Synthesis and characterization of PVA/Starch hydrogel membranes incorporating essential oils aimed to be used in wound dressing applications

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23 Abstract

24 Wound care has come through various trials and errors with primitive cultures applying old age 25 techniques and knowledge. Recent research has shown that the moist environment promotes 26 wound healing than the dry. In the present research, hydrogel membranes were fabricated by 27 esterification of polyvinyl alcohol (PVA) with starch and glutaraldehyde as a cross-linker. The 28 essential oils (clove oil, Oregano oil and tea tree oil) have been incorporated in PVA/Starch based 29 hydrogel membranes. The aim was to achieve optimized anti-bacterial activity and mechanical 30 strength. The anti-bacterial testing was performed using the disc diffusion method. The maximum 31 antibacterial activity for fabricated hydrogels was attained by addition of 0.1 mL clove oil in 32 PVA/Starch hydrogel was 39±0.57 mm and 37±0.29 mm for MRSA and E.Coli, respectively. The 33 FTIR results presented the occurrence of -OH group in hydrogel membrane. The SEM results 34 showed around dense nature of membranes with having an antibacterial agent in it or not. 35 Mechanical examination of hydrogel membranes presented suitable tensile strength of 19.36 MPa 36 for 0.1 mL Clove oil. Furthermore, water vapour transmission rate (WVTR) and moisture retention capability (MRC) for 0.1 mL clove oil was 36.22 g/m²h and 95.50%, respectively. The 37 38 experimental conclusion nominated that fabricated hydrogel articulates good antibacterial, 39 mechanical and physical properties that it could be used in wound dressing applications. The best 40 results were obtained for clove oil using 0.1 mL as an antibacterial agent.

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- 42

43 Keywords:

44 Hydrogel; Polyvinyl alcohol (PVA); Starch; clove oil; Tea tree oil; Oregano oil; Antibacterial
45 activity.

46 **1 Introduction**

47 Over the past few decades, membrane technology has gained the significant importance in finding 48 its applications in desalination, food processing and medical fields. But since last few years, the 49 hydrogel membranes have gained importance due to their feasibility in healing the burn wounds 50 [1]. Though, in scenario of wound dressing application, the life span of membrane is not an issue 51 because it is used for a limited period of time[2]. Despite the largest human body organ, the skin 52 is the least well-known organ. The reason is that its function is easily defined and measured rather 53 than the circulatory or renal systems. According to the World Health Organization (WHO), 54 approximately 180,000 deaths occur due to burns annually. Most of these casualties occur in 55 underdeveloped regions and two-third in African and Asian countries. Burning is the foremost 56 reason of disabilities [3]. The scald injuries are incontrovertibly amongst the maximum 57 problematic ones to handle with, where extensive liquid loss and tissue damages weaken numerous 58 momentous functions [4]. Each year, millions of people are exposed to burns caused by flames, hot 59 water, boiling oil and accidents and these end in major disabilities or even death [4]. The wound 60 healing process is defined through four steps; Homeostasis, inflammatory response, proliferation 61 and remodeling [5].

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In the past, dry wound was considered optimal for the wound dressings. This was the major drawback of these types of dressings. Because the recent research has shown that wounds needs the moist environment. It helps in mimicking the skin functioning. That's why hydrogels are introduced for heal wounding. Hydrogels are polymeric 3-D cross-linked arrangements that have high moisture retaining capabilities. This moisture absorption is caused by the presence of carboxyl, hydroxyl and hydrophilic compounds present in them[6]. They tend to swell when

69 exposed to various fluids. The moisture absorbing ability makes them a potential candidate for 70 wound dressing applications. Exudates from the wound are collected inside the hydrogel 71 membrane as a gel, providing suppressing and conserving effect to the wound and hinders bacterial 72 infections [7]. This effect also helps in reducing the unpleasant odor caused by the exudates present 73 at the wound site. Hydrogel membranes can be easily removed from the wound surface without 74 rupturing, due to hydrophilic groups present in them[8]. Hydrogel membranes strength must be 75 greater than the strength of human skin (11.5 MPa) which makes them further a suitable 76 candidate[9]. The strength of the hydrogel membrane depends primarily on the polymer used. 77 Since they are hydrophilic, it can help in hydrating dried wounds and does not leave any debris 78 behind [10].

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80 Hydrogels from natural polymers such as polysaccharides are getting some prominence over the 81 last few years[11]. But the polysaccharides cannot be used alone because of low mechanical and 82 physical properties such as moisture retention, gel fraction, water vapour transition rate, etc. 83 Hence, polysaccharides are blended with some synthetic polymers (poly (vinyl alcohol), poly 84 (methyl methacrylate), polyurethane etc). The natural polymers have many advantages over 85 synthetic i.e., ecofriendly, non-toxic, biodegradable and absorbent. Starch is a glucose-based 86 natural polymer, which is considered as the best option due to common availability, 87 biodegradability, biocompatibility and low cost. It partially dissolves in water and can be easily 88 modified i.e. physically or chemically. However, starch cannot be used as a base polymer alone 89 because it cannot form a stable hydrogel. Mechanical strength has a good impact on the hydrogels 90 but using starch alone it loses the strength. Hence, it is mixed with other polymers to overcome 91 this issue. Polyvinyl alcohol (PVA) is one of the most widely applied and important polymers used 92 in hydrogels [12]. This is attributed to its solubility in water, biocompatibility, non-toxicity,
93 biodegradability, low cost, ease of formulation as a hydrogel and non-carcinogenic properties.
94 Glutaraldehyde acts as a cross linker to chemically link PVA Starch. Secondly, glycerine is used
95 as a plasticizers to enhance its strength.

96

97 The antibacterial characteristics of essential oils extracted from herbs have been implied 98 heuristically for centuries. The innovative potential of essential oils towards anti-microbial 99 composites is highly appreciated, particularly while resisting against bacterial strains [13]. 100 Medicinal and edible herbs like turmeric, oregano, rosemary, ginger, basil, clove, garlic and 101 nutmeg have been effectively utilized either individually or in conjunction with other 102 conservational procedures [14]. From food packaging to preservation and dentistry to medicine, 103 more research data is being collected on the antibacterial properties of numerous essential oils. 104 These all perplexes makes them the best candidate in advancing their research in wound dressing 105 applications [15]. Three different essential oils have been used in this research work.

