dynamic properties of covalent modification cycle for the design of synthetic analog biomolecular circuitry," *Journal of Biological Engineering*, vol. 10, no. 1, p. 15, 2016.
- [10] D. Y. Zhang and E. Winfree, "Control of DNA strand displacement kinetics using toehold exchange," *Journal of the American Chemical Society*, vol. 131, no. 47, pp. 17303–17314, 2009.
- [11] N. Srinivas, T. E. Ouldridge, P. Šulc, J. M. Schaeffer, B. Yurke, A. A. Louis, J. P. K. Doye, and E. Winfree, "On the biophysics and kinetics of toehold-mediated DNA strand displacement," *Nucleic Acids Research*, vol. 41, no. 22, pp. 10641–10658, 2013.
- [12] J. X. Zhang, J. Z. Fang, W. Duan, L. R. Wu, A. W. Zhang, N. Dalchau, B. Yordanov, R. Petersen, A. Phillips, and D. Y. Zhang, "Predicting DNA hybridization kinetics from sequence," *Nature Chemistry*, vol. 10, no. 1, pp. 91–98, 2018.
- [13] S. Nikolov, E. Yankulova, O. Wolkenhauer, and V. Petrov, "Principal difference between stability and structural stability (robustness) as used in systems biology," *Nonlinear Dynamics, Psychology and Life Sciences*, vol. 11, no. 4, pp. 413–433, 2007.
- [14] S. Streif, K.-K. K. Kim, P. Rumschinski, M. Kishida, D. E. Shen, R. Findeisen, and R. D. Braatz, "Robustness analysis, prediction, and estimation for uncertain biochemical networks: an overview," *Journal* of *Process Control*, vol. 42, pp. 14–34, 2016.
- [15] P. M. Young, M. P. Newlin, and J. C. Doyle, "mu analysis with real parametric uncertainty," in *Proc. 30th IEEE Conference on Decision* and Control, Brighton, UK, Dec 1991, pp. 1251–1256.
- [16] K. Zhou, J. C. Doyle, and K. Glover, *Robust and optimal control*. New Jersey: Prentice Hall, 1996.
- [17] J. Kim, D. G. Bates, I. Postlethwaite, L. Ma, and P. A. Iglesias, "Robustness analysis of biochemical network models," *IEE Proceedings -Systems Biology*, vol. 153, no. 3, pp. 96–104, 2006.
- [18] D. G. Bates and C. Cosentino, "Validation and invalidation of systems biology models using robustness analysis." *IET Systems Biology*, vol. 5, no. 4, pp. 229–244, 2011.
- [19] D. G. Bates and I. Postlethwaite, *The Structured Singular Value and* μ-Analysis. Berlin, Heidelberg: Springer Berlin Heidelberg, 2002, pp. 37–55.
- [20] G. Ferreres and J.-M. Biannic, "Reliable computation of the robustness margin for a flexible aircraft," *Control Engineering Practice*, vol. 9, no. 12, pp. 1267 – 1278, 2001.
- [21] J. Doyle, K. Lenz, and A. Packard, "Design examples using μsynthesis: Space shuttle lateral axis FCS during reentry," in *Proc. IEEE Conference on Decision and Control*, Athens, Greece, Dec. 1986, pp. 2218–2223.
- [22] B. Ingalls, Mathematical Modeling in Systems Biology: An Introduction, ser. Mathematical Modeling in Systems Biology. Cambridge, MA: MIT Press, 2013.
- [23] L. Andersson and A. Rantzer, "Robustness of equilibria in nonlinear systems," *IFAC Proceedings Volumes*, vol. 32, no. 2, pp. 2256 – 2261, 1999.
- [24] Robust Control Toolbox User's Guide, The Mathworks Inc., 2016.