

Involving Local Fishing Communities in Policy Making: Addressing Illegal Fishing in Indonesia

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Abstract

Illegal, Unreported and Unregulated (IUU) fishing has been identified by the UN as one of the seven major threats to global maritime security; it causes loss of economic revenue, severe environmental damage, and far-reaching livelihood implications for coastal communities. Indonesia, by far the biggest archipelagic state, faces enormous challenges in all aspects of IUU fishing and addressing those is one of the current Indonesian Government's top priorities. This article addresses the under-researched dimension of how IUU fishing affects fishing communities. With the use of collage making focus groups with fishermen from different Indonesian fishing communities, the research highlights the interrelated environmental (depletion of resources), socio-economic (unbridled illegal activities at sea), cultural (favouritism) and political (weak marine governance) dimensions of IUU fishing as experienced at the local level. However, the research also indicates a strong will by fishermen to be seen as knowledge agents who can help solve the problem by better dissemination of information and cooperation between the local government(s) and the fishing communities. The article concludes by arguing for the involvement of local fishing communities in national and international policy making that addresses IUU fishing.

Keywords	Illegal fishing; local fishing communities; maritime security; Indonesia; collage making
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Key findings / Highlights

- The knowledge and experiences of local fishing communities is too often ignored in national and international IUU fishing policies.
- IUU fishing is a major threat to maritime security, particularly so in Indonesia as one of the largest archipelagos.
- Local Indonesian fishing communities want to be involved in addressing IUU fishing problems but there is gap in local – national governance cooperation.
- More attention needs to be paid to the enhancement of inclusive governance structures and the formulation and implementation of policies and regulations that take local fishing communities and fishers into account

Abstract

Illegal, Unreported and Unregulated (IUU) fishing has been identified by the UN as one of the seven major threats to global maritime security; it causes loss of economic revenue, severe environmental damage, and far-reaching livelihood implications for coastal communities. Indonesia, by far the biggest archipelagic state, faces enormous challenges in all aspects of IUU fishing and addressing those is one of the current Indonesian Government's top priorities. This article addresses the under-researched dimension of how IUU fishing affects fishing communities. With the use of collage making focus groups with fishermen from different Indonesian fishing communities, the research highlights the interrelated environmental (depletion of resources), socio-economic (unbridled illegal activities at sea), cultural (favouritism) and political (weak marine governance) dimensions of IUU fishing as experienced at the local level. However, the research also indicates a strong will by fishermen to be seen as knowledge agents who can help solve the problem by better dissemination of information and cooperation between the local government(s) and the fishing communities. The article concludes by arguing for the involvement of local fishing communities in national and international policy making that addresses IUU fishing.

Keywords: illegal fishing; local fishing communities; maritime security; Indonesia; collage making

TITLE PAGE

Involving Local Fishing Communities in Policy Making: Addressing Illegal Fishing in Indonesia

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1. Introduction

Illegal, Unreported and Unregulated (IUU) fishing has been identified by the UN as one of the seven major threats¹ to global maritime security (UN General Assembly 2008: 17-33). It is estimated that illegal fishing accounts for one third of global annual catches and substantially impacts on the marine environment and coastal communities that rely on fisheries for their livelihoods (US NIC 2016: 6).

Indonesia, by far the biggest archipelagic state, faces enormous challenges in all aspects of IUU fishing and addressing those is one of the current Indonesian Government's top priorities (Parameswaran 2017). Indonesia supplies an approximate 34% of the ASEAN region's fish products reaching the global market (Almuttaqi 2014) and IUU fishing costs the Indonesian economy an estimate USD 3 billion annually (ASEAN News n.d.). In 2015, the Indonesian Ministry for Marine Affairs and Fisheries (KKP) conducted audits on 1,132 vessels and found all of them in violation of fishing laws and regulations, such as for instance not landing catches in fishing ports, deactivation of monitoring devices (VMS), and fishing outside the designated fishing grounds (IOM, KKP, and Coventry University 2016:19).

In anti-IUU fishing policies, much emphasis has been placed on the transnational dimension of IUU fishing (Chapsos and Hamilton 2019, Liddick 2014). In particular the range of cross-border activities of distant water fishing² nations' (DWFNs) fleets and vessels, which are registered in 'open registries' and operate within maritime zones of different coastal states (Telesetsky 2015, Palma et al. 2014:6-9), receive attention.

This article addresses the under-researched dimension of how IUU fishing affects fishing communities of coastal states. Using Indonesia as case study, the article will address the kind of problems local communities face and the kind of solutions they can offer. First, the article provides a general overview of the international policy developments with respect to IUU fishing. It then discusses Indonesia's understanding of IUU fishing and its most recent government responses to the phenomenon. Finally, the article brings the perspective of the Indonesian fishing communities into the analysis.

¹ The other six specific threats to maritime security, are: piracy and armed robbery at sea; terrorist acts involving shipping; offshore installations and other maritime interests; illicit trafficking in arms and weapons of mass destruction; illicit traffic in narcotic drugs and psychotropic substances; smuggling and trafficking of persons by sea; and intentional and unlawful damage to the marine environment.

² The UN Food and Agriculture Organisation (FAO 1996) defines as 'distant waters fisheries' the quantities taken by vessels in all FAO major fishing areas other than those adjacent to the flag State.