106

107 Clove oil has been widely studied for its biological characteristics including antioxidant, 108 antifungal, antibacterial and insecticidal properties [16]. Chami et al. used clove oil on the yeast 109 model due to its anti-bacterial properties [17]. It has also been found operative against listeriosis 110 and salmonellosis causing bacteria [18]. Strong antimicrobial and biological characteristics of 111 clove oil are due to the presence of large amounts of eugenol in it [19]. Tea tree oil can be utilized 112 in the number of medicinal ways together with keeping skin healthy and hair strong [20]. The 113 presence of terpinen-4-ol in tea tree oil makes it a better candidate for fighting bacteria and 114 fungi[21]. These antibacterial properties make this essential oil an esteemed natural cure in

handling skin conditions and to stimulate wound healing [22]. Besides averting septicity in
wounds, tea tree oil also boosts wound healing. Nano emulsions of tea tree oil were used. It showed
good anti-bacterial and anti-fungal phenomena with no adverse effects[23].

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119 Oregano oil is a herbal oil that is extracted from the oregano plant and is extensively reported to 120 have therapeutic characteristics [22]. P.E. Simitzis et al. studied the effect of dietary oregano 121 essential oil supplementation on lamb meat characteristics was investigated. No difference in 122 weight was observed after supplementation of oil while its strong anti-oxidant effects enhances its 123 long term frozen storage[24]. These three essential oils have been selected because of their anti-124 bacterial properties. Moreover, they have also been used in the packaging and in pharmaceutical 125 industry but have never been incorporated in PVA/starch based hydrogel membranes. Dilutions of 126 oils or in the form of gel for skin treatment had already been used and available in the market but 127 incorporation in hydrogel membranes were done for the first time.

128

129 In this scenario, hydrogel membranes for wound dressing were fabricated through solution casting 130 technique. The key interest is to promote the moist wound environment through enhanced anti-131 bacterial activity. Hydrogel membranes containing polyvinyl alcohol (PVA) and starch 132 incorporated with essential oils were prepared. Morphology and molecular interaction among 133 various polymers used in the hydrogel membranes were investigated by using Scanning Electron 134 Microscopy (SEM) and Fourier Transform Infrared Spectroscopy (FTIR). Atomic Force 135 Microscopy(AFM) was used to find the roughness. Anti-bacterial testing was performed using the 136 disc diffusion method. The physical and mechanical characteristics of the membrane were also 137 considered to check their usefulness in practical application.

138 **2 Experimental**

139 **2.1 Materials**

Poly Vinyl Alcohol (Degree of Polymerization=1500), glycerin and starch were supplied from Dae-Jung, Korea. Clove oil, tree tea oil and oregano oil of 100% purity was provided by plant therapy, Inc. Glutaraldehyde (50% aqueous solution) was purchased from Sigma Aldrich whereas ethanol of 99.7% purity from BDH Laboratory Supplies. Analytical grade Hydrochloric Acid (HCL) (37% purity) was purchased from Lab scan Asia Co. Distill water was used in the overall research.

146 **2.2 Preparation of hydrogel membrane**

147 The hydrogel membranes are prepared by solution casting method. 10% (w/v) of the PVA solution 148 was prepared in water. The blend was heated at 70 °C for 2 hours with continuous stirring until the 149 solution became transparent. After that, 7% (w/v) of the starch solution was prepared, and heating 150 was carried out for 15 minutes at 100 °C with continuous stirring to get a homogenized solution. 151 Essential oils were added in varying concentrations to the starch solution and then it was cooled 152 after the addition of essential oils. These starch/clove oil, starch/tea tree oil and starch/oregano oil 153 solutions were then added to the PVA solution along with the cross-linking agent. The cross-154 linking agent was prepared by using 10 mL ethanol, 0.5 mL glutaraldehyde and 0.05 mL diluted 155 HCl. Furthermore, PVA/Starch/Essential oil solution and crosslinking agents were mixed; 2 mL 156 glycerin was added to the polymer/cross-linker mixture as a plasticizer. All the solutions were 157 introduced to sonication for the preparation of homogenization solutions. Coldwater was used 158 during the sonication process to control the temperature. The solution casting method was applied 159 for the fabrication of membranes. After overnight drying, the hydrogel membranes were extracted 160 from the petri dish. Hydrogels were then stored in cool, dry and air free bags to avoid 161 contamination. Table 1 shows the composition of hydrogels prepared.

162 **2.3 Membrane characterization**

163 **2.3.1 Scanning electron microscopy**

SEM (JSM-64900) was employed to investigate the surface morphology of the hydrogel membrane. It also gives information about structure i.e., porous or dense membrane. The acceleration voltage of 10 kV was utilized and membranes were coating with a thin conductive layer of platinum/palladium.

168 **2.3.2 Fourier transform infrared spectroscopy**

The dry and impurity-free samples of hydrogel membranes were directly exposed to FTIR. The spectra were noted in the array of 450 cm⁻¹ to 4000 cm⁻¹. In the case of the hydrogel membrane, the samples were placed right in the FTIR machine for processing. But pellets are made for the powered samples.

173 **2.3.3 X-Ray diffraction**

174 XRD analysis was conducted to determine phase identification and crystalline nature of prepared 175 hydrogel membranes. The XRD was performed using XR D8 advanced (Bruker Germany). The 176 membranes were used directly for the XRD analysis. The current and voltage of X-ray basis were 177 40 mA and 40 kV mA, respectively. The scanning of sample was done at step size of 0.04 while 178 the step time is 0.5s/step and 2 θ ranges from 10° to 70. The wavelength of CuK α radioactivity was 179 1.540 Å.

180 **2.3.4** Atomic force microscopy:

181 Surface roughness of the membrane is an important parameter to check the functioning in respect 182 to biocompatibility. Atomic Force Microscopy, JOEL (JSPM-5200) was used to investigate 183 topography; porosity and roughness. The 3-D micrographs images were taken. The AFM tip or 184 cantilever in a raster scanning motion contacts sample surface. In this contact mode the repulsive 185 forces between the tip and sample were converted into spatial variation of an image. The scanning 186 area was approximately in 10 μ m x 10 μ m and all the roughness parameter was determined by 187 using AFM software. In the data "Ra", "Rt" and "RMS" shows mean roughness and root mean 188 square roughness, respectively.

