# Engagement factors for household waste sorting in Ecuador: Improving perceived convenience and environmental attitudes enhances waste sorting capacity

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#### 36 Abstract

This study contributes to developing a set of engagement factors to address waste mishandling 37 38 and enhances waste sorting intention in households. Prior studies do not specify a set of factors 39 to mobilize and empower households toward better waste sorting engagement. In addition, in Ecuador, waste separation rates are low, and household waste sorting reduces the separation 40 41 efforts at collection facilities to increase the recycling efficiency for sustainable plastic waste 42 management strategies. This study adopted the theory of planned behavior to understand 43 waste sorting engagement factors, and the factors are described in qualitative information and 44 linguistic preferences. Hence, this study applied the fuzzy Delphi method to screen out the less important attributes and fuzzy decision-making trial and evaluation laboratory to visualize the 45 46 interrelationships among attributes. This study finds that waste sorting capacity is driven by 47 environmental attitudes, perceived convenience, social norms and economic drivers. The 48 results also indicated that households' environmental attitudes, perceived convenience and 49 economic drivers are causal factors that drive waste sorting engagement. For practitioners, 50 separation knowledge, willingness to participate, pro-environmental decisions, and social 51 responsibility arrangements are the driving criteria for improving waste sorting engagement 52 and reducing and eliminating pollution. Theoretical and practical implications are discussed. 53

54 **Keywords:** waste sorting engagement; theory of planned behavior; fuzzy Delphi method; fuzzy 55 decision-making trial and evaluation laboratory

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#### 60 **1. Introduction**

In Ecuador, waste generation in urban areas accounts for 70% of total national waste 61 62 production, and 84.7% of the waste is a mixture of materials that requires considerable effort to classify and that mostly goes directly to landfills (INEC, 2020). Household solid waste 63 accounts for a significant proportion of urban solid waste, and the amount is growing every 64 65 year (Wang et al., 2018; INEC, 2020). In sustainable plastic waste management strategies, household waste separation is central; it reduces separation efforts at collection facilities and 66 increases recycling efficiency (Meng et al., 2018; Knickmeyer, 2020). However, recycling 67 68 efficiency is affected by waste sorting behaviors (Meng et al., 2018; Araee et al., 2020; 69 Pendersen and Manhice, 2020). For instance, 34.4% of households throw away plastic bags and 70 bottles in Ecuador's coastal region, causing the deaths of seabirds and marine creatures and 71 influencing human well-being (Moreira et al., 2021). Waste sorting at the household level is 72 recommended to reduce the stress related to municipal waste management. However, 73 engagement factors for household waste sorting in Ecuador have not been clarified. Waste 74 separation rates are low, and additional efforts are required in collection facilities to separate waste and recyclable material (Meng et al., 2018; Knickmeyer, 2020). Meng et al. (2019) noted 75 that waste management ineffectiveness is caused by residents' weak engagement in identifying 76 77 and classifying waste into compost, glass, paper, metal, and plastic. Developing waste sorting 78 engagement (WSE) enables sustainable resource recovery, reduces landfill space, and increases 79 recycling rates (Fan et al., 2019; Wang et al., 2020). This study aims to develop a WSE model to clarify the attributes and improve Ecuador's waste management ineffectiveness. 80

81 Increasing WSE provides a sustainable strategy to reduce waste and reuse and recycle materials (3 Rs) (Li et al., 2017; Wang et al., 2018; Tseng et al., 2021). Proper household waste 82 83 classification reduces municipal waste and environmental pollution by 30%-40% (Zhang et al., 2018; Wang et al., 2020). Prior studies have focused on identifying and describing the attributes 84 affecting WSE (Varotto and Spagnolli, 2017; Chen and Gao, 2020). Nevertheless, Schanes et al. 85 (2018) argued that even if households have a high intention to improve their waste sorting, this 86 intention often does not translate into action due to economic and knowledge attributes 87 88 influencing WSE. The gap between intentions and actual waste sorting should consider cognitive and contextual attributes. Meng et al. (2019) indicated a lack of research on the 89 economic and knowledge attributes influencing a person's WSE. Limited progress in waste 90 91 sorting has been made, and knowledge gaps still exist, particularly concerning understanding 92 the engagement factors that bridge intentions and actual waste sorting behavior (Gholizadeh 93 and Tajdin 2019). In addition, Chen and Gao (2020) argued that inappropriate sorting in recycling facilities or sorting performed by informal collection channels damages the 94 95 environment. This study uses WSE to address the gap between intentions and actual waste 96 sorting behavior.

97 Improving WSE requires a deeper understanding of behavioral components: 98 environmental attitudes, social norms, and perceived convenience (Passafaro and Livi, 2017; Li 99 et al., 2019; Pei, 2019). Households intend to engage in waste separation when they evaluate it 100 positively, experience social pressure to perform it, and believe that they have the means and 101 opportunities to do so (Xu et al., 2018; Li et al., 2019; Knickmeyer, 2020). Attitudes, perceived control, and subjective norms are listed in the theory of planned behavior (TPB) (Wang et al. 102 103 2018, Zhang et al., 2019). The TPB considers the relationships between personal, social 104 influence, and control issues to explain intentions (Ajzen, 1991; Wang et al., 2020). Previous studies have used TPB attributes to analyze WSEs based on residents' environmental attitudes, 105 community behavior, collection channels, and government policies (Xu et al., 2017; Fan et al., 106 107 2019; Liu et al., 2019; Wang et al., 2020a). However, the TPB has limitations, particularly regarding the correlation between intentions and actual waste sorting behavior (Zhang et al., 108 109 2016; Razali et al., 2020). While the TPB considers social norms, it does not consider the economic factors that may influence a person to engage in waste sorting (Xu et al., 2018; Sujata 110 et al., 2019; Shan et al., 2020). Sociodemographic conditions play a role in the intervention of 111 112 economic drivers, particularly in low- and middle-income households. Moreover, due to different polymer compositions, the plastic in household waste is heterogeneous and 113 114 contaminated by the products it contains (Ragaert et al., 2017; Eriksen et al., 2019). WSE 115 requires knowledge about the materials and their pollutants to enhance waste sorting capacity. A study considering the TPB and additional attributes is required to reveal household WSE and 116 117 to address the gap between intentions and actual waste sorting behavior. Hence, this study 118 argues that environmental attitudes, perceived convenience, social norms, economic drivers, and waste sorting capacity define WSE. This study integrates the TPB, economic factors, and 119 waste sorting capacity into a hierarchical framework to determine each factor's importance and 120 121 increase the waste sorting of households through WSE indicators.

WSE involves qualitative attributes and uncertainties because of experts' linguistic 122 preferences for the attributes. The uncertainties are challenging to capture effectively with 123 formal models and methods, making it difficult to gain insights into WSE (Tseng et al., 2019; 124 125 Negash et al., 2021). The combination of fuzzy set theory with the Delphi method and fuzzy decision-making trial and evaluation laboratory (FDEMATEL) transforms linguistic preferences 126 into triangular fuzzy numbers (TFNs), normalizes subjective responses, and reduces bias and 127 uncertainty (Tsai et al., 2020). The attributes should be integrated into a hierarchical model to 128 129 elucidate their complex interrelationships, enrich the engagement model, and improve waste sorting capacity. However, fewer studies address WSE based on the interrelationships of its 130 attributes, and prior studies have neglected linguistic fuzziness (Zhang et al., 2016; Zhang et al., 131 132 2019). In this context, the fuzzy Delphi method (FDM) validates and filters important household WSE criteria based on linguistic preferences, and the FDEMATEL visualizes the complex 133 interrelationship among them (Tseng et al., 2018; Negash et al., 2021). This study combines 134 fuzzy set theory with the Delphi method and FDEMATEL to determine the most relevant 135 136 attributes and their interactions that affect WSE. These proposed methods allow the inclusion 137 of expert opinions, offer a list of valid criteria and determine the relationships of the attributes of WSE. The objectives of this study are as follows: 138

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• To develop a causal model under uncertainties

• To determine WSE criteria to increase residents' WSE in the Ecuadoran context

To validate a set of WSE attributes based on qualitative information

This study contributes to addressing the gap between intentions and actual waste sorting behavior by (1) providing a set of WSE attributes in a hierarchical structure, including environmental attitudes, perceived convenience, social norms and interactions, economic drivers, and waste sorting capacity, (2) presenting the causal relationships among the attributes,
 and (3) identifying mail criteria for managerial applications in public campaigns and education
 systems. Thus, decision-makers can refer to this study to increase the WSE of low- and middle income households in Ecuador.