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63 The authors utilise policy documents and media reports, as well as qualitative primary
64 data collected in a collage-making focus group conducted in 2017 with five Indonesian fishing
65 community leaders, to explore how these communities are affected, and how they
66 understand and respond to the challenges posed by IUU fishing. The article's main argument
67 is that in order to better understand IUU fishing the focus needs to be redirected to the local
68 level; currently the main focus is on national and transnational dimensions. The research
69 shows that, at the level of local fishing communities, IUU fishing is part and parcel of an
70 intricate web of cultural, socio-economic, and environmental factors, and as such, is more
71 than a 'fishing' problem.
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79 **2. IUU Fishing as a global security challenge**

81 The increasing acknowledgement of the severe implications and extent of IUU fishing at the
82 global level, is reflected on the United Nations' Sustainable Development Goals (SDGs), and
83 SDG14 'Life Below Water' in particular. The latter highlights that over three billion people
84 depend on marine and coastal biodiversity for their livelihoods, while 30 per cent of the
85 world's fish stocks are overexploited (UNDP 2018). Sander et al. (2014:114-6) argue that IUU
86 fishing poses a significant socio-economic threat -both direct and indirect- to coastal
87 communities' livelihoods.
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93 Although, IUU fishing is not a new phenomenon, the FAO only introduced the IUU
94 concept in the 2000s in an effort to address severe fishing management concerns at a global
95 level (FAO 2001). IUU fishing encapsulates activities conducted by either national or foreign
96 vessels within Regional Fisheries Management Organisation's (RFMO) zones or maritime
97 zones where coastal states exercise jurisdictional rights, which contravene either the RFMO
98 or the coastal state's laws and regulations respectively. Examples of such activities include
99 (but are not limited to) unlicensed cross-border hopping, unlicensed fishing in RFMO and/or
100 coastal states' Exclusive Economic Zone (EEZ), fishing in marine protected areas, fishing with
101 dual or false flag, catching non-permitted species, use of illegal gear, transferring fish at sea
102 without authorisation, misreporting / underreporting catches, fishing out of season, and so
103 on (US NIC 2016: 6). In order to curb those IUU activities, the FAO (2018) has recently called
104 upon states to enhance fisheries governance and management, to utilise international tools
105 such as the Port State Measures Agreement (PSMA) and to advance the technology of
106 information sharing.
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At a global scale, it has been established that between 1998 to 2003 illegal catches constantly account for more than one third of the total fish catches in the Eastern Central Atlantic and Western Central Pacific regions (Agnew et al. 2009). According to Agnew et al (2009: 4) this constant pattern is closely related to poor fisheries management and lack of control and governance, and developing countries in particular, are vulnerable to such illegal activities conducted by both local fishermen and DWFN fleets. Of relevance to our argument, Southeast Asia is considered to be among the areas with the highest degree of illegal fishing (Petrossian 2015: 43). The key drivers that enable IUU fishing to flourish in this region are limited surveillance capacity, poor governance, corruption, the abundance of highly valuable commercial species and access to ports of convenience that act as gateways for smuggling illegally caught fish (Petrossian 2015: 45-46). More studies support these findings and identify weak governance and poor fisheries management, especially in developing countries, as drivers that sustain and even expand IUU fishing and other fishing crimes (Sander et al. 2014; US NIC 2016). In 2012, Interpol established the Environmental Compliance and Enforcement Committee³ (ECEC) to assist in identifying emerging patterns and trends in the field of environmental crime enforcement, with a dedicated 'Fisheries Crime Working Group' which specifically focuses on fisheries issues.

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Where most of the international IUU fishing policies focus on the state, the main question raised in this article is: where does the local enter international policy debates? Local fishermen and fishing communities are routinely mentioned in international policy documents and agreements. The FAO Code of Conduct for Responsible Fisheries, for example, refers to the 'traditional practices, needs and interests of local fishing communities' and the Stradling Stocks Agreement requires state parties to 'take into account the interest of artisanal subsistence fishermen' (FAO 1995). In the UN Report of the World Summit on Sustainable Development a difference is made between fisheries and artisanal fisheries. Whereas fisheries are referred to as objects of 'poverty eradication' (UN, 2002: 10), 'artisanal fisheries' are referred to as 'programs' that can enhance food production in a sustainable manner (UN 2002: 30). More recently, in the UN 2030 Agenda for Sustainable Development, states declared that they 'will devote resources to developing ... fisheries ... supporting ...

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³ See Interpol, Environmental Compliance and Enforcement Committee (ECEC) and Working Groups [online] available from <https://www.interpol.int/Crime-areas/Environmental-crime/Committee-and-Working-Groups> [accessed 3 Jul 2018].

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180 especiallyfishers in developing countries' (UN 2015: 7) and 'provide access for small-scale
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182 artisanal fishers to marine resources and markets' (UN 2015: 24).

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184 The FAO IUU-International Plan of Action is rather silent with respect to local
185 communities and small-scale fishermen (FAO 2001), however, the 2007 Report on IUU
186 Fishing, mentions that 'poverty-driven local communities with subsistence and small-scale
187 IUU fisheries' are subjected to 'a range of [devastating] IUU situations' (FAO/IMO 2015: 2).
188 The 2015 Report uses similar language and refers to 'the global costs of IUU fishing ... reducing
189 the productivity of legitimate fisheries, including subsistence and artisanal fisheries in coastal
190 areas' (FAO/IMO 2016: 3).

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192 The UNODC has raised another concern with respect to local fishing communities,
193 namely that the 'range of illegal activities in the fisheries sector ... [including] illegal fishing ...
194 are also carried out by artisanal and small-scale fishers that revert to illegal fishing to
195 supplement a meagre income' (UNODC 2011: 110). This is also broadly shared by the WTO,
196 which concluded in its 2013 Trade Policy Review that 'illegal fishing, by foreign and domestic
197 operators, also remains a serious problem ...' (WTO 2013: 77).

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199 To the extent that local fishing communities and fishermen are portrayed in such
200 international policy documents, it is as vulnerable victims and/or perpetrators, who are in
201 need of development support or to be subjected to legal countermeasures. There is nothing
202 much in these international policy documents and agreements that suggests that local fishing
203 communities and fishermen could also be considered as knowledgeable and as part of the
204 problem solving. This is where our article will make its contribution. The next section will
205 discuss illegal fishing in Indonesia after which the focus will be on the local communities.