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190 2.3.5 Hydrophilicity:

191 Tantec Contact Angle meter was used to study hydrophobicity and hydrophilicity of membrane. 192 Single water droplet was allowed at dosing rate of 0.1μ L/s, with a constant dosing rate of 0.2μ L/s 193 using a micro syringe. The membranes were cut into thin strips and the sessile drop method was 194 used for static angle. On an average thrice times the angle was measured. During the experiment 195 the relative humidity and temperature were at ambient conditions.

196 **2.3.6 Water vapor transmission rate measurement**

197 To determine WVTR, 10 mL distilled water was poured into media glass bottles of 29.5mm mouth 198 diameter. These bottles were covered with hydrogel membranes, wrapped through Teflon tape and 199 then were weighed. These bottles were located at 40 °C inside an oven for 1 day[9]. After 1 day, 200 they were weighed again and WVTR (g/m²h) was evaluated using Eq. (1) [25]:

202
$$WVTR = (W_i - W_t)/(A \times 24) \times 10^6$$
 (1)

204 Where, A is the area of the round opening of the bottle, W_i is the mass of bottle before heating and 205 W_t is the weight of bottle after heating. 206 As the temperature of human body is 37.2 °C so the testing was done at 40 °C because the practical 207 application of our research work is human skin. That's why the temperature was kept near to it in 208 both of the cases i.e water vapor transmission rate and moisture retention capability [26]. 209 **2.3.7** Moisture retention capability 210 Prepared hydrogel membranes were cut into equal pieces and weighed. These samples were then 211 placed inside an oven for 6 hours at 40 °C. Later, they were removed from the oven and weighed 212 again. Eq. (2) was used to determine the moisture retention capability[9]: 213 214 Moisture retention capability (%): $(W_{6hrs}/W_i) \times 100$ (2)215 216 Where, W_i is the initial weight and W_{6hrs} is the weight in g after 6 hours of heating at 40 °C. 217 2.3.8 Gel fraction 218 The fabricated hydrogel membranes were cut into identical pieces and dried in the oven to get their 219 weight constant. After attaining constant weight, they were assessed and put in de-ionized water 220 aimed at 96 hours. Afterwards, the hydrogel membrane samples were again dried in a vacuum 221 oven until a constant weight is reached. Gel fraction was determined using Eq. (3) [27]: 222 223 Gel fraction (%) = $(W_t/W_i) \times 100$ (3) 224 225 Where, W_i is the initial weight before immersing in distilled water and W_t was the final weight 226 after drying. 227 10

228 **2.3.9 Hydrogel membrane porosity**

The hydrogel membranes were immersed into ethanol until they got saturated. Ethanol is used to wet the sample and immerse into it. Hydrogel membranes were assessed earlier and later having absorption in ethanol. Eq. (4) was used for calculation of porosity[28]:

$$\mathbf{\phi} = (W2 - W1) / (\rho V2 - \rho V1) \times 100 \tag{4}$$

Where, *W*1 and *W*2 specify the weight of samples earlier and later having absorption in ethanol, respectively. *V*1 is the volume of ethanol before absorption, *V*2 is the volume of ethanol after absorption and ρ *is* density is the density of alcohol at room temperature.

236

2.3.10 Swelling behavior measurement

237 The capacity of the hydrogel membrane to absorb fluids that are surfaced by the wound is called 238 its swelling behavior. The swelling behavior of prepared hydrogel membrane was examined 239 contrary toH₂O (water), 0.9 % MgCl₂(Magnesium Chloride), 0.9 % NaCl(Sodium Chloride) and 240 blood. A 0.9 % MgCl₂ and 0.9 % NaCl solution is said to be isotonic: when blood cells reside in 241 such a medium, the intracellular and extracellular fluids are in osmotic equilibrium across the cell 242 membrane, and there is no net influx or efflux of water. To determine the swelling behavior of 243 prepared hydrogel membranes, they were identically cut and balanced to be equal in size and 244 weight. These samples were then immersed into water, MgCl₂, NaCl, and blood solutions for 1 245 day and cleaned through filter papers and weighed again. The swelling percentage was determined 246 using the Eq. (5) [29]:

247 Swelling (%) =
$$[(W_s - W_d)/W_d] \times 100$$
 (5)

248 Where, W_s is weight of the swelled sample and W_d is weight of dry sample.

249 **2.3.11 Tensile testing**

The prepared hydrogel membrane was primed for tensile testing by following the standing operating procedures as described in "SOP - Tensile testing of electrospun nanofiber membrane"[30]. Samples that were equal in thickness and had no surface defects were selected and were attached to the holding clamps of the universal testing machine. With a constant strain rate of 10 N/mm², the clamps were allowed to move in opposite directions and the stress over hydrogel membrane samples was recorded.

256 2.3.12 Anti-bacterial activity measurement

257 Disc diffusion method was used to measure the anti-bacterial activity of fabricated hydrogel 258 membranes. Two bacterial strains have been used for this purpose i.e Gram Negative Escherichia 259 Coli and Gram-Positive Staphylococcus aureus. The bacteria were cultivated in a test tube having 260 broth in it, then it was sited in a shaky water bath at a temperature of 37 °C. The agar media was 261 arranged by dissolving 11.5 g nutrient agar in 500 ml distilled water. This agar solution was then 262 consistently decanted into the petri dishes and was left for few minutes to freeze it. The bacteria 263 was spread on these petri dishes with the help of spreader. The fabricated hydrogel membranes 264 were cut into 6 mm disks and were positioned over the agar plates. Later, they were sited into an 265 incubator for 24 hours at 37 °C. Then, the plates were taken out and region of inhibition were 266 measured by Vernier calipers. The negative control was a PVA/St membrane without essential oil 267 while the positive control was gentamicin. All the apparatus was autoclaved which includes petri 268 dishes, forceps, pipette, spreader, LB broth and agar media. The purpose of doing autoclave was 269 to avoid contamination.

270 2.3.13 Statistical Analysis

ANOVA two factor without replication was performed on all analysis to calculate the statistical significant and non-significant. In table "ss", "df" and "ms" represent sum of squares, degree of freedom and mean square, respectively. The ANOVA table of gravimetric has provided as significant value of less than 0.05 (p=0.05). Anti-bacterial test has provided us higher value in the case of oregano oil. Hence, they have no effect on the E-coli and MRSA. Hence, this proves the effect of essential oils as anti-bacterial agent when incorporated in hydrogels. Moreover, it also depending on their concentration levels.