This paper is organized as follows. Section 2 presents a literature review. Section 3 discusses the proposed method. Section 4 shows the results. The managerial and theoretical implications are discussed in Section 5. Finally, Section 6 presents the conclusion, limitations and future studies.

153

### 154 **2. Literature Review**

155 This section discusses the literature on WSE. In addition, the proposed method and 156 measured attributes are presented.

157

#### 158 **2.1 Waste sorting engagement**

159 Household waste sorting requires a set of skills, including identifying potential waste material (plastic, paper, clothes, and organic waste), followed by correctly identifying the 160 161 container for each category and performing proper waste treatment (Passafaro and Livi, 2017; 162 Shan et al., 2020). Leeabai et al. (2019) argued that municipalities have attempted to implement waste sorting at the source without success due to low engagement. Chen and Gao 163 (2020) highlighted that waste management's success depends on residents' engagement in 164 165 classification activities. However, Zhang et al. (2019) found that improper classification hinders the efficiency and benefits of the waste management process. Governments aiming to mitigate 166 these problems have implemented environmental policies to increase waste separation 167 collection without much success (Li et al., 2017; Wang et al., 2018). Compared to less dense 168 169 areas, urban areas with large quantities of solid waste significantly underperform (Wang et al., 170 2018; Knickmeyer, 2020). Efficient waste management systems depend on residents' 171 performance in waste sorting activities.

172 Increasing WSE reduces the negative environmental impacts related to improper waste disposition, landfills, and unrecovered materials. Despite the benefits and importance of WSE, it 173 has had limited success in alleviating pollution, with low recycling rates (Fan et al., 2019; Shan 174 et al., 2020; Tseng et al., 2021). Meng et al. (2019) noted that waste management 175 176 ineffectiveness is caused by residents' weak engagement in identifying and classifying waste into compost, glass, paper, metal, and plastic. Prior studies have focused on the psychological 177 perspective and individual perceptions to understand WSE (Passafaro and Livi, 2017; Xu et al., 178 179 2017; Fan et al., 2019). Many studies have examined sociodemographic and economic 180 attributes corresponding to environmental behaviors such as waste sorting (Wang et al., 2018; 181 Xu et al., 2018; Pei, 2019). External attributes such as location convenience, facility accessibility, and public education influence WSE (Leeabai et al., 2019; Liu et al., 2019). Plastic recycling 182 efficiency is affected by WSE and waste separation quality; an exogenous material may damage 183 machines and pollute newly recycled material (Meng et al., 2018; Pendersen and Manhice, 184 185 2020). Increasing household WSE mitigates the effect of growing plastic and urban waste production. Hence, stakeholders aiming to achieve better recycling efficiency and material 186 187 recovery must understand the engagement factors that affect waste separation in households. 188

#### 189 **2.2 Waste sorting engagement factors**

190 WSE is personal and related to environmental attitudes, including a willingness to sort, 191 ecological concerns, perceived moral obligation, and sustainability attitude (Liu et al., 2019; 192 Zhang et al., 2019; Shan et al., 2020). Social norms reflect that individuals are likely to be involved in waste separation activity if the surrounding people are involved (Fan et al. 2019; 193 194 Sujata et al., 2019; Knickmeyer, 2020). Control indicates that an intention to participate in 195 waste separation is contingent on the individual's perception over the convenience of the factors that facilitate or interfere with WSEs (Lee et al., 2017; Fan et al., 2019; Shan et al. 2020). 196 197 The TPB determines an individual's intention as a function of attitudes, social norms, and perceived behavioral control (Ajzen, 1991; Z. Wang et al., 2020). Despite its importance, the 198 TPB lacks the economic and knowledge factors that may influence WSE (Sujata et al., 2019; 199 200 Shan et al., 2020; Wang et al., 2020). Additional factors can be integrated into the TPB for its improvement. Prior studies have complemented the TPB with new factors (Xu et al., 2017; 201 202 Sujata et al., 2019; Shan et al., 2020). Other theories that explain WSE include the theory of 203 engagement with enthusiasm, social interaction, active participation and residents' willingness to sort waste (Liu et al., 2019; Meng et al., 2019; Wang et al., 2020a). This study extends this 204 205 research by including economic drivers and waste sorting capacity to understand and improve 206 residents' WSE. Hence, five factors, i.e., environmental attitudes, perceived convenience, social norms and interactions, economic drivers, and waste sorting capacity, are integrated to explain 207 208 the gap between intentions and behavior.

209 Environmental attitudes represent residents' position toward waste sorting and recycling (Meng et al., 2019). This study defines environmental attitudes as the psychological 210 and moral position toward waste sorting activities. Previous studies have correlated 211 environmental attitudes and WSE. Zhang et al. (2019) noted the positive correlation between 212 213 personal attitudes and behavioral intentions, which is positively associated with WSE. Attitudes guarantee individuals' long-term sustainability intentions and make it easier for community 214 members to achieve WSE goals. Sujata et al. (2019) found that attitudes and self-efficacy are 215 better predictors of recycling behavior through their direct effect on recycling intentions. In 216 217 addition, Liu et al. (2019) reported residents' attitudes toward waste sorting, conscientious personality, and willingness to classify household waste. Thus, attitudes are a prerequisite for 218 achieving better recycling efficiency and material recovery, making environmental attitudes an 219 important factor affecting WSE. Shan et al. (2020) found a positive correlation between attitude 220 recycling efficiency, material recovery, and better WSE. However, the effect of attitudes toward 221 WSEs is expected to vary from one household to another and among communities. Indeed, 222 Knickmeyer (2020) remarked that social behaviors could vary between urban areas and be 223 224 affected by local factors, including economic and knowledge attributes. Environmental 225 attitudes affect WSE through behavioral intentions.

Perceived convenience involves individual perceptions of external factors and their effect on performing waste sorting activities (Xu et al., 2017). Varotto and Spagnolli (2017) explained that in waste separation activities, convenience is achieved by appearance and proximity and that it is necessary to achieve a recycling culture. Perceived convenience provides the basis for WSE. Sujata et al. (2019) noted that increased consumption and a change in lifestyle create uncertainty and make it inconvenient to engage in waste sorting activities. Nevertheless, the lack of convenient recycling facilities discourages residents from WSE. For 233 instance, Knickmeyer (2020) noted that the continuous changes in the specifications of 234 materials and the incompatible systems adopted by communities in different areas make waste 235 sorting a complex and inconvenient task for residents, thus hindering WSE. Previous studies 236 have shown that contextual factors, including infrastructural convenience, affect WSE (Lee et al., 2017; Fan et al., 2019). Increasing convenience is a factor in improving social norms and 237 perceptions of control, which, in turn, lead to WSE. Shan et al. (2020) noted that convenience 238 affects perceived effort, which is a factor in the risk, attitudes, norms, and self-regulation 239 approach. Hence, perceived convenience is a factor that influences WSE and involves personal 240 241 opinions concerning resources and the ease or difficulty of performing an action.