206 207 208 209 210 211 212 213 214 215 216 217 218 219 **3. Illegal fishing in Indonesia**

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221 Shortly after his inauguration in 2014, Indonesian President Joko Widodo introduced his anti-
222 IUU fishing strategy (Widhiarto 2014), which included a no-tolerance policy and the sinking of
223 illegal fishing vessel and the establishment of a Task Force (Satgas 115) with the mandate to
224 combat IUU fishing in Indonesia (Salim 2015). Much of the problem was presented as violation
225 of Indonesia's national sovereignty, which appeals very much to the domestic audience
226 (Almuttaqi 2014).

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228 Critics of this policy have expressed concerns, especially in terms of damaging
229 Indonesia's relations with neighbouring countries, including China, and urged President
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Jokowi and Minister of Marine Affairs and Fisheries Susi Pudjiastuti to reconsider and revoke this practice (Munthe and Kapoor 2018; Parameswaran 2015). Since the implementation of this policy, more than 380 vessels have been sunk. Blowing up vessels caught fishing illegally in Indonesian waters (such as those from Vietnam, the Philippines, Malaysia and China), is headline news. This publicity is particularly used as deterrence for future perpetrators (The Jakarta Post 2017; Parameswaran 2017a).

In late 2015, a Training Needs Analysis (TNA) was conducted among key Indonesian maritime security stakeholders, aiming -among others- to examine how the relevant state actors understand and utilise the maritime security concept. Findings of this analysis confirmed that IUU fishing and intentional damage to the marine environment was identified as the predominant threat to Indonesia’s maritime security, while the Indonesian Navy (ITN) was flagged up as the state agency with the most important maritime security function (Chapsos and Malcolm 2017: 181-2). IUU fishing’s top position among the Indonesian Government’s priorities list has been reconfirmed in more reports, where additional governance and regulatory initiatives have been introduced to combat the phenomenon. These initiatives include for example the ban on transshipments and unsustainable fishing gears, prohibition of ex-foreign and foreign vessels to fish in Indonesian waters, etc. (Morris and Paoli 2018: 33; IOM, KKP and CU 2016: 12-22). But, IUU fishing in Indonesia is also often associated with other forms of transnational organised crime within the fishing industry, such as tax evasion, human trafficking and smuggling, forced labour, document forgery, etc., to name just a few (Chapsos and Hamilton 2019; IOM, KKP and CU 2016).

All the above illegal and criminal transgressions were included in the much-anticipated Presidential Regulation No. 16 on the Indonesian Ocean Policy (2017). This inclusive and holistic approach differentiates the Indonesian approach from existing conceptualisations of IUU fishing, which is identified and understood internationally as one of the seven major maritime security challenges and consequently an integral part of the maritime security concept. Interestingly though, the Presidential regulation identifies IUU fishing as a separate activity from maritime security, as the following diagram shows (see Figure 1).

***** INSERT FIGURE 1 HERE *****

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This distinction between the concepts of Maritime Security and IUU fishing results in conflicting mandates and enforcement practices that may have consequences for local fishing communities. In this array of overlapping and conflicting mandates, the Navy (ITN), the National Marine Police (POLAIR), the District Attorney and the Indonesian Coast Guard are responsible for maritime security *and* IUU fishing, but the Ministry for Marine Affairs and Fisheries (KKP), which is responsible for addressing IUU fishing and fisheries crimes, is excluded from upholding maritime security. As such, significant complications emerge with respect to the question as to which ministry is responsible to coordinate local responses to violent and criminal conduct at sea and transnational crimes.

This question is pertinent as the Presidential policy makes a clear reference to the role of provincial and local governments in managing the marine resources in their areas of responsibility, as well as the importance of their relationship with the central Government and their engagement with their communities in monitoring their maritime zones (Presidential Regulation 2017: 15). The fundamental reform in Indonesia's local and regional governance which was implemented between 1995 and 2009 (Booth 2011: 32) adds an additional layer of complication in jurisdiction and administrative overlap in the maritime domain (Firman 2009: 153; Fox, Adhuri and Resosudarmo 2005).

Furthermore, many studies identify inadequate regional regulations as one of the causes of fish depletion (Heazle and Butcher 2006: 285); lack of cooperation between provinces and local governments significantly impacting coastal zone management (Siry 2011: 476); and ineffective governance failing to sustainably exploit the available marine resources (Dutton 2005: 177). Yet, in this problematic context and challenging maritime governance environment, no action plan or strategic guidance has been introduced in the document to either utilise this potential in order to enhance any of the key priorities identified in the Indonesian Ocean Policy. This potential involvement of regional administrations and local communities in the governance structure -as will be discussed in the following section- could be essential for the Indonesian fishing communities, especially in combating IUU fishing.

This community level is highly relevant since Indonesian coastal communities 'contribute more than 80 per cent of fish production, provide employment for over 7.3 million people and yield significant government revenue' (Adhuri et al. 2016: 198). Indonesia is the world's second largest producer of wild-capture fish, accounting for 9.9 million tons in 2016, 60% of which was from small-scale fishermen (Muawanah et al. 2018). The livelihoods of

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357 these populations are under threat as 'Indonesian fishers and foreign fleets continue to
358 overexploit the Indonesian fisheries' and there is far-reaching 'destruction of critical coastal
359 habitats, particularly mangroves and coral reefs' (Muawanah et al. 2018: 150). In general,
360 research on coastal and/or fishing communities is dominated by debates about their
361 vulnerability and resilience (Johnson et al. 2014), marine resource conflicts (either intra-
362 community or between communities) (Yamazaki et al. 2015), and access to resources and
363 insecurity (Koning 2006). To understand these local dynamics in more detail, it is important
364 to include the often-overlooked voice of fishing communities themselves.
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372 **4. Methodology**