278 **3 Results and discussion**

279 **3.1 Morphological Analysis**

The results of SEM show that the morphology of the hydrogel membrane was dense, and there 280 281 were no pores even at advanced magnifications. The dense surface of the hydrogel membrane 282 restricts any bacteria passage and approach to the wound. As oils are hydrophobic in nature and 283 immiscible in water, their particles are seen on the surface through SEM images. Fig 1 shows the 284 SEM of a pristine hydrogel membrane. Smooth and homogenous surface of hydrogel films are 285 obtained because of the absence of essential oils. On the other hand, fig 2(a,b,c) shows the SEM 286 images of oregano oil with concentrations of 0.1,0.2 and 0.3 mL, respectively. The following 287 similar observations were obtained from the results as in literature [31]. The surface becomes 288 rough with an increase in essential oil concentration. The essential oil becomes immiscible in water 289 above 0.3mL concentration due to its hydrophobic nature. The micro porosities range from few 290 microns to macro voids were distributed evenly on the surface. The roughness causes issue in the 291 biocompatibility of the membrane as more wound healing cells such as fibroblasts and 292 keratinocytes will adhere to the surface. When we go into the chemistry of oregano oil, it has 293 carvacrol component present in it. The structure of carvacrol shows that it has the phenolic group, 294 while three methyl groups and one hydroxyl group is attached to it. When the concentration of oil 295 in hydrogel membranes increases from 0.1mL to 0.3mL, agglomeration starts to take place. This 296 is due to the presence of three methyl groups. They are not soluble in polar solvents[32]. Fig 2 297 (d,e,f) shows the hydrogel membrane with concentration of clove oil 0.1, 0.2, and 0.3mL 298 respectively. 0.1 mL clove oil has shown best results as no pore formation takes place and all the 299 oil particles are trapped within the 3-D structure of the membrane. Whereas, the formation of 300 macro voids represents the rapid evaporation of the oil. These results are also validated by anti-301 bacterial testing. It is also observed from the SEM that the insoluble particles of oil become visible 302 with the increase in essential oil concentrations. But as the concentration of clove oil is increased 303 above 0.3mL, pores start to generate because of their immiscibility in water. This is because at 304 higher concentrations hydroxyl group becomes less efficient and phenolic group becomes 305 dominant which is not suitable for polar solvents[33].

306

307 Fig 2 (g,h,i) shows the result for tea tree oil concentrations. Similar, results are obtained within oil 308 as well. Pores started to generate above 0.3 mL as the concentration of oil is increased in the 309 hydrogel membrane. This is because of the presence of phenolic group present in it because it is 310 not soluble[34]. From the SEM images of all hydrogel membranes, it has been observed that the 311 clove oil and tea tree oil has more smooth surfaces than the oregano oil. This is because of the 312 presence of hydroxyl group attached to them while oregano oil has three methyl groups which are 313 not soluble resulting in rough surface. But when the concentration of oil is increased in tea tree 314 and oregano oil, the phenolic group becomes more dominant that's why pores started to appear.

315 **3.2 Fourier transform infrared spectroscopy**

316 The FTIR results designate the occurrence of hydroxyl groups which are accountable for water 317 holding capacity in hydrogel membranes. Fig 3(a) shows the FTIR of the hydrogel membrane have 318 a similar peak around 3300 cm⁻¹ which represent the hydroxyl group present in PVA, Glycerin and 319 Starch. This peak is present in all formulations. Clove oil consists of eugenol, eugenyl acetate and 320 caryophyllene. There is no change observed in the spectra with increase in concentration of clove oil. First of all, the broad peak at 3000-3500 cm⁻¹ is observed. This can be ascribed to the presence 321 322 of OH group. It broadens due to the presence of the carboxyl group due to acetate group[35]. 323 Moreover, the peaks between 1600-1800 cm-1 is due to the presence of C=O and C=C double 324 bonds found in all the three major components of clove oil[36]. Also, the Csp2-H bond is signified in the wavenumber range greater than 3000 cm⁻¹ as seen in all spectra[37]. The presence of 325 326 hydroxyl group handles its water holding capacity. The stronger the peak of the hydrogel 327 membrane, the higher will be the tendency to absorb water and exudates coming from the wound. 328 The main difference occurring in the functional group peaks is due to the transmittance difference 329 in the various concentrations. The intensity of the essential oil in hydrogel membranes dependent 330 directly on the concentration, because of presence of anti-oxidants.

331

Fig 3(b) shows the FTIR spectra of tea tree oil. The main components of tea tree oil are alpha and gamma Terpinene along with Cymene and Cineole. In these spectra, the broad peak between 2000 to 3000 cm⁻¹ can be attributed to the presence of the abundant and interconnected Csp2-H bonds as well as the Csp3-H bonds[38]. Other than that, the only peak to be mentioned are the prominent peaks between 1600-1800 cm⁻¹, which is due to the presence of C=C double bonds[39]. These are the only bonds found in the components making up tea tree oil. 338 Fig 3(c) shows the FTIR spectra of oregano oil. In the case of oregano essential oil, the major 339 components are carvacrol, beta-fenchyl alcohol, thymol and gamma-terpinene[40]. The main 340 component functional groups are O-H, C=O and C=C as well as Csp2-H and Csp3-H. O-H as well 341 as the presence of C=O bond is proven by the excessively broad peak at 2500-3500 cm⁻¹. This 342 same region also contains the peak for Csp3-H at less than 3000 cm⁻¹, and Csp2-H at a value slightly greater than 3000 cm⁻¹. The peaks between 1600-1800 cm⁻¹ signify the presence of both 343 344 C=O and C=C bonds[41]. The sharp and broad peak further validates the presence of a wide array 345 of both C=O and C=C double bonds.

346

3.3 X-Ray diffraction

347 XRD spectrum tells us about the nature of material crystallinity. Fig 4 shows the XRD spectrum 348 of PVA/Starch hydrogel membrane with essential oils. For PVA/Starch hydrogel membrane with 349 essential oils, single peak was detected at 19.8°, (101) plane of PVA and no other sharp peak can 350 be seen in the pattern. The amorphous nature of PVA/St membrane was hence proved by XRD 351 analysis. This typical diffraction peak confirms the presence of hydrogen bonds between hydroxyl 352 groups present in PVA[42]. From the XRD spectrum, it has been observed that essential oils do 353 not show any peaks. This is due to the absence of crystallinity in them[12]. Varying the essential 354 oil concentration does not affect the lamellar structure[43].