Social norms reflect the social pressure that influences a particular behavior, expressing 242 the approval or disapproval of others and linking pride or shame to WSE (Lindbeck, 1997; Meng 243 244 et al., 2019). The influence of social norms on WSE is evident when residents see other individuals participating in waste separation and recycling activities. Passafaro and Livi (2017) 245 246 reported that social norms correlate with perceived recycling skills, basic sorting skills, and 247 attitudes and significantly affect household practice. However, the literature on the effect of social norms on WSE is limited, and previous studies differ regarding their findings of the 248 249 impact of a social norm on the intention to perform waste sorting. For instance, Sujata et al. 250 (2019) determined that social norms predict waste sorting behavior; however, they observed that social norms are weak predictors compared to factors related to attitudes and self-efficacy. 251 Fan et al. (2019) determined that social norms significantly affect waste sorting intentions, 252 253 affecting WSE. Knickmeyer (2020) noted that social norms are critical for recycling behavior and 254 culture and are enhanced by adequate waste classification infrastructure. In addition, social norms are psychological factors, and their influence depends on personality traits, which make 255 some individuals more likely to be influenced by them than others (Varotto and Spagnolli, 2017; 256 257 Chen and Gao, 2020). Thus, the impact of social norms on household waste sorting capacity 258 requires further investigation.

Previous studies have proven the effect of economic incentives on pro-environmental 259 260 behavior (Yuan et al., 2016; Xu et al., 2018; Pei et al., 2019). Households' WSE reduces the time and money spent by waste management facilities to verify the correct waste type and reclassify 261 landfill material. Low-quality waste separation and mixed waste require an extra recycling 262 process, increasing costs (Leeabai et al., 2019). Economic incentives are necessary for WSE. 263 264 Economic schemes such as pay-as-you-throw have been implemented to reduce the waste collected by municipal systems and to enforce WSE (Grazhdani, 2016; Schanes et al., 2018). 265 Economic factors are effective in encouraging household waste separation and enhance WSE. 266 267 Fan et al. (2019) demonstrated that economic incentives such as perceived costs and benefits 268 influence waste sorting intentions, suggesting the implementation of waste charges, taxes, or 269 penalties to induce WSE. Chen and Gao (2020) mentioned that economic profits influence 270 residents to be involved in waste separation activities, which is critical for WSE. However, the lack of economic incentives leads to low recycling rates in urban areas. Indeed, Xu et al. (2018) 271 272 noted that most waste separation activities are voluntary and have low efficiency without a 273 reward. WSE failed due to the lack of economic incentives. In sum, economic punishments and 274 rewards are public policies for regulating WSE.

275 Residents with knowledge about pollution, environmental issues, and possible solutions 276 and actions to mitigate problems related to waste are more likely to engage in waste sorting

activities and accept formal collectors (Li et al., 2017; Wang et al., 2020a). Knowledge about 277 278 waste classification and awareness of consequences boosts the willingness to participate in 279 waste sorting activities and contributes to strategies designed to enhance WSE. Schanes et al. 280 (2018) noted that the lack of knowledge about the environmental and social consequences of waste explains the ignorance about the impact of wasteful behavior. Meng et al. (2019) found 281 282 that publicity and education that provide knowledge about recycling and waste classification enhance waste sorting capacity. Zhang et al. (2019) found that awareness of consequences 283 determines personal attitudes, correlates with ascribed responsibility, and indirectly affects 284 285 waste sorting intentions. Fan et al. (2020) acknowledged the effect of residents' perception of adverse consequences on WSE. Waste sorting capacity is among the determinants of residents' 286 commitment, which influences WSE. Wang et al. (2020) reported that residents showing a 287 288 waste sorting capacity adopted green behavior such as recycling and chose appropriate 289 recyclers with qualified disassembly. However, Pedersen and Manhice (2020) found that over 290 30% of their participants showed mistrust in the waste management system. Therefore, waste 291 sorting capacity contributes to WSE, and explaining its relationship with other factors is necessary to fully understand its effects on households. 292

293

#### 294 **2.2 Proposed method**

295 Prior studies have used various methods to understand WSE, such as observations, interviews, evolutionary game models, and structural equation modeling (Pedersen and 296 297 Manhice, 2020; Wang et al., 2020). Passafaro and Livi (2017) used a structural equation 298 model to determine the relationship between motivational, behavioral and dispositional factors 299 and perceived and actual recycling skills. Using structural equation modeling, Fan et al. (2019) reported that environmental motivations and habitual attributes influence WSE. Pei (2019) 300 301 applied partial least squares structural equation modeling to test community and neighborhood attachment effects on waste recycling intentions. Chen and Gao (2020) used a multiagent-302 based simulation to simulate residents' decision-making behavior during the waste sorting 303 304 process and determined the effect of utility parameters on waste separation.

305 This study combines fuzzy set theory with the Delphi method and FDEMATEL to determine the most relevant attributes and their interactions that affect WSE. Fuzzy set theory 306 has been applied to transform respondents' linguistic preferences into quantitative values, 307 308 considering the variability in the responses and the uncertainty among preferences (Chen et al., 2019; Feng and Ma, 2020). Fuzzy set theory is merged with the Delphi method to obtain a set of 309 weighted criteria through expert evaluation (Gholizadeh and Tajdin, 2019; Negash et al., 2021). 310 311 Bui et al. (2020) used the FDM to identify sustainable solid waste management barriers, 312 considering the ambiguity in linguistic preferences and the challenging interpretability of 313 respondents' perceptions. Tsai et al. (2020) employed the FDM to exclude invalid criteria for integrated solid waste management. Negash et al. (2021) reported that using the FDM reduces 314 respondents' uncertainty and increases reliability and validity, filtering criteria for subsequent 315 use. The FDM aids in filtering the most reliable and valuable criteria for WSE, achieving 316 317 agreement among respondents' perceptions, and reducing uncertainty and ambiguity in 318 linguistic responses.

FDEMATEL explains the causal effects and interrelationships of attributes. Tseng et al. (2019) used FDEMATEL to visualize the effect and causal groups among a set of criteria and

321 factors based on graph theory. Feng and Ma (2020) used FDEMATEL to create a causal diagram 322 to determine the relationships of the factors assessed by expert responses based on linguistic 323 descriptions. Chen et al. (2019) mentioned that applying FDEMATEL helps address vagueness in 324 the responses of decision-makers and reduces the complexity of decision analysis. Tsai et al. (2020) applied FDEMATEL to investigate the interrelationships among criteria and factors, 325 326 considering experts' linguistic preferences for sustainable solid waste management. FDEMATEL 327 employs fuzzy set theory to convert judgments into crisp values; afterward, it analyzes the relationships among factors based on the previous responses. FDEMATEL identifies the 328 329 important and driving attributes among a set of linguistic preferences, fuzzy set theory normalizes the expert responses, and FDEMATEL draws a map based on driving power and 330 dependence (Tseng et al., 2018; Bui et al., 2020). This study uses FDEMATEL to determine the 331 332 relationships among factors and to identify the most important drivers in the set of criteria 333 analyzed.

334

#### 335 2.3 Proposed measures

This study performed a content analysis on five different factors of WSEs: environmental attitudes, perceived convenience, social norms and interactions, economic drivers, and waste sorting capacity. As shown in Appendix 1, the initial set includes 51 criteria that were proposed from the literature analysis. This section discusses the 23 criteria validated after FDM analysis.