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374 The research on which this article draws uses an explicit participatory approach, in which the
375 research participants become co-producers of knowledge, through a visualization method,
376 called collage making (Knowles and Sweetman 2004). Collage making is a technique used in
377 academic and applied research to express thinking in alternative ways and to facilitate
378 dialogue, through the using of 'fragments of found images or materials and gluing them to a
379 flat surface to portray phenomena' (Butler-Kisber and Poldma 2011: 2). In this research the
380 phenomenon under investigation related to the experiences of the participants with regards
381 to maritime (in)security. The collages 'have the capacity for evoking meaning and feeling not
382 available in written transcripts' (Gerstenblatt 2013: 302) which we considered quite suitable
383 in the Indonesian cultural setting. Collage making thus allows a focus on issues the research
384 participants select (not pre-set by the researchers), it increases voices, and offers a way to
385 make tacit knowledge and ideas more explicit (see Vacchelli 2018; Plakoyiannaki and Stavraski
386 2018).
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396 Community leaders from five Indonesian fishing communities were invited (and
397 accepted) to join the research. These fishing locations were selected on the basis of their
398 exposure to maritime security threats and share a history of engagement with transnational,
399 national and local 'illegal' fishing. The communities are located in (1) North Sumatra; (2) South
400 Java; (3) Flores; (4) Southeast Sulawesi; and (5) East Nusa Tenggara. Each community was
401 represented by what we refer to as a 'community leader'. This is not an official function in the
402 Indonesian bureaucracy but a more informal position as someone who can represent the
403 community. All community leaders have been or still are fisher and/or are active in the fishing
404 sector (fish trade for instance). The project also included further research in the fishing
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416 locations with additional focus groups, informal interviews, and observations. This article is
417 based on the data collected from the initial collage making and focus group with these five
418 representatives.
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421 The collage making took place in Jakarta and the participants were invited to express,
422 with images, the experiences and practices related to misconduct at sea and their ideas on
423 how such offences are addressed (by law enforcement) and with what success. Each
424 participant made an individual collage with the use of clippings from a variety of magazines
425 so as to allow them to express the specifics of their location. The magazines were bought in
426 Indonesia and offered a wide variety of colourful periodicals with lots of images, such as news,
427 sports, and cooking magazines. The idea behind offering a wide variety of magazines is that
428 collage making is about leafing through the magazine to see what associations and ideas
429 develop from seeing images (so it is not necessarily about finding the 'right' image). Each
430 participant made his own selection from the magazines on offer (each used about 5 to 6
431 magazines, sometimes we circulated them if that was a request). Next to the magazines, we
432 brought poster-paper, scissors, glue and markers. We allowed participants to draw (which
433 some did) and use arrows etc if they felt like it.
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443 The collage making was facilitated by the authors of this article and supported by
444 simultaneous translation as only one team member spoke Indonesian. All community leaders,
445 who had never met before, were in the same room for the collage making but each made
446 their own collage without interactions with the others (they were seated across a large U-
447 shaped table). The choice for individual collage making was aimed at discovering similarities
448 and differences in the experience of maritime threats. The collages were subsequently shown
449 and presented (explained) to each other. These presentations and the following focus group
450 discussion among the five community leaders on core threats and priorities were tape-
451 recorded, transcribed and translated. The quotes in the article are taken from these
452 transcriptions. At this stage, the collages were mainly used as a 'tool' to uncover the main
453 maritime security concerns and how these were addressed by maritime authorities.
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462 The focus group element was chosen so that the participants could respond to each
463 other on issues that are relevant to all (Morgan 1996). Participants questioned and discussed
464 the points made by others from which shared and/or new understandings emerged. For our
465 analysis, we used a thematic analysis (of the transcribed recordings) which consisted of the
466 identification and interpretation of themes, or 'recurrent and distinctive features of
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475 participants' accounts, characterising particular perceptions and/or experiences, which the
476 researcher sees as relevant...' (King and Horrocks in King and Brooks 2018: 220). Following the
477 thematic analysis practice, the researchers all read and re-read the transcripts and followed
478 an open coding approach to identify the themes that were discussed most. This resulted in 4
479 core themes (see below). In this article we have focused on the main concerns that all
480 locations shared. There are of course regional differences but nothing that stood out
481 substantially in terms of IUU fishing and other maritime threats. As we address later in the
482 article, there is some concern among the locations farther away from the central government
483 (Jakarta, on the island of Java) that, because of their remoteness, they receive less attention
484 and support.
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492 493 494 495 **5. Findings: The Indonesian fishing communities' perspective**

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497 In this section we will discuss the four core themes that emerged from the collage making and
498 the discussions⁴:

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500 1) Marine governance: shortcomings of local governments or authorities, turning a blind eye,
501 corruption, lack of support, favouritism;
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503 2) Illegality: a range of illegal activities being witnessed at sea; use of illegal means to fish,
504 crossing boundaries, selling fish mid-sea, trafficking of people;
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506 3) Economic hardship and the future of the marine environment: concerns for next
507 generations; nothing left to fish, marine degradation, lack of alternative economic activities;
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509 4) Education: restricted educational opportunities, no skills training, particularly for women,
510 lack of knowledge on regulations).
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514 In the final section (5.5) we will discuss solutions that the community leaders brought to the
515 fore in addressing IUU fishing.
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519 *5.1 Marine governance*