355

3.4 Atomic Force Microscopy

356 The PVA/Starch formulated membranes were studied under "tapping mode" and results are 357 presented in Fig 5. The dark regions repent depression whereas the lighter region represents height 358 in 3-D images of membranes topography[44]. The results of pristine membranes showed 359 smoothest surface among all the formulated membranes. However, the smoothness started to 360 decrease after the incorporation of essential oils. It can be ascribed to the immiscibility of essential

oils at higher concentrations in membranes thus forming pores at micro and Nano level, which
formed heighted structures [45]. The tea tree oil has the lowest roughness as compared to clove oil
and oregano oil due to high hydroxyl group which forms a uniform structure as compared to other
two oils.

365 **3.5 Hydrophilicity**

366 For material contacting the human skin, a balance between the hydrophobic and hydrophilic is 367 important [46]. All formulated membranes have contact angle less than 90 as shown in table 2 and 368 Fig 6, thus making them hydrophilic in nature. The presence of hydroxyl group, carboxyl group 369 and phenolic groups form hydrogen bonding and Van der Waals's forces creates physical bonding 370 with water which lowers the contact angle[47]. Table 2. represents the behavior of essential oils 371 incorporated in hydrogel membranes. At lower concentration, hydrogen bonding is produced. 372 However, as the concentration increases the phenolic group overshadows the hydroxyl group of 373 PVA. This results in higher contact angle. The essential oils showed hydrophilic behavior at lower 374 concentrations. However, membranes become hydrophobic at higher concentrations of essential 375 oils. For hydrogels, hydrophilicity is an important factor because it produces a crosslinking 376 between the membranes and anti-bacterial agent.

377 3.6 Water vapor transmission rate (WVTR)

Hydrogel membranes sustain the humid environment underneath the wound, thus curtailing the fluid loss. Water loss without any dressing film as reported in the literature for second degree burn skin wound is 178.55 ± 4.5 g/m²h and that of third degree is reported as 143.2 ± 4.5 g/m²h [48][49]. Water loss in the body occurs through two processes: sweat glands and diffusion take place through the body at low relative humidity regions.70% of the inner milieu of the body is water and 20 % of it is retained in the skin. The epidermis and dermis of the layer have sweat glands in which there is 70% of moisture. The second degree burns mostly affect the dermis of the skin whereas in the case of third-degree muscles loss takes place. Without any dressing, major water loss happens in a second degree [50]. The higher WVTR indicates that the wound will dry up quickly while if the WVTR is low it will slow the process of healing and will increase the bacterial infections [51].

388

389 Fig 7(a) shows the graph for WVTR of hydrogel membranes using essential oils. The hydrogels 390 prepared without essential oil has the WVTR of 61.25 ± 3.06 g/m²h. By adding essential oils, it was 391 observed that the clove essential oil provides the best WVTR results of 36.22 ± 1.81 g/m²h with 392 only 0.1mL. The WVTR decreases due to the increment of clove oil. This is because of the 393 presence of hydroxyl group in clove oil. Therefore, it is evident that clove oil induced hydrogel 394 membranes can preserve the wound environment and consequently results in minimal loss of fluid 395 from the wound. However, a tea tree showed WVTR of 45.63 ± 2.28 g/m²h and oregano oil of 396 51.15 ± 2.55 g/m²h has shown the best results in their respective composition. This can be attributed 397 to the fewer pore formation on the membrane surface morphology which causes more water 398 retention. The essential oils are immiscible in water and leached out of the membrane through the 399 drying process which resulted in the generation of pores and macro-voids. Hence, clove oil shows 400 the best result then the tea tree and oregano oil. Clove oil has hydroxyl group present with the 401 phenolic group. However, oregano and tea tree oil has methyl and phenolic groups present which 402 are not soluble in polar solvents. Therefore, their WVTR value is less than the clove oil. However, 403 with the increasing concentration of clove oil the phenolic group becomes more dominant which 404 also becomes insoluble. The water content plays a characteristic role in polymeric network 405 formation. In gel system, water is present in three different structures: bulk water in which 406 polymers are dissolved and present within the matrix, interfacial water has a certain cage like

407 geometry and hydrated water. The presence of water introduces the biocompatibility in the408 hydrogel as mimicking the function of water in the human cells [52].

409 **3.6.1** Moisture retention capability (MRC)

410 The measure of moisture that is preserved inside the hydrogel membrane is termed as its moisture 411 retention capability. The WVTR of any hydrogel membrane is inversely proportional to MRC i.e. 412 higher the amount of vapour loss, lower will be the tendency of the membrane to hold moisture, 413 which makes it difficult for the wound to get a healing environment. It is reported in the literature 414 that lack of moisture at the wound's surface will halt cellular migration, decrease oxygenation of 415 the blood and vastly delay the wound treatment process [53]. Furthermore, many advantages of 416 moist wound treatment over dry wound treatment have been reported. The moist environment 417 helps in mimicking of skin functioning and tissue regeneration. Therefore, it can be inferred that 418 hydrogels that have a large tendency to retain moisture content hold a better chance to be used as 419 wound dressings.

420

421 It can be seen in fig 7(b), the highest MRC value was obtained at 0.1 mL of clove oil with 95.50±0.48%, while that of neat hydrogel was 90%. The MRC values of tea tree and oregano oil 422 423 was 93 and 92%, respectively. As discussed in the WVTR, the decrease in the values of other than 424 clove oil owes the occurrence of methyl and phenolic groups present in them. These results are in 425 line with the WVTR results and confirm that lower the WVTR, higher will be the corresponding 426 MRC. The results showed that essential oils especially clove oil is the best candidate for wound 427 dressing applications. But with the increase in concentration of clove oil, the value of MRC 428 decreased. This is ascribed to the dominance of phenolic group present in it. It provides more than 429 90% moisture prevention within the wound when use in small concentrations. Due to hydrophobicity of the oils as the concentration increases the retention decreases or *vice versa*.
Thus, it can maintain the healing environment under the dressing and prompts the healing
procedure [54]. Consequently, the MRC is reduced which entails the non-suitability of higher
concentrations of essential oils.