The environmental attitudes factor considers residents' psychological and moral 340 341 perception of waste sorting. It includes the sorting willingness (C1) to participate in waste sorting activities (Liu et al., 2019; Wang et al., 2020a). Pro-environmental attitude (C2) affects 342 decision-making to protect the environment (Chen and Gao, 2020; Shan et al., 2020). Social 343 responsibility (C3) considers waste separation to be a civic duty and a moral obligation (Liu et 344 345 al., 2019; Meng et al., 2019). Hence, environmental attitudes must be considered to evaluate 346 WSE. Perceived convenience involves the perception of difficulty in performing waste sorting 347 activities and achieving a certain level of engagement among residents. Criteria related to the subjective perception of the convenience and ease of classifying waste, such as the time spent 348 (C4), house storage space (C5), abundant rubbish bins (C6), the perception of the complexity of 349 waste sorting (C7), recycling facility accessibility (C8), and facility appearance (C9), including 350 visual cues, prompt WSE (Passafaro and Livi, 2017; Leeabai et al., 2019). Furthermore, 351 352 information that facilitates the classification and recycling process and trash bin arrangements (C10) reduces the waste separation effort (Zhang et al., 2019). Consequently, the criteria for 353 evaluating perceived convenience must be considered to understand and perform waste 354 355 sorting.

356 Social norms and interactions consider the social pressure to perform WSE, as links with 357 individuals who surround residents influence residents' behaviors. Therefore, waste separation performed by family (C11) and waste separation performed by friends and colleagues (C12) 358 concern the closest individuals and their effect on WSE (Xu et al., 2017; Liu et al., 2019; Chen 359 and Gao, 2020). In the interconnected world, relationships with other residents have changed 360 361 because of social networks online and social media. Thus, social media influence (C13) concerns 362 interest in WSE due to posts on social networks such as Facebook, WhatsApp, and Twitter (Sujata et al., 2019). Previous studies show that WSE is enhanced by the sense of belonging to 363 the community and the sense of being protected by the community, including neighborhood 364

ties (C14) and community attachment (C15), which evaluates the bond between place and person (Crociata et al., 2016; Pei, 2019). Waste cosorting (16) is waste sorting in a group, as social beings and engagement are gained and maintained when waste sorting is performed as a group (Wang et al., 2020). Accordingly, the criteria related to social norms contribute to WSE and are necessary to maintain WSE over time.

370 Economic drivers concern the reward and punishment approach and involve economic benefits for residents, such as cost savings (C17) and taxes or payments such as waste disposal 371 fees (C18) charged to residents (Meng et al., 2018; Meng et al., 2019). Ultimately, determining 372 373 waste sorting capacity involves an evaluation of the positive or negative effects of economic 374 and environmental activities. Some individuals are unaware of the environmental cost of 375 materials and pollutants (C19), defined as the pollution caused by economic activities. This 376 study involves the pollution cost incurred by inappropriate dismantling in recycling facilities or 377 dismantling performed by informal collection channels damaging the environment (Chen and 378 Gao, 2020). A positive effect of waste sorting behaviors is environmental pollution reduction (C20), decreasing volume of plastic and other waste, increasing recycling, and protecting the 379 380 oceans and life on Earth. Evaluating the effects of waste sorting requires prior knowledge. Environmental literacy (C21) provides knowledge about pollution and environmental issues and 381 382 possible solutions and actions to mitigate the problems related to waste, and separation 383 knowledge (C22) supports correct waste classification (Xu et al., 2017; Q. Wang et al., 2020). Finally, recycled consumption (C23) considers the positive effect of the acquisition and 384 385 consumption of recycled material (Sujata et al., 2019). Consequently, the proposed factors and criteria are used to estimate WSE. 386

#### 387

#### 388 **3. Method**

389 390 This section details the methodology used, including the analytical steps.

#### 391 3.1 Fuzzy Delphi method

The FDM converts respondents' judgments into TFNs (Table 1) to examine their agreement and screen out unimportant criteria (Tseng et al., 2018; Negash et al., 2021). The TFN value of criterion  $\alpha$  assessed by the  $b^{th}$  respondent is given as  $j = (x_{\alpha b}; y_{\alpha b}; z_{\alpha b}), \alpha =$ 1, 2, 3, ..., n; b = 1, 2, ..., m. The steps this study followed to implement the FDM are listed below.

The geometric mean aggregation method is used to determine the weight of element *b*  $(j_b)$  as follows:

399 400

$$j_b = \{x_b = min(x_{\alpha b}), y_b = (\prod_{1}^{n} y_{\alpha b})^{1/n}, \text{ and } z_b = max(z_{\alpha b})\}$$
(1)

Afterward, Equation (2) is utilized to determine the upper and lower bounds.

401  $u_b = z_b - \alpha (z_b - y_b), l_b = x_b - \alpha (y_b - yx_b), b = 1, 2, 3, ..., m$  (2)

402 where  $\alpha$  captures the negative and positive perceptions of the experts, ranging from 0 to 1; 403 under ordinary circumstances,  $\alpha = 0.50$  is commonly selected.

Finally, the criteria weight  $(D_b)$  is calculated using Equation (3).

405

$$D_{b} = \int (u_{b}, l_{b}) = \delta[u_{b} + (1 - \delta)l_{b}]$$
(3)

406 where  $\delta$  indicates the degree to which an expert was positive and establishes an equilibrium 407 across expert opinions. The threshold value  $\gamma$ , where  $\gamma = \sum_{\alpha=1}^{n} (D_b/n)$ , allows criteria to be 408 screened. When  $D_b > \gamma$ , the criterion is accepted, and when  $D_b < \gamma$ , the criterion is rejected. 409 (Insert Table 1 here)

410

#### 411 **3.2 FDEMATEL**

412 FDEMATEL investigates the interrelationships among attributes considering experts' 413 linguistic preferences. The steps this study followed to implement FDEMATEL are listed below.

414 Normalization: For a group of *n* respondents,  $\tilde{z}_{ij}^{f}$  represents the fuzzy weight of the *i*<sup>th</sup> 415 attribute affecting the *j*<sup>th</sup> attribute assessed by the *f*<sup>th</sup> member.

416 
$$S = \left(s\tilde{z}_{1ij}^{f}, s\tilde{z}_{2ij}^{f}, s\tilde{z}_{3ij}^{f}\right) = (z_{1ij}^{f} - minz_{1ij}^{f})/\Delta_{min}^{max}, (z_{2ij}^{f} - minz_{2ij}^{f})/\Delta_{min}^{max}, (z_{3ij}^{f} - minz_{ij}^{f})/\Delta_{min}^{max}, (4)$$

418

425

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436 437

419 where  $\Delta_{min}^{max} = max z_{3ij}^f - minz_{1ij}^f$ .

420 Compute the left (*lt*) and right (*rt*) normalized values (Equation (5)) and the total normalized 421 crisp value (Equation (6)).