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528 ⁴ The appendix shows an example of a collage in which some of these issues are expressed through images.
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534 *The tenth picture [on my collage] is a question mark. Representing the questions: Do we*
535 *have a weak intelligence system? Or do the law enforcers purposefully close their eyes*
536 *(Fishing Community Leader D, 2017).*
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541 This quote questions a core problem encountered by the fishing communities in Indonesia
542 related to the vastness of the Indonesian maritime space. Minister Pudjiastuti acknowledged
543 in an interview with the BBC in 2017 (Henschke 2017) that the policing and monitoring of
544 illegal activities at sea is almost a 'mission impossible'. The remoteness and limited
545 connectivity of Indonesian islands and communities pose significant challenges to the central
546 government, hence most governance functions rely on local governments and authorities of
547 which all participants are quite critical.
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555 *...the [national] government [should] respond immediately to our problems in the*
556 *regions. ... in the regions, the local authorities seem to be closing their eyes .. (Fishing*
557 *Community Leader B, 2017).*
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561 *The second picture is a picture of sleeping people. They are the local authorities that are*
562 *sleeping, because if there is support in [location C], people who receive this are always*
563 *the same people...We don't want the local authorities to close their eyes (Fishing*
564 *Community Leader, C, 2017).*
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570 When law enforcement is either not around, not taking action or becoming part of the
571 problem, at times the fishermen take the 'law' into their own hands:
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575 *In 2011 we reported to the government ... illegal activities of catching fish, but they did*
576 *not respond to our issues; as a result, the boat conducting such activity got burned. ...*
577 *And then it happened again in November last year, if I'm not mistaken. Another boat*
578 *was also burned by the fishermen of [location]. ... the local authorities did not follow up*
579 *on the issue. As a result, the fishermen took action by themselves by burning the boats*
580 *(Fishing Community Leader B, 2017).*
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586 587 5.2 Illegality 588 589 590

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593 Weak local governance and practices such as turning a blind eye are conducive to illegal
594 activities, such as taking part in transshipments, fishing for protected species and people
595 trafficking. Transshipments, which are illegal in Indonesia (Global Indonesian Voices 2017),
596 enable fishermen to sell their catch to foreign vessels at sea with potentially a better price
597 than attained at a local fish auction. At the same time, foreign fleets poaching the Indonesian
598 seas are provided with the option to simply buy the fish at sea already caught by locals. One
599 of the research participants argues that:
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607 *there's a possibility that those funding the local fishermen are foreign entrepreneurs*
608 *(vessels). Because it is very curious that they would share the fish that they catch to*
609 *foreign vessels. I believe that it is because they are funded by foreign businessmen. So,*
610 *they fund the local fishermen and the fish products are then sold to the foreign entities*
611 *(Fishing Community Leader B, 2017).*
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617 Yet, illegal activities are not confined to the seas. Protected species are sold on shore:
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620 *at every unloading activity you can see the sharks there. The law enforcers would just*
621 *glance... If it is a small fisherman who catches a shark they reprimand us, but if it is the*
622 *big boss who catches the shark, the local authorities don't do anything. Why? Perhaps*
623 *there is a hidden agenda. I don't know (Fishing Community Leader C, 2017).*
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629 Except for the issue of protected species, research participant C also alludes to the possibility
630 of class justice; the unequal treatment of those perceived to have less power or being lower
631 ranked in terms of socio-economic status ('small fishermen' versus 'big bosses').
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634 In a recent study, Jaiteh et al. (2017) examined the impact of shark finning on coastal
635 community livelihoods and found extensive, cross-boundary shark fishing in Australian
636 waters. This is in violation of the MoU Box⁵ established in agreement between Australia and
637 Indonesia. The fishermen believe they can catch larger, more valuable shark species in
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643 ⁵ The MOU Box (Australia-Indonesia Memorandum of Understanding regarding the Operations of Indonesian
644 Traditional Fishermen in Areas of the Australian Fishing Zone and Continental Shelf) is an area of Australian
645 water in the Timor Sea where Indonesian traditional fishermen, using traditional fishing methods only, are
646 permitted to operate (<http://www.agriculture.gov.au/fisheries/international/cooperation/indonesia> [accessed
647 03 Jul 2018])
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652 Australian waters (Jaiteh et al. 2017: 226). Indonesian fishermen have been arrested on
653 numerous occasions fishing illegally in Australian waters (Amnifu 2017), despite the risks
654 involved:
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659 *If we, the traditional fishermen, violate the MOU Box borders, the risk is that our boats*
660 *would be caught and burned in the middle of the sea. That's the risk (Fishing Community*
661 *Leader D, 2017).*
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666 Finally, the smuggling of migrants by fishermen came up as a common theme, often linked to
667 the hardship and the insufficient income of fishermen to sustain their families from just
668 fishing. As the community leaders below put it, fishermen are tempted despite the involved
669 risks of being arrested, since in one single trip they can potentially earn more than by fishing
670 a whole year:
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676 *The syndicates, whose business is smuggling illegal immigrants to Australia, would [...]*
677 *try to convince the fishermen and the fishermen would be influenced because rather than*
678 *fighting to make a living everyday ... it would be better to work as illegal immigrant*
679 *smuggler. The captain would be promised to get salary of Rp.40 million⁶ and ship crew*
680 *Rp.30 million⁷ (Fishing Community Leader D, 2017).*
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686 5.3 Economic hardship and the future of the marine environment

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688 A bottom-line argument in all the stories is the economic hardship of living in a coastal area;
689 being pushed into 'illegal' practices is considered unavoidable:
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693 *why do fishermen communities seem to have cooperation with certain parties to carry*
694 *out these kinds of illegal activities? Such as helping illegal immigrants or taking part in*
695 *illegal marine activities? Because the welfare of the fishermen is lacking. ... this happens*
696 *because the government does not empower the fishermen so that they can increase*
697 *their income, by disseminating information regarding profitable activities. (Fishing*
698 *Community Leader B, 2017).*
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704 ⁶ Approximately £2,000