434 **3.6.2 Hydrogel membrane porosity**

435 Porosity is more likely to depend on the fabrication process of membrane, relatively than the 436 compositions[55] Porosity of hydrogel membranes have been calibrated by using the alcohol 437 displacement method [56]. The porosity of neat hydrogel membranes is 54 %. Porosities of 438 hydrogels using essential oils has been observed in fig 7(c). It has been observed that the porosity 439 is enhanced as the concentration of the oil is increased in the hydrogel membrane. Hydrogels used 440 as wound dressing allow the permeation of gases such as oxygen, water vapors to maintain the 441 moisture in the dressing and small protein molecules while retaining the microorganisms [57]. The 442 percentage of porosity for 0.1 mL clove oil is 38%. However, as the concentration is increased it 443 goes up to 62%. The porosity of tea tree oil was observed 43% for 0.1mL concentration and 67% 444 for 0.3mL. Furthermore, porosity of oregano oil is 41% at 0.1 mL concentration and 59% for 0.3mL concentration. The porosity in the range of (30-40)% is considered good for heal 445 446 wounding[58]. The size and the surface of membranes containing pores tells us how much it 447 uptakes water. The proportion of the water must be less than 40–60 % to get transparent hydrogels. 448 Whereas, if the content increased to 80%, phase separation occurs and the macroporous network 449 is obtained [59]. The porosity of the membrane is highly dependent on the water content presented 450 during the synthesis of a membrane in the polymer solution. It can also be observed from SEM 451 images that increasing the concentration of essential oils enhances porosity as the profile pattern

452 represents. Moreover, when the concentration of oil was increased more than 0.3mL these pores453 become macro voids.

454 **3.6.3 Gel fraction**

455 Polar, naturally hydrophilic and synthetic polymers are crosslinked through physical or chemical 456 processes forming a 3-D network and bonding a large number of water molecules (up to 100g/g 457 or higher)[60]. The gelation percentage is done to evaluate how water is affected by temperature 458 parameters. Gel fraction (GF) test is performed to check how much the cross linker is effective. 459 The crystallinity of network and extent of crosslinking determines the gel fraction values. Also, it 460 influences the flexibility and strength of the films. Secondly, the burn patients are treated in cold 461 environments so that there wound does not disintegrate and deteriorate more. The gelation 462 percentage of neat PVA/Starch hydrogel membrane was found to be 78.24±0.39%. this was the 463 maximum value attained. This high value can be attributed which indicates the PVA and starch 464 cross-linking has taken place to form a 3-D network [43].

465

466 Fig 7(d) shows the graph of gel fraction with essential oils. With the increment of essential oils, 467 the gel fraction starts to decrease. This is because with the increasing concentration the cross 468 linking becomes poor[27]. The gel fraction of 0.1mL clove oil is 64%. However, 0.3mL clove oil 469 is 59%. Tea tree oil and oregano oil has gel fraction concentrations in the range of 61-56 % and 470 58-53 %, respectively[61]. Higher gel fraction is suitable for hydrogel membranes. It tells the 471 extent of cross linking taken place between materials used for the fabrication of hydrogel. It has 472 been observed 0.1mL clove oil gives the best results. As discussed earlier, this is attributed to the presence of hydroxyl group [62]. While, the oregano and tea tree oil has methyl and phenolic group 473 474 present. This is not soluble in polar solvents.

475 **3.6.4 Swelling Behavior**

476 A hydrogel to be used as a wound dressing should absorb fluids that surfaced over the wound [37]. 477 Swelling is considered a chief and significant criterion for evaluating how a membrane will behave 478 with the wound. Wound exudates are absorbed by the membrane; it prevents wound maceration 479 and the healing process is achieved. The degree of swelling can be affected by pH, temperature, 480 nature of the chemicals, wound environment and degree of crosslinking[51]. Swelling is controlled 481 by hydrogen bonding in the water molecules [63]. Starch and PVA have the affinity to absorb 482 moisture from the environment and hold it together, owing to the existence of –OH radicals within 483 their polymeric chains [64]. Starch maintains equilibrium by absorbing and desorbing water 484 molecules from the atmosphere. On the other hand, the ability of cross-linked polymer to absorb 485 moisture decreases since cross-linking causes the formation of compact 3D structures and 486 consequently the amount of -OH radicals decreases [65].

487

488 The swelling behaviors of prepared hydrogel, membranes were tested against water, blood, MgCl₂ 489 solutions, and NaCl solutions as shown in Fig 8. These solutions are used for testing because of 490 the presence of their excess amount in human body. It could be seen that the swelling behavior of 491 hydrogels is highest at 0.1 mL concentration of essential oils. The 0.1 mL clove oil within the 492 polymer matrix has given the 135, 176, 121 and 118% swelling in all the fluids with respect to 493 other essential oils. The swelling capacity is moderately good for tea tree and oregano oil. Their 494 swelling behavior is also higher than 100%. Thus, implying their use in wound dressing. As the 495 concentration of essential oil is increased the swelling behavior decreases. This is due to the 496 interaction of oil with the cross-linking sites of PVA/Starch co-polymer [66]. Secondly, as the 497 crosslinking density decreases due to the presence of oils swelling percentage reduced due to

498 decrease in the entanglement of polymeric chains. This interaction causes an increase in the 499 amount of free -OH radicals, thus promoting moisture absorbing capacity and increasing the swelling ability [67]. The propensity of PVA/Starch hydrogel films to swell decreased with the 500 501 increase in essential oil concentration. This can be accredited to the precipitation of essential oils 502 over the hydrogels at higher concentrations and eventually, voids are created within the membrane 503 [68]. The decrease in swelling behavior percentage can also be explained by the analogous increase 504 in the gel fraction percentage which implies the increase in the rigidity of cross-link due to the 505 addition of essential oils.

506

507 The swelling behavior is highest against blood which makes them suitable for wound dressing 508 applications. The maximum value of welling is achieved with blood. This may be attributed to the 509 circumstance that crosslinking strengthen the molecular spacing between the chains and weaken 510 the hydrogen bonding [69]. Furthermore, a supplementary osmotic pressure is formed owed to the 511 ionic nature of blood that increases the electro-neutrality effect and origins swelling. Moreover, 512 blood has the property to form clots[70]. Because of development of clots, extra capacity is formed 513 inside the system, that allows more fluid to penetrate inside. With the increase in amount of 514 essential oils, the structure was disrupt, and the macromolecular chains were extended 515 straightforwardly[71].