422 
$$(slt_{ij}^{f} + srt_{ij}^{f}) = (sz_{2ij}^{f} / (1 + sz_{2ij}^{f} - sz_{1ij}^{f}), sz_{3ij}^{f} / (1 + sz_{3ij}^{f} - sz_{2ij}^{f}))$$
(5)

423 
$$s_{ij}^{f} = \left[ slt_{ij}^{f} (1 - slt_{ij}^{f}) + \left( srt_{ij}^{f} \right)^{2} \right] / \left( 1 - slt_{ij}^{f} + srt_{ij}^{f} \right)$$
(6)

424 Aggregate the subjective judgments of *n* assessors, and calculate the synthetic values.

$$\tilde{z}_{ij}^{f} = \frac{1}{f} \left( \tilde{z}_{ij}^{1} + \tilde{z}_{ij}^{2} + \tilde{z}_{ij}^{3} + \dots + \tilde{z}_{ij}^{f} \right)$$
(7)

426 Obtain an initial direct relationship matrix, where  $[\tilde{z}_{ij}^f]_{n \times n}$  and  $z_{ij}$  indicate the degree to 427 which criterion *i* affects criterion *j*. Standardize the initial direct relationship matrix.

$$Y = \omega \times Z \tag{8}$$

429 where 
$$\omega = \frac{1}{\max_{1 \le i \le f} \sum_{j=1}^{f} \tilde{z}_{ij}^{f}}$$

430 Obtain the total relationship matrix

431 
$$X = \lim_{f \to \infty} (Y + Y^2 + \dots + Y^f)^n = Y(I - Y)^{-1}$$
(9)

Map a causal diagram; an influential relationship map is generated by the values of (D + R, D
- R). The x-axis (D + R) represents "prominence" and shows importance. The y-axis (D - R)
represents "relationship" and sorts criteria into cause and effect groups. If (D - R) is negative,
the criteria are in the effect group, and when (D - R) is positive, it is in the causal group.

$$D = \left[\sum_{i=1}^{n} Y_{ij}\right]_{n \times n} = [Y_i]_{n \times 1}$$
(10)

$$R = \left[\sum_{j=1}^{n} Y_{ij}\right]_{n \times n} = [Y_i]_{1 \times n}$$
(11)

#### 438 **3.3 Analytical steps**

This study is based on 17 Ecuadorian experts who participate in waste sorting activities. The experts were selected based on their experience, knowledge, and involvement in waste sorting and recycling activities and activism (profile in Table 2). The analytical steps are as follows:

442 1. A systematic literature review was performed to identify probable WSE attributes, which
 443 were used as the initial set for FDM assessment.

The FDM questionnaire was formed, and interviews were conducted with 17 experts in
Ecuador who shared their opinions in linguistic terms. The FDM was applied to remove
insignificant criteria by applying Equations (1)-(3).

The FDEMATEL questionnaire was developed based on the FDM results, and interviews
 were conducted with the same experts. Equations (4)-(7) were used to perform the
 defuzzification process.

450 4. Equation (8) was used to determine the normalized direct relationship matrix. Then, the 451 total interrelationship matrix was computed using Equation (9).

452 5. The (D+R) horizontal axis values and the (D-R) vertical axis values were determined by 453 applying Equation (10) and Equation (11). These values were used to generate a causeeffect interrelationship diagram of the attributes. The graph is divided into 4 quadrants: the 454 attributes in quadrant 1 are identified as "driving attributes," which have a greater causal 455 impact and higher importance; the attributes in guadrant 2 are termed "voluntary 456 attributes," which have a greater causal effect but lower importance; those located in 457 quadrant 3 represent "independent attributes," which have both weak causal effects and 458 459 low importance; and those in quadrant 4 are called "core attributes," which have weak 460 causality but higher importance.

- 461 (Insert Table 2 here)
- 462

#### 463 **4. Results**

This section provides an overview of the situation in Ecuador, explains the relationships among WSE factors and identifies the critical criteria for assessing WSE in Ecuador.

# 466467 **4.1 Case background**

In 2018, Ecuador disposed of 4.6 million tons of solid waste; 45% was disposed of in 468 landfills, 35% was disposed of in emerging landfills, and 20% was thrown into open dumps, 469 470 gullies, and rivers. To achieve sustainable development, waste generation is a concern. Landfills 471 and disposal places are located in impoverished indigenous communities, increasing social, ecological, and health impacts (Solíz and Yépez, 2019). Waste generation in urban areas 472 accounts for 70% of total national waste production, with a per person waste generation of 473 474 0.75 kg/day. Ecuador has four different regions: the insular, coastal, Andean, and Amazonian 475 regions. The insular region, represented by the Galapagos Islands, separates 100% of waste at the source. However, the continental part differs, with 48.9% and 48.7% of waste being 476 separated in the Andean and Amazonian regions, respectively, and only 3.6% of waste being 477 separated in the coastal region. The waste collection was measured as differentiated and 478 479 nondifferentiated; 15.3% of waste collected was classified and sorted; however, 84.7% was a mixture of materials requiring a further separation process and mostly going directly to landfills 480 481 (INEC, 2020). It is necessary to increase waste separation at the source to reduce the impact of 482 waste on the environment and poor communities. In Ecuador, waste management demands a 483 shift in focus from waste separation at landfills and municipal facilities to separation at the 484 source through policies, campaigns, and environmental education.

The evidence of achievement in the insular region is an indicator of Ecuador's potential to classify waste. However, the amount of waste separation in continental Ecuador is low, and incentives and campaigns are required to achieve success. Pincay et al. (2018) analyzed consumer engagement in coastal regions and found that plastic recycling is part of a cognitive attitude. Moreira et al. (2021) studied coastal regions and found that 70.1% of respondents believe that waste separation in their houses is essential, 39.8% have the intention to separate, 491 84.3% are unaware of the existence of waste separation facilities, and 34.4% still throw away 492 plastic bags and bottles. Waste separation is performed by 1,500 recyclers in the national 493 network of Ecuador's recycler associates; however, it is estimated that 20,000 recyclers work at 494 the national level (Solíz and Yépez, 2019). Nevertheless, the actual amount of separated waste 495 is still low. Understanding WSE attributes increases waste separation at the source and 496 improves the legal framework and conditions of informal recyclers.

497

499

## 498 4.2 Analytical results

- The analytical steps in Section 3.3 are followed to obtain the analytical results.
- Fifty-one criteria for WSE were collected from prior studies (Appendix 1). The criteria set was analyzed by expert linguistic judgments (Table 1), the linguistic judgments were transformed into TFNs, and two rounds of FDM assessment were conducted (Appendix 2 and Appendix 3). The FDM results show that 23 criteria were over the threshold value of 0.4189, as shown in Table 3.
- 505 (Insert Table 3 here)
- 506 2. Twenty-three validated criteria resulting from the FDM analysis were organized into five 507 factors and used to formulate the FDEMATEL questionnaire. Interviews were conducted to 508 assess the interrelationships among factors, and the experts' answers were converted into TFNs using linguistic scales, as shown in Table 1. For illustration, the relationship matrix and 509 defuzzied values from one respondent are shown in Appendix 4. The crisp values were 510 511 averaged and integrated into a direction matrix and finally normalized into a total direction relationship matrix in Table 4; accordingly, the effect and cause groups were formed using 512  $(\alpha+\beta)$  and  $(\alpha-\beta)$ , as shown in Table 5. 513
- 514 (Insert Table 4 and Table 5 here)
- 515 3. Figure 1 represents the relationships among factors: environmental attitudes (A1), perceived convenience (A2), social norms and interactions (A3), and economic drivers (A4) 516 are direct causes of waste sorting capacity (A5). Additionally, perceived convenience 517 influences environmental attitudes. In particular, the empirical results indicate that to 518 improve WSE, municipalities should focus on perceived convenience (A2) and 519 520 environmental attitudes (A1). Hence, personal attitudes and perceived convenience have a better effect on waste sorting capacity. Social norms and interactions and economic factors 521 have a weak effect. 522
- 523 (Insert Figure 1 here)
- 524 4. Step 2 is repeated to assess the interrelationships among the criteria. For illustration, the
  525 fuzzy matrix assessment from one expert opinion is shown in Appendix 5, and the crisp
  526 value matrix of the expert is shown in Appendix 6. Table 6 provides the total
  527 interrelationship matrix of criteria; the (D+R) horizontal axis values and the (D-R) vertical
  528 axis values are determined, as given in Table 7.
- 529 (Insert Tables 6 and Table 7 here)
- 5. Figure 2 shows the dependence and driving power graph, which classifies the criteria into four quadrants of different power levels, thereby structuring the criteria into levels and groups. The results show that separation knowledge (C22), pro-environmental attitude (C2), sorting willingness (C1), social responsibility (C3), and trash bin arrangements (C10) are the most important criteria driving WSE.