705 ⁷ Approximately £1,500

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713 *In our region, we are far from the city, and we don't have good sailing equipment. And*
714 *even if we catch many fish or other marine products, where shall we sell them? We need*
715 *support to empower ourselves to build a place to store our fish, or to start a business, or*
716 *to market our product, in order to achieve welfare for the people in my village (Fishing*
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718 *Community Leader A, 2017).*
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723 The above shows that local 'needs' (welfare via local economic investments) stands in stark
724 contrast with some of the core national policies that have been implemented by Indonesian
725 President Widodo, such as the sinking of vessels (The Jakarta Post 2015). Whereas local
726 fishermen seem to be supportive of the policy (Gunawan 2018), as the punishment of
727 foreigners poaching their marine resources offers economic benefits to their own business
728 (Llewellyn 2018), it does not 'solve' the expressed economic vulnerability of fishing
729 communities.
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736 But there is also an environmental vulnerability. All the fishing community leaders expressed
737 in their collages the endangered future of the marine environment. Nobody can experience
738 and assess the environmental degradation and the damage inflicted to the oceans due to IUU
739 fishing practices in a more direct and personal way than the local fishing communities
740 themselves. They have been making their living in these same marine areas for as long as they
741 exist, and their narrative is revealing a major concern for the generations to come:
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748 *... we think that after our generation - if we don't maintain this - then we'll only leave*
749 *a story for our grandchildren; a big empty story, because the coral reefs and everything*
750 *else will be damaged (Fishing Community Leader D, 2017).*
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755 Without exception, the research participants were conscious of the implications of IUU fishing
756 to their marine environment and its impact on the decline of fish stocks. They noted that IUU
757 fishing practices are not limited to those by DWFNs, but that local fishermen are also involved
758 in illegal practices such as using explosives and other chemicals in their efforts to maximise
759 their catches, so they realise the delicate balance between making ends meet and
760 preservation.
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772 ... fishermen now have to go far away to find fish because the coral reefs are now
773 damaged due to the explosives and potassium. That is why they have to travel far away
774 to catch fish (Fishing Community Leader E, 2017).
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779 And the thing that damages the environment, especially in my area, is the use of fishing
780 nets and explosives ... how to maintain or preserve the sea environment (Fishing
781 Community Leader B, 2017).
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785 They recognise that these are unsustainable practices but local governments are again
786 virtually absent as noted by this research participant:
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790 ... the damage to the coral reef is because of the use of explosives, potassium, and
791 poison, as well as the use of compressors as diving equipment. We have filed our
792 objection to the local government long time ago. We have reported this to the police,
793 to the navy, and they came back with an excuse, "We don't have the operational
794 budget." We (fishermen) cannot arrest the perpetrators [...] (Fishing Community
795 Leader D, 2017).
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801 802 803 5.4 Education

804 A core theme that ran through many of the stories of the research participants was education.
805 The lack of education (and information) at the local level and of those living in fishing
806 communities was linked, for example, with the concern for future generations who are still
807 entering the fishing profession without enough training and education or who are not offered
808 alternatives from fishing through educational opportunities; and for women who lack job
809 prospects in fishing communities. In addition, lack of education was also linked to illegality,
810 as with better information and education illegal behaviour might be circumvented (for
811 instance better knowledge on rules and regulations at sea). Better education may also
812 improve people's access to alternative employment.
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829 *I hope ... we can start giving more education because most people who become*
830 *fishermen only graduated from elementary and primary school (Fishing Community*
831 *Leader E, 2017).*
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836 *There is a lack of dissemination by the government to the community, especially to the*
837 *women in fishing villages regarding creative activities that may provide additional*
838 *income (Fishing Community Leader B, 2017).*
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843 *Another issue is that we don't understand about the international regulations, since we*
844 *have little education and experience. (Fishing Community Leader A, 2017).*
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848 The importance of education and awareness raising (hinted at in the quotes when referring
849 to regulations) is an acknowledged central requirement in order to accomplish sustainable
850 coastal management as well as to improve economic returns and livelihood (White et al.
851 2005). There is however, an ongoing educational challenging in Indonesia. Whereas the net
852 enrolment rate for primary education is around 90% (UNESCO, 2018) this remains low (below
853 60%) in poor districts (World Bank, 2014) which, seriously impedes future employment
854 opportunities. Furthermore, those that actually graduate primary education, around 80% in
855 2007/08, is an area of concern and implies that quite some children enter the “workforce as
856 functional illiterates” (Suryadarma and Jones, 2013: 2).
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864 *5.5 Solutions*

865 The problems in the maritime domain in general and the fishing sector in particular are well
866 understood by the research participants. However, they do not only talk about existing
867 problems but they also offer solutions which range from ‘*the education of ship masters and*
868 *the ship crews by disseminating information regarding the prohibited zones*’ (Fishing
869 Community Leader E, 2017); ‘*saving the marine and coastal environment [through]*
870 *customary law*’ (Fishing Community Leader D, 2017); and overseeing and implementing ‘*the*
871 *law at the district level and at the sub-district level*’ (Fishing Community Leader B, 2017). The
872 most far reaching and comprehensive suggestion however, concerns:
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889 *the dissemination of information and cooperation between the local government and*
890 *the fishermen. The government needs to engage the fishermen, need to interact with*
891 *the people related to maritime issues ... If we only rely on law enforcement agencies to*
892 *enforce the law without interacting with the coastal people it would be useless,*
893 *because it is the fishermen who spend most time at the sea. Just like when they are*
894 *fishing in the sea they will spend days, even weeks, to catch fish before they return to*
895 *land. So, they know what activities are happening at the sea. So, if the government ...*
896 *would like to identify the problems occurring at the sea or maritime security without*
897 *directly involving the fishermen communities as the source of concrete information,*
898 *then these inputs would be useless. ...If the government properly disseminates good*
899 *information to the people, empowers the people and pays attention to the fishermen's*
900 *welfare, and also provides support, maybe the fishermen might help the government*
901 *by becoming the eyes and ears, spying on illegal activities, and identifying misconducts*
902 *happening at the sea (Fishing Community Leader B, 2017).*
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914 It is quite relevant to note at this point that from the Training Needs Analysis (mentioned
915 above), it can be concluded that governmental actors and national enforcement agencies
916 consider the 'need to look beyond the state' (Chapsos & Malcolm, 2017: 182). The related
917 survey indicated that they see 'the most important actors in coastal communities [were] tribal
918 leaders in the fishing communities [and] fishers (Ibid.).
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923 **6. Discussion: Involve local actors in the eradication of IUU fishing!**