516

517 The second highest swelling behavior is seen against water and then the salt solutions. The 518 hydrophilic groups have a greater influence in swelling. It is started when water is exposed to the 519 membrane and due to the concentration gradient, it starts moving into the polymer matrix. The -520 OH group present on the essential oils attract the water and forms weak Van der Waals bonding.

521 This mechanism is taking place at the macro-molecule level. The reduction in the crystallinity of 522 PVA is also attributed to high swelling as already seen in XRD graphs. For the case of a salt 523 solution, it is of the minimum value. The electrostatic repulsion due to the presence of the ionic 524 charge on the salts induced the swelling, the stops the accumulation of polymeric chains and tend 525 to increase the matrix [72]. As the ionic strength is improved, the osmotic pressure change arises 526 between the polymer matrix and solution. This deferred the water penetration into the matrix 527 triggering swelling volume transition[73]. pH is another vital factor that rules the swelling 528 behavior of hydrogel membranes. The pH of 0.9% MgCl₂(Magnesium Chloride), 0.9% 529 NaCl(Sodium Chloride),H₂O(distilled water) and blood is 6,7,7 and 7.45 respectively. While for 530 native skin the pH is in the range of 4.7-5.45 and that for injured skin is 7.15-8.93. Therefore, it 531 is quite evident from the results that increase in pH enhances the degree of swelling. Consequently, 532 swelling ability also upsurges.

533 **3.6.5 Tensile Testing**

534 Many natural and synthetic polymers have been developed for the treatment of wounds, but low 535 mechanical properties and weak water absorption capacity limited their application in tensile 536 testing. The mechanical properties of all formulated hydrogel are investigated by measuring tensile 537 behaviour. Mechanical strength defines the integrity of the hydrogel membrane during the 538 handling and dressing on the patient's body. The mechanical properties such as breaking stress 539 (MPa) and percentage elongation (%) at the break of all formulated membranes were investigated 540 by using a Universal tensile machine (UTM). The hydrogel membranes should have sufficient 541 strength to engage these frictional stresses without breakage. Neat hydrogel membrane showed 542 good tensile strength i.e. 26.5 MPa. During the wound healing process, the hydrogels withstand 543 the frictional stresses while attachment on the skin and absorb the moisture without any rupture.

544 The tensile strength of the human skin is up to 11.5 MPa. The strength of the hydrogel should be 545 higher than the skin as mentioned above [9].

546

547 The 0.1 mL clove has shown better result in all the specimens. With the incorporation of essential 548 oils, the tensile strength underway deterioration. As observed from porosity and gel fraction, the 549 cross linking reduces with the increase in essential oils. Hence, tensile strength starts to decrease. 550 Their reduction in values was due to a decrease in chain length and mobility of the polymer chain 551 due to the incorporation of essential oils [74]. Incorporation of oil decreases the breaking stress. 552 The reduction in value was due to a reduction in polymer content[75]. The essential oils are not 553 been entirely soluble in water so it gives the weak point for breakage [68]. Clove oil 0.1 mL gives 554 the maximum breaking stress i.e. 19.55 MPa. However, tea tree and oregano oil has maximum 555 breaking strength stress of 18.7 and 14.5 MPa, respectively as shown in Fig. 9. This can be 556 qualified to presence of essential oils as mentioned above in water vapor transmission rate. Similar 557 trend is observed in the percentage elongation results. As the concentration of oils was increased, 558 its pore size decreased. Therefore, the membrane becomes more brittle.

559 **3.6.6 Anti-Bacterial Activity**

Anti-bacterial activity is of key importance in the hydrogel membrane essential for the healing of wounds. Almost all anti-bacterial agents (essential oils) showed good results when incorporated with hydrogels. However, the best result was obtained from clove oil with 0.1mL concentration in PVA/Starch hydrogel membrane. The neat hydrogel membrane in which there was no antibacterial agent present did not show any anti-bacterial activity. Clove oil incorporated in polymer hydrogel give excellent antibacterial activity. Clove oil showed good resistance against gram positive bacteria (MRSA) and gram negative bacteria (E.coli) i.e., *Staphylococcus aureus* and

567 DH5-ALPHA, respectively. For MRSA, 0.1 mL clove oil shows the best results and its antibacterial 568 activity was in 39±0.57mm while that of 0.3mL is 34±0.42mm while that for E. coli is in the range 569 of (37–31 mm) from lower to higher concentration. It has been observed from results with 570 increasing concentration the inhibition zone decreases, and it gives the less anti-bacterial activity. 571 The larger zones of inhibition were achieved for gram negative bacteria than that of gram positive 572 and this is due to their thick cell walls as shown in Fig 10. The key component in the clove oil is 573 eugenol which is around 80–90% [76]. This is insoluble in water [77]. Hence, with an increase in 574 the concentration of clove oil, its solubility decreases. Therefore, it becomes less homogeneous 575 and the anti-bacterial activity decreases [78]. Fig 11 (a) shows the anti-bacterial activity against 576 clove oil with all concentrations in which 0.1mL showed the best results.

577

578 The use of tea tree oil with hydrogel membranes shows good anti-bacterial activity. But the tea 579 tree oil shows less inhibition zones than clove oil. The main constituent of the tea tree oil is 580 terpinen-4-ol which show resistance against both gram positive (MRSA) and gram-negative 581 bacteria (E.coli). The inhibition zones in MRSA are in the range of (35-26 mm) while that for 582 E.coli is (32–19 mm). The 0.1 mL Tea Tree oil showed good resistance against both gram positive 583 (MRSA) and gram-negative bacteria (E.coli). The inhibition zone is 35 ± 0.36 and 32 ± 0.42 mm, 584 respectively. But as the concentration increases the inhibition zones started to deplete. This is 585 because of the poor dispersion of oil with increasing concentration. Fig 11 (b) shows the result for 586 both gram positive (MRSA) and gram negative bacteria (E.coli)[79]. Oregano oil showed less 587 resistance against both gram positive (MRSA) and gram-negative bacteria (E.coli) than the other 588 essential oils. The major constituents of oregano oil is carvacrol which has the highest phenolic 589 content and it shows resistance against the bacteria [80].