- 535 (Insert Figure 2 here)
- 536

537 **5. Implications** 

This section presents the theoretical and managerial implications for WSE.

### 539 **5.1 Theoretical implications**

540 This study enriches the literature by providing theoretical insights into improving WSE 541 and filling the gap between intentions and actual waste sorting behavior. It constructs a causal hierarchical framework for assessing WSE based on five factors and finds that perceived 542 543 convenience (A2), environmental attitudes (A1) and economic drivers (A4) are causal factors that drive WSE. The results also indicate that perceived convenience and environmental 544 545 attitudes strongly influence waste sorting capacity. Economic drivers have a medium influence, 546 and social norms and interactions have a weak influence on waste sorting capacity. Although 547 the TPB considers the two causal factors found in this study, i.e., perceived convenience and 548 environmental attitudes, it nevertheless does not consider the economic factors influencing 549 WSE. The TPB must integrate economic drivers to obtain better insights and outcomes into WSE. 550 Economic factors that influence a person to engage in waste sorting can be integrated into the 551 TPB to improve the understanding of WSE (Xu et al., 2018; Sujata et al., 2019; Shan et al., 2020).

552 Perceived convenience (A2) affects waste sorting capacity (A5) and possesses the highest driving power among the proposed factors driving WSE. Perceived convenience is 553 related to the difficulty of performing an action based on one's surroundings and the action's 554 conditions. In particular, perceived convenience is shown to have a better effect on waste 555 556 sorting capacity. Previous studies have demonstrated that perceived convenience improves waste separation and collection; however, no relationship between convenience and attitudes 557 has been shown (Xu et al., 2017; Leeabai et al., 2019; Zhang et al., 2019). Therefore, perceived 558 559 convenience mitigates frustration when the conditions are not ideal for certain actions. Perceived inconvenience creates friction among actors and hinders WSE; however, perceived 560 561 convenience encourages WSE (Knickmeyer, 2020). Satisfactory conditions in waste collection facilities will reduce the conflict between the intention to sort waste and the effort spent 562 sorting waste, thereby increasing WSE. Perceived convenience also involves the visual cues 563 given by the environment to adequately classify waste; these cues support knowledge 564 acquisition and instruction, increasing waste sorting capacity. Hence, the perception of usability 565 566 and understanding the difficulty of using the waste collection system will enhance waste management effectiveness by improving residents' engagement in identifying and classifying 567 568 waste.

569 In addition, this study finds a driving relationship between environmental attitudes (A1) 570 and waste sorting capacity (A5). Previous authors have shown the correlation between these 571 two factors of WSE, as environmental attitudes influence waste sorting intentions and therefore WSE. However, such attitudes have not been ascribed to causing waste sorting 572 capacity; in fact, personal attitudes have been considered an effect of waste sorting capacity 573 (Passafaro and Livi, 2017; Zhang et al., 2019). Considering that the moral or psychological 574 position toward waste sorting creates a predisposition and willingness to participate in waste 575 sorting, regarding interest in these activities, knowledge acquisition is the first step toward 576 engagement. Environmental attitudes are the predisposition to protect the environment and 577 undertake pro-environmental activities and obligations. Knowledge is important for correctly 578

579 performing pro-environmental activities. Environmental attitudes cause people to search for 580 the proper way to dispose of waste and to understand the consequences of the activities 581 related to the recycling process. The direct relationship between these two factors is 582 considered a new approach to increasing WSE, addressing citizens' environmental position toward our planet, and creating interest in and a moral obligation to participate in waste 583 584 sorting activities. Public campaigns and pro-environmental awareness-raising communication are necessary to improve waste recycling and protection (Zhang et al., 2019; Knickmeyer, 2020). 585 Hence, the attitude toward the current situation, consequences, and actions to mitigate the 586 587 individual's impact on the environment is key to WSE.

Economic drivers (A4) impact WSE and waste sorting capacity. As the economic situation 588 is important to families, savings and cost reduction help alleviate economic pressure. Taxes and 589 590 charges make individuals understand the reason for payment. Previous research has 591 acknowledged the importance of taxes and subsidies to waste sorting intentions, commitment, 592 and behavior (Fan et al., 2019; Chen and Gao, 2020). Some individuals have seen the possibility 593 of selling recyclable materials to increase household income; other individuals have reduced their consumption through the correct classification and reuse of materials, particularly plastic, 594 595 such as plastic bags. To perform an economic activity, individuals understand and learn about 596 the classification system to obtain the correct material and sell it to gain petty cash. Similarly, to reduce costs, individuals become involved in understanding and performing the actions 597 necessary to save money; currently, accessibility to information creates awareness. 598 599 Sociodemographic conditions also play a role in the intervention of economic drivers, and this 600 study involves a population of low- and middle-income families.

601 Social norms and interactions (A3) affect waste sorting capacity. Social interactions facilitate knowledge transmission through group activities and unspoken behaviors, thus 602 603 creating awareness of the environment and waste sorting activities. Social learning occurs by observing the conduct of others or through direct experience in a social learning system. 604 605 Individuals practicing waste sorting behavior are more likely to influence others to sort waste 606 and share knowledge about correct waste disposal methods and actions to facilitate recycling 607 activities as well as reasons to sort waste. Some authors have suggested including policies and 608 public campaigns by the government to create waste sorting capacity (Meng et al., 2019; Q. Wang et al., 2020). However, cultural and contextual factors seem to affect WSE awareness, 609 610 and increasing people's awareness may require a long-term effort; in some cases, the results are not evident (Varotto and Spagnilli, 2017; Li et al., 2019). Similarly, children learn about 611 waste sorting actions and consequences by observing their parents, and parental behavior is 612 613 translated into recycling and WSE (Crociata et al., 2016; Liu et al., 2019; Knickmeyer, 2020). 614 Ensuring there are individuals who sort waste and who lead campaigns in small communities 615 will influence WSE in society.

616

#### 617 **5.2 Managerial implications**

This study provides criteria that can engage, mobilize, and empower households toward better WSE. Separation knowledge (C22) is related to understanding the correct way to perform waste separation. In Ecuador, only 15.3% of waste collected was classified and sorted; public or private campaigns can result in the acquisition of separation knowledge, group experiences, family and friends who perform waste sorting, and improved waste sorting capacity. Campaigns 623 should focus on identifying recyclable materials, such as metals, glass, paper, and different 624 types of plastic. Based on trash bins or storage, materials should be organized with different 625 colors that are coded at a national or regional level. Colors such as green, yellow, and gray have 626 been used to identify and collect organic, recyclable plastic and nonrecyclable material, respectively. The existence of colored bins and visual cues near highly populated zones is 627 628 necessary to increase knowledge. Separation knowledge must also be applied at the household 629 level, and parents are urged to implement waste separation as part of their children's education. Schools and kindergartens have tried teaching students how to sort waste. An 630 631 adequate strategy is to promote WSE among teachers, directors, and parents, as children learn and repeat based on observation rather than from theory. The correct application of knowledge 632 achieves WSE; know-how is gained through public campaigns, family behavior, and even 633 634 policies to reward correct waste separation.