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925 In our research, we set out to examine how Indonesian fishing communities understand,
926 respond to, and are affected by IUU fishing. There is clearly a lack of including the knowledge
927 and experiences of local fishing communities in national and international policies and in
928 efforts to solve IUU fishing; in the Indonesian case this is exacerbated by a gap in local -
929 national governance cooperation.
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934 Existing literature indicates that one of the key enabling factors of IUU fishing is a weak
935 governance structure and our analysis of the local fishing community leaders' statements
936 highlight in the most emphatic way that this applies to Indonesia as well. The existing gap in
937 the Indonesian governance structure, and in particular the inability, ineffectiveness and
938 inefficiency of the local government(s) to act as the extension of the central government's
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947 policy and law enforcement in their regions, generates a series of problems related to IUU
948 fishing and severe conditions in the more remote areas of the Indonesian archipelago.
949 Indonesia's decentralisation and regional autonomy did not bring the necessary answer
950 (Firman 2009; Siry 2011). Fishing communities feel that they are not supported enough and
951 that they cannot rely on the local government to offer solutions to their security problems,
952 when on the other hand the central government is too far for them to reach and vice versa.
953 They even occasionally have to take the law in their own hands, and destroy other fishing
954 boats fishing illegally in the absence or inaction of local enforcement authorities. The
955 Presidential Regulation issued in 2017 to determine the Indonesian Ocean Policy sadly
956 confirms this notion, by including no action plan in relation to its implementation in a way
957 that local governments and coastal communities will be the main beneficiaries, but equally
958 importantly, on their potential roles to support the national efforts to combat IUU fishing.
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968 What also implicitly and explicitly appears as a crosscutting theme in all areas
969 examined in this article and closely related to the point made above, is corruption. Whether
970 the fishing community leaders referred to governance and infrastructure, law enforcement
971 or illegal fishing practices such as fishing and landing protected species, use of explosives, and
972 so on, there is always an element of questionable involvement of local government
973 authorities underpinning their narratives. With corruption being so eminently present in
974 Indonesia (see Mietzner 2018) an important question is whether IUU fishing policies that do
975 not acknowledge this 'culture of corruption' at the local level stand a change in the first place.
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981 The extent of IUU fishing activities have multidimensional and multifaceted livelihood
982 implications in Indonesian fishing communities, the most obvious being the depletion of fish
983 stocks in their coastal fishing grounds. This very depletion causes economic hardship and a
984 consequential chain of different reactions: some would travel further away in search of richer
985 fishing grounds and risk the dangers posed by their small fishing boats, others turn to IUU
986 fishing and other maritime crimes (catching protected, but more lucrative species such as
987 sharks, using bombs and poison, or trafficking migrants). In addition, DWFNs' presence in
988 their waters is not only seen by some as a challenge, but also as a business opportunity, such
989 as for example to barter information for other goods, or sell their catch to foreign fishing
990 vessels for a better price. As a result, these Indonesian fishing communities are not seeing
991 themselves as completely distant from IUU fishing practices and other illicit activities; they
992 admit to being both victims and perpetrators of the same crimes (Fox 2009; Williams 2013).
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The impoverishment and disenfranchisement of local fishing communities is considered by many investigations as a causal factor than enables IUU fishing and other associated crimes (Chapsos and Hamilton 2019, Kisiangani 2010). Solutions are often suggested along the lines of a socio-economic betterment of the local fishing communities, which is connected to the regulated availability of fish stocks. While it is understood and accepted in (inter)national debates that coastal communities have a stake and role in the sustainable management of (their) coastal zones (FAO 1992), such insights have not yet led to the acceptance of a more participatory role of coastal communities. Our research has shown that the inclusion of the experiences of local fishing communities with witnessing IUU fishing practices and their suggested solutions for tackling these, could be an important way forward in both national and international policy making. Small-scale artisan fishermen are still mainly seen as objects rather than the owners of interventions (UN 2015). This neglect of the specific knowledge and potential capacity of local fishing communities to support the countering of illegal fishing, is reflective of the gap between local experiences and (inter)national policy making practices.

1033 1034 **7. Conclusion**

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Indonesia cannot but fight IUU fishing and many of their policies and measures are much welcomed by the international community, notwithstanding the controversial nature of occasionally publicly sinking fishing vessels. The local dimensions of IUU fishing are often overlooked and/or ignored. Local fishermen and fishing communities are part of the problem but should also be part of the problem solving as this article has shown. More attention needs to be paid to the enhancement of inclusive governance structures, the formulation and implementation of policies and regulations that take local fishing communities and fishermen into account, and accountable cooperation between local and national governmental authorities.