590 The inhibition zones for gram positive (MRSA) and gram-negative bacteria (E.coli) are in the 591 range of (33–34 mm) and (30–31 mm), respectively. All the essential oils show almost similar 592 result which means that they are miscible. Fig 11 (c) shows the inhibition zones for both bacteria 593 [81]. The formulated hydrogels are hydrophilic and have a cross-linking property that imparts 594 excellent biocompatibility. They exhibit soft material nature, which encourages the uptake of 595 water. Therefore, it forms hydrated solid materials, like cells in the body. Due to the composition 596 and presence of functional groups in active compounds and synergistic interactions of the 597 hydrogel, they give more anti-bacterial activity as compared to original essential oils. Clove oil 598 shows the best result at 0.1 mL which is due to its composition. It comprises 90% of eugenol which 599 is very much resistant against bacteria. The antiseptic compound in tea tree oil is tripenin-4-ol and 600 in oregano oil is carvacrol but they comprise 60% and 30% of the compound, respectively [82].

601 4 Conclusions

602 The existing investigation designates the effective fabrication of PVA and Starch membranes 603 crosslinked with glutaraldehyde. The investigation stayed focused on the development and 604 characterization of antibacterial polymeric wound dressings. PVA and Starch entailed the 605 polymeric matrix, and essential oils (clove oil, tea tree oil, oregano oil) as antibacterial agent. Nine 606 samples with three different combinations were tested. The antibacterial efficacy was inspected 607 against Escherichia Coli and Staphylococcus aureus. The diameter of inhibition zone was less 608 against E-coli, which disclosed their greater contest to anti-biotic effects in 0.1 ml clove oil. The 609 inhibition zone measured was 39±0.57mm for Staphylococcus aureus and for Escherichia Coli is 610 37±0.29mm. The hydrogels have exposed exceptional swelling capabilities against water, blood, 611 MgCl₂ solutions and NaCl solutions. The results evidenced the ability of the prepared hydrogels 612 to provide moist environment by meaningfully reducing the transmission of moisture from wound 613 bed. FTIR, SEM and XRD characterization techniques were applied to check the morphology as 614 well as the 3-D crosslinking taking place in the membrane. Increasing the oil concentration starts 615 to generate pores and became immiscible. XRD proves the semi crystalline nature of membranes, 616 while FT-IR spectra showed that PVA and Starch are properly cross-linked with essential oils 617 providing amine, hydroxyl and ether groups. The mechanical strength was decreased by the 618 addition of essential oils but it was greater than the human skin. The 0.1 ml clove oil has shown 619 best result from oregano oil and tree tea oil. Proceeding the basis of above outcomes, it can be 620 claimed that the hydrogels with 0.1 ml clove oil have the outstanding results to be used as wound 621 dressings operative for burn wounds.

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List of Tables

Sampl e No	PVA:Starch	Cross-Linker	Oregano Oil	Tea Tree Oil	Clove Oil	Glycerin
	(gm)		(mL)	(mL)	(mL)	(mL)
1	5: 3.5	Ethanol/GA/HCL	0.1	-	-	2
2	5: 3.5	Ethanol/GA/HCL	0.2	-	-	2
3	5: 3.5	Ethanol/GA/HCL	0.3	-	-	2
4	5: 3.5	Ethanol/GA/HCL	-	0.1	-	2
5	5: 3.5	Ethanol/GA/HCL	-	0.2	-	2
6	5: 3.5	Ethanol/GA/HCL	-	0.3	-	2
7	5: 3.5	Ethanol/GA/HCL	-	-	0.1	2
8	5: 3.5	Ethanol/GA/HCL	-	-	0.2	2
9	5: 3.5	Ethanol/GA/HCL	-	-	0.3	2

 Table 1. Composition of hydrogel membranes.

- 841 Table 2. Contact angle measurement of hydrogel membranes with essential oils at different
- 842 concentrations

Sr No	Clearification	Essential Oil Contact Angle			
5r.no.	Classification	ml		Standard Deviation	
1.	PVA/Starch		51.1	0.11	
2.	PVA/Starch/Clove oil	0.1	52.45	0.11	
3.	PVA/Starch/Clove oil	0.2	55.85	0.23	
4.	PVA/Starch/ Clove oil	0.3	71.7	0.522	
5.	PVA/Starch/ Tea Tree oil	0.1	59.05	0.6	
6.	PVA/Starch/ Tea Tree oil	0.2	63.8	0.98	
7.	PVA/Starch/ Tea Tree oil	0.3	73.05	0.65	
8.	PVA/Starch/ Oregano oil	0.1	60.65	0.87	
9.	PVA/Starch/ Oregano oil	0.2	66.45	0.355	
10.	PVA/Starch/ Oregano oil	0.3	80.4	0.22	







Fig. 2. SEM Images of hydrogel membranes using essentials oils; (a) 0.1 mL tea tree oil, (b) 0.2 mL tea tree oil, (c) 0.3 mL tea tree oil, (d) 0.1 mL clove oil, (e) 0.2 mL clove oil, (f) 0.3 mL clove

oil, (g) 0.1 mL oregano oil, (h) 0.2 mL oregano oil, (i) 0.3 mL oregano oil.





Fig. 3. FTIR spectra of hydrogels with essential oils at different concentrations.



Fig. 4. XRD spectrum of hydrogels with essential oils at different concentrations.





Fig. 6. Contact angle measurement of hydrogel membranes with essential oils at differentconcentrations.





Bars with same alphabets are not significantly different (p>0.05) from each other

Fig. 7. Physical testing of hydrogels; (a) WVTR of PVA/starch/essential oil hydrogel membranes,
(b) MRC of PVA/starch/essential oil hydrogel membranes, (c) Porosity of PVA/starch/essential
oil Hydrogel Membranes, (d) Gel Fraction of PVA/starch/essential oil hydrogel membranes.







Bars with same alphabets are not significantly different (p>0.05) from each other.

- 897 Fig. 8. Swelling behavior of PVA/starch/essential oil hydrogel membranes against water, blood
- 898 NaCl and MgCl₂.
- 899





Fig. 9. Breaking stress and percentage elongation of hydrogels with essential oils.



Fig. 10. Inhibition zones of hydrogels; (a) E. coli with clove oil, (b) E. coli with tea tree oil, (c) E.

912 coli with oregano oil, (d) MRSA with clove oil, (e) MRSA with tea tree oil, (f) MRSA with oregano 913 oil.



Fig. 11. Anti-bacterial activity with essential oils a clove oil, b tea tree oil c oregano oil.