Pro-environmental attitude (C2) concerns the decision-making process for protecting 635 636 and helping the ecosystem, considering actions such as consumer preferences, for instance, 637 acquiring ecological products and reducing consumption. Some individuals consciously behave to protect the environment; however, other individuals seem to be oblivious of their actions 638 639 and their effect on the ecosystem. Currently, in the coastal region, 34.4% of people still throw 640 away plastic bags and bottles; these individuals' decision-making has a significant impact on wildlife in oceans, forests, and even the most distant places on Earth. Before an individual takes 641 a particular action, there is a deliberate debate over options, sometimes consciously analyzing 642 643 the consequences, other times unconsciously making decisions. Additionally, postconsumer behavior and actions such as waste sorting and recycling should be considered. Pro-644 environmental decisions are enhanced by acquiring knowledge about the benefits and 645 consequences of waste sorting and alternatives to dispose of waste. Cultivating the ability to 646 647 choose from different disposal options to protect the environment through knowledge 648 acquisition and individual benefits facilitates pro-environmental decisions and thus WSE.

649 In the coastal region, plastic recycling is part of a cognitive attitude, and the individual's 650 needs directly influence the individual's willingness (C1). In this respect, needs such as safety, love and belonging, esteem, and self-actualization are drivers of sorting willingness. Safety is 651 related to the appropriate use of forests, oceans, and land and thinking about the environment 652 and the relationship to one's health. Love and belonging are related to communities, the 653 654 creation of friendships and working networks that promote waste sorting activities and proenvironment activities and missions. Achievement and respect from others will earn respect for 655 waste sorting as society acknowledges individuals who engage in waste sorting behavior. 656 657 Identifying individual motivations, passion, experience, and recognition linked to the emotional 658 level will also improve people's willingness to participate in waste sorting. Finally, self-659 actualization makes the maximum contribution of individuals to helping to solve waste sorting problems in their families and communities. Planning for private or public campaigns to 660 increase WSE among citizens should consider targeting each of the needs described to increase 661 WSE. 662

663 Individual social responsibility (C3) involves philanthropic, ethical, and legal frameworks 664 in the community to protect others and the environment. Ecuadorian communities lack the 665 mechanism to improve individual social responsibility; in 2018, 20% of solid waste was thrown 666 into open dumps, gullies, and rivers. In the national constitution, efforts have been made to 667 protect nature, including laws and the creation of governmental institutions that cultivate social responsibility among citizens, dictating legal and ethical frameworks. Social responsibility is 668 669 passively achieved by avoiding actions that deteriorate the environment, such as throwing 670 away waste and actively performing activities to directly enforce any given area. Social responsibility also involves the awareness of the effect of the actions of individuals and is 671 672 obtained by addressing the knowledge gap in regard to waste sorting and environmental pollution. Self-perception practices also enhance the understanding of self-behavior and its 673 effects on others and the environment, thus improving social responsibility. Similarly, empathy 674 675 and emotional skills influence social responsibility, particularly in the ethical constitution. Therefore, building social responsibility through knowledge, actions, and empathy can improve 676 677 WSE.

678 Trash bin arrangements (C10) enhance in situ waste classification, thus decreasing 679 energy consumption, recycling costs, and waste sorting processes. Additionally, waste 680 classification increases when trash bins are conveniently located for residents' access, 681 associating trash bin arrangements with WSE. Currently, trash bin arrangements are lacking; for instance, in coastal regions, 84.3% of households are unaware of the existence of waste 682 683 separation facilities. Hence, this implication focuses on trash bin arrangements in two contexts: 684 indoors and outdoors. Indoor arrangements include knowledge of waste and recyclable materials; identifying materials makes it possible to determine the number of trash bins and 685 the amount of storage space. Relocating trash bins to convenient locations in the kitchen or 686 garden and easily identifying trash bins aids in waste classification at the source. Governments 687 688 and municipalities can enhance WSE, for example, by subsidizing and discounting trash bins and 689 by creating a community to share success stories and arrangement details. Outdoor 690 arrangements require a deep understanding of residents' movement through public space and 691 the waste composition in a particular community. Increasing accessibility and convenience while considering public space is challenging. An incorrect location on sidewalks and the wrong 692 693 size may create discomfort for residents as they walk and work in their businesses, thus 694 reducing the efficiency of classification.

695

#### 696 6. Conclusion

697 Ecuador's continental region lacks waste collection and classification efficiency to promote policies to reduce, reuse, and recycle. Unclassified collection and disposal in landfills 698 cause harmful effects on the environment. Landfills and disposal places are located in 699 impoverished indigenous communities, exacerbating social and health impacts. Waste sorting 700 701 engagement at the household level can mitigate the amount of waste thrown away and help 702 waste management systems recycle and efficiently dispose of waste. Proper classification aids 703 in the recycling process and reuse of material. In this study, five factors of WSE were analyzed: 704 environmental attitudes, perceived convenience, social norms and interactions, economic drivers, and waste sorting capacity. This study applied a combined methodology using fuzzy set 705 theory, the FDM, and FDEMATEL. Fuzzy set theory was used to translate respondents' linguistic 706 preferences into TFNs to evaluate the factors of and criteria for WSE. The FDM was used to 707 filter and obtain a valid list of criteria. FDEMATEL classified relationships among factors into 708 709 effect and cause groups and identified the driving criteria for WSE.

710 This study validated 23 criteria covering five factors and contributed to the WSE 711 literature by providing a valid hierarchical framework and the resulting significant attributes 712 that must be addressed to improve waste separation in low- and middle-income households. 713 The results show that waste sorting capacity results from environmental attitudes, perceived convenience, social norms and interactions, and economic factors. Perceived convenience and 714 715 environmental attitudes have stronger relationships with waste sorting capacity. Additionally, 716 perceived convenience affects environmental attitudes and waste classification facilities and improves people's position toward waste sorting. Previous studies have shown correlations 717 718 among these factors; however, a clear cause-effect relationship has not been found. The empirical results indicate that perceived convenience affects environmental attitudes, which 719 720 also affect waste sorting capacity. Thus, WSE is enhanced by addressing the causal attributes 721 leading to waste sorting capacity.

722 The practical contributions of this study include the identification of five criteria as the 723 most important due to their driving power and dependence values: separation knowledge, pro-724 environmental attitude, sorting willingness, social responsibility, and trash bin arrangements. Interactions with others can result in separation knowledge, publicity and education, and 725 726 understanding the correct waste disposal practices can reduce friction and motivate people to 727 classify waste. Pro-environmental decisions require knowledge of human actions, and the effect on the environment is an essential precursor to environmental pollution reduction. Sorting 728 willingness affects WSE based on the necessity of acknowledgment, belonging, achievement, 729 730 and self-education because as emotional beings, people focus on each need, which means that 731 these needs may influence changes in and the maintenance of environmentally friendly 732 behavior. Finally, self-awareness and knowledge of the impact of actions are drivers of social 733 responsibility. Therefore, to enhance the driving power of the other criteria, addressing 734 separation knowledge is practical. According to the two-way relationships just defined, 735 addressing at least one side of a relationship will improve waste sorting; however, focusing on the correct criteria in the real-world context will enhance WSE. These attributes can enhance 736 737 WSE and improve municipal waste management.