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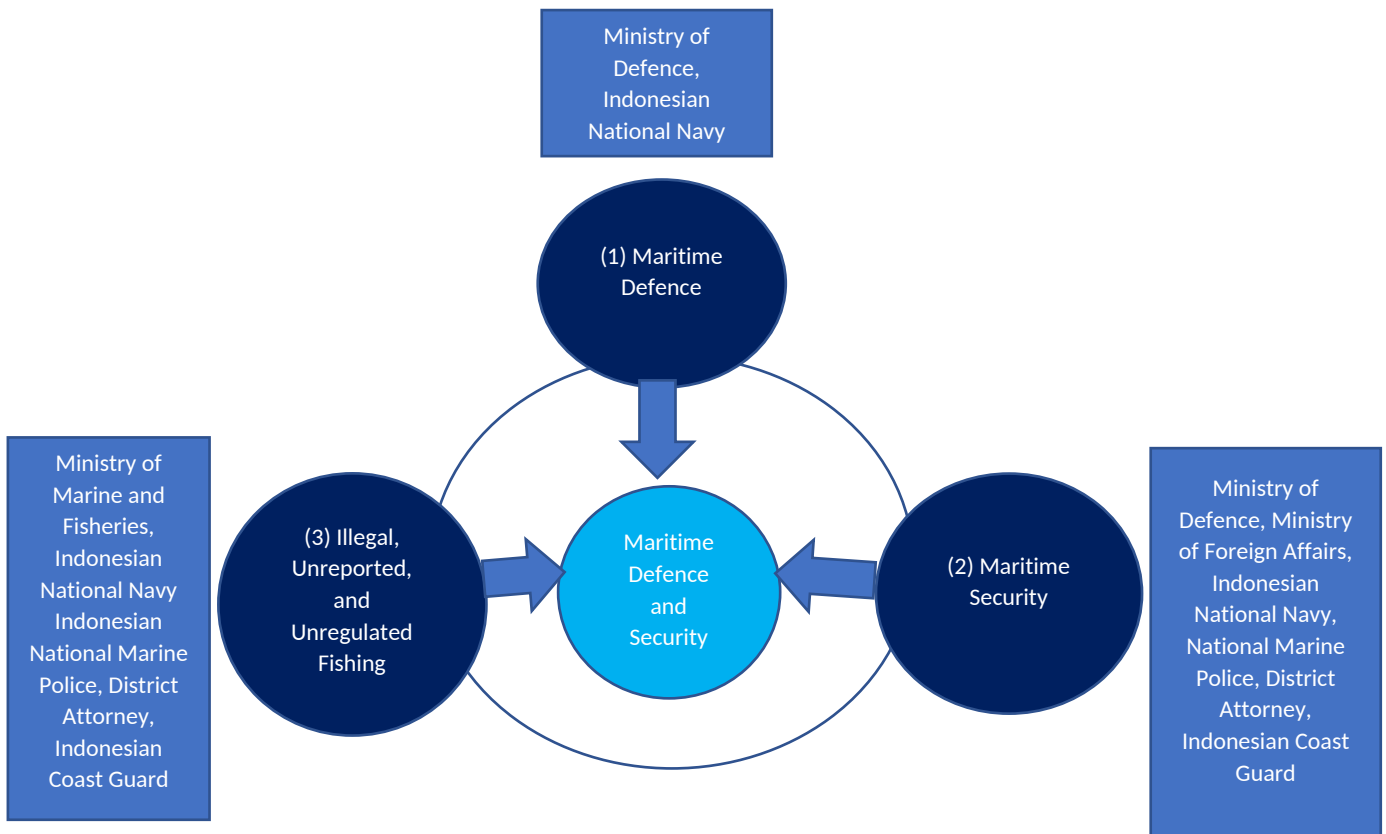
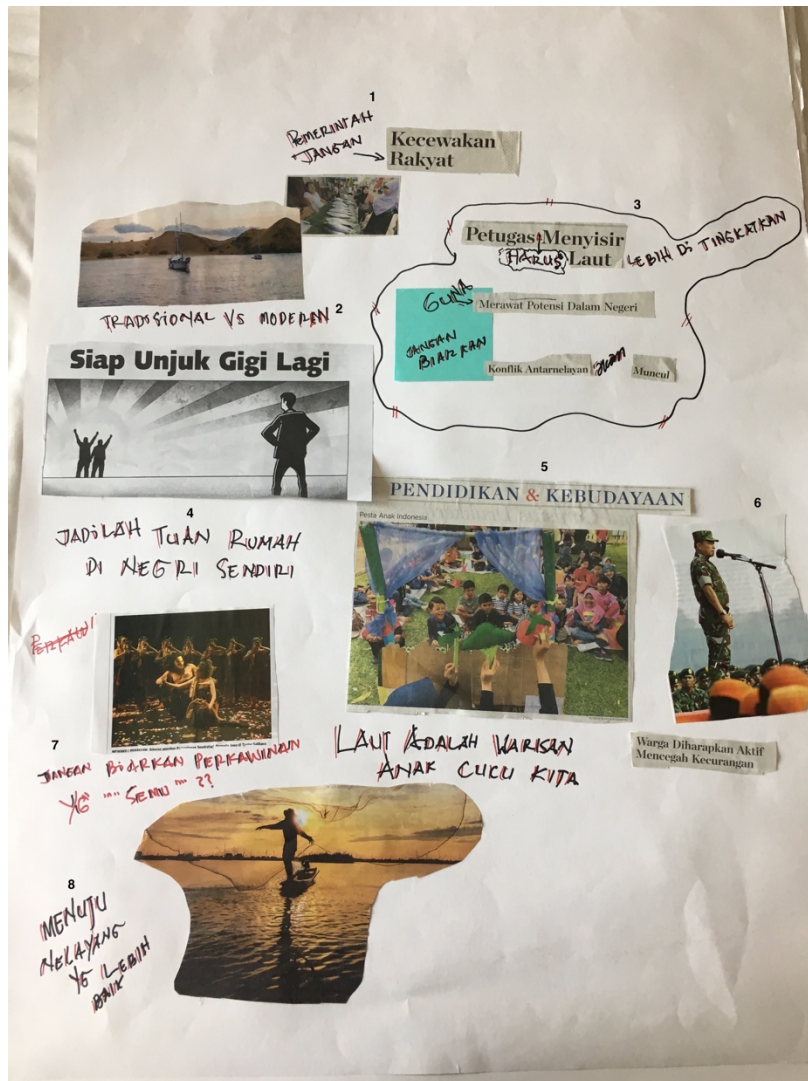


Figure 1: Indonesian maritime defence and security priority program - key priority activities and responsible/ related agencies (Source: Presidential Regulation 2017: 137)

Appendix: Collage Fishing Community Leader Indonesia (made in 2017)



1. Government: Please do not disappoint the people (us fishermen)
2. Traditional versus Modern: at sea the traditional fishermen are Indonesian while the modern fishermen are from abroad (with modern boats)
3. Maritime security officers should increase the marine patrol
4. Hopefully, we can enjoy the potential of our own natural resources
5. Education and Culture. The sea is the heritage for our grandchildren
6. The armed forces need to be proactive & the community needs to be involved to prevent crimes and actively attack fraud (corruption)
7. No fake marriages (migrants marrying local women)
8. Let's work (educate) towards becoming better fishermen; The ultimate goal is to have better future for all fishermen.