738 This study has some limitations. The proposed factors and criteria were extracted from the literature review, thus limiting the theoretical framework and its comprehensiveness. 739 Future studies may include psychological, technological, and legal attributes to expand 740 741 investigation of the interactions among the factors and criteria. The number of experts who participated was limited to 17; enlarging the sample size in future studies is recommended to 742 avoid favoritism regarding experts' involvement in waste sorting and recycling activities and 743 744 activism. Finally, this study applied FDM and FDEMATEL and relied on expert judgment, which 745 might have biased the results because of the experts' experience, context, and knowledge. A 746 longitudinal study and comparison among different countries in the Latin American region 747 could enrich the literature, as the contextual factors are similar, making it possible to identify the key drivers of WSE in developing countries. 748

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- 897

#### 898 Table 1. Linguistic scales

Importance	FTN	
Extreme	(0.75, 1.00, 1.00)	
Demonstrated	(0.50, 0.75, 1.00)	
Strong	(0.25, 0.50, 0.75)	
Moderate	(0.00, 0.25, 0.50)	
Equal	(0.00, 0.00, 0.25)	

899

#### 900 Table 2. Expert's profile

Expert Description	Experience in waste sorting (years)	Number of experts
Community Leader	6 – 30	4
Environmental Engineer	5 – 6	3
Environmental Science Professor	5 – 20	3
Environmental Activist	7 – 18	4
Recycler	6 – 19	3
Total		17

#### 901

#### Table 3. FDM results

Factor	Valid criteria	I <sub>b</sub>	<b>U</b> b	$D_b$	Decision
A1: Environmental	C1: Sorting willingness	(0.0590)	0.9340	0.4523	Accepted
attitudes	C2: Pro-environment decisions	(0.0433)	0.9183	0.4483	Accepted
	C3: Social responsibility	(0.0036)	0.8786	0.4384	Accepted
A2: Perceived convenience	C4: Time spent	(0.0159)	0.8909	0.4415	Accepted
	C5: House storage space	(0.0118)	0.8868	0.4404	Accepted
	C6: Abundant rubbish bins	0.0157	0.8593	0.4336	Accepted
	C7: Waste sorting complexity perception	0.0157	0.8593	0.4336	Accepted
	C8: Recycling facilities accessibility	(0.0118)	0.8868	0.4404	Accepted
	C9: Facilities appearance	(0.0590)	0.9340	0.4523	Accepted
	C10: Trash bin arrangement	0.0212	0.8538	0.4322	Accepted
A3: Social norms and	C11: Family waste separation	(0.0076)	0.8826	0.4394	Accepted
interactions	C12: Friends and colleges waste separation	0.0157	0.8593	0.4336	Accepted
	C13: Social media influence	0.0549	0.8201	0.4238	Accepted
	C14: Neighborhood ties	0.0212	0.8538	0.4322	Accepted
	C15: Community attachment	0.0287	0.8463	0.4303	Accepted
	C16: Waste cosorting	(0.0325)	0.9075	0.4456	Accepted
A4: Economic drivers	C17: Cost-saving	(0.0036)	0.8786	0.4384	Accepted
	C18: Waste disposal fee	(0.0076)	0.8826	0.4394	Accepted
A5: Waste sorting capacity	C19: Materials and pollutants knowledge	(0.0220)	0.8970	0.4430	Accepted
	C20: Environmental pollution reduction	(0.0590)	0.9340	0.4523	Accepted
	C21: Environmental literacy	(0.0705)	0.9455	0.4551	Accepted
	C22: Waste and separation knowledge	(0.0178)	0.8928	0.4419	Accepted
	C23: Recycled consumption	(0.0220)	0.8970	0.4430	Accepted
Threshold ( $\gamma)$				0.4189	

Table 4: Total interrelationship matrix of aspects

	A1	A2	A3	A4	A5	D
A1	3.612	3.457	3.090	3.099	3.617	16.875
A2	3.271	3.374	2.863	2.939	3.390	15.837
A3	3.130	3.094	2.742	2.795	3.188	14.949
A4	3.072	3.045	2.686	2.891	3.159	14.854
A5	3.844	3.767	3.345	3.352	3.961	18.270
R	16.931	16.736	14.726	15.075	17.315	

Table 5. Aspect's relation and prominence axis

Aspect	D	R	D + R	D – R	
A1	16.875	16.931	33.806	0.056	
A2	15.837	16.736	32.573	0.900	
A3	14.949	14.726	29.675	(0.222)	
A4	14.854	15.075	29.929	0.221	
A5	18.270	17.315	35.584	(0.955)	
Max			35.584	0.900	
Min			29.675	(0.955)	
Average			32.314	0.000	

909 Table 6: Total Interrelationship Matrix of Criteria

	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11	C12	C13	C14	C15	C16	C17	C18	C19	C20	C21	C22	C23	D
C1	0.32	0.31	0.28	0.28	0.27	0.27	0.28	0.27	0.26	0.30	0.28	0.26	0.28	0.26	0.27	0.28	0.27	0.25	0.32	0.34	0.32	0.32	0.28	6.56
C2	0.31	0.32	0.28	0.28	0.27	0.28	0.28	0.27	0.26	0.30	0.28	0.26	0.28	0.25	0.27	0.29	0.26	0.25	0.31	0.34	0.32	0.33	0.28	6.58
C3	0.30	0.30	0.30	0.28	0.27	0.27	0.28	0.27	0.27	0.29	0.27	0.26	0.28	0.25	0.28	0.29	0.26	0.25	0.32	0.34	0.32	0.32	0.29	6.58
C4	0.28	0.28	0.26	0.29	0.25	0.25	0.26	0.25	0.24	0.26	0.25	0.23	0.26	0.23	0.24	0.26	0.24	0.22	0.29	0.30	0.29	0.29	0.26	5.98
C5	0.28	0.27	0.25	0.25	0.28	0.25	0.25	0.25	0.24	0.27	0.24	0.23	0.25	0.23	0.24	0.26	0.24	0.22	0.29	0.30	0.28	0.29	0.25	5.93
C6	0.27	0.28	0.25	0.26	0.26	0.29	0.26	0.25	0.25	0.28	0.24	0.23	0.25	0.23	0.25	0.26	0.25	0.23	0.29	0.31	0.29	0.29	0.25	6.02
C7	0.27	0.26	0.24	0.25	0.24	0.25	0.28	0.24	0.24	0.26	0.23	0.22	0.25	0.22	0.23	0.25	0.24	0.22	0.28	0.29	0.27	0.28	0.24	5.77
C8	0.27	0.27	0.25	0.26	0.26	0.26	0.26	0.28	0.25	0.28	0.24	0.23	0.26	0.23	0.24	0.27	0.24	0.22	0.29	0.30	0.28	0.29	0.25	5.97
C9	0.27	0.26	0.25	0.26	0.25	0.26	0.26	0.26	0.27	0.27	0.24	0.24	0.26	0.24	0.25	0.26	0.24	0.22	0.29	0.30	0.28	0.29	0.25	5.97
C10	0.29	0.29	0.27	0.28	0.28	0.28	0.28	0.27	0.26	0.31	0.26	0.25	0.28	0.25	0.26	0.29	0.26	0.24	0.31	0.33	0.30	0.31	0.27	6.43
C11	0.28	0.28	0.26	0.26	0.26	0.26	0.26	0.25	0.25	0.27	0.28	0.24	0.26	0.24	0.25	0.26	0.25	0.23	0.28	0.31	0.29	0.29	0.26	6.06
C12	0.25	0.25	0.24	0.24	0.24	0.24	0.24	0.23	0.23	0.25	0.23	0.25	0.25	0.22	0.23	0.24	0.23	0.21	0.26	0.29	0.27	0.27	0.24	5.60
C13	0.27	0.27	0.25	0.24	0.25	0.25	0.25	0.25	0.24	0.27	0.24	0.23	0.28	0.23	0.24	0.26	0.23	0.22	0.28	0.30	0.28	0.29	0.26	5.89
C14	0.26	0.26	0.24	0.24	0.24	0.25	0.24	0.23	0.23	0.26	0.23	0.23	0.25	0.25	0.24	0.25	0.23	0.21	0.27	0.29	0.27	0.28	0.24	5.70
C15	0.26	0.26	0.24	0.24	0.24	0.25	0.24	0.24	0.23	0.26	0.23	0.23	0.24	0.22	0.26	0.25	0.23	0.21	0.27	0.29	0.28	0.28	0.24	5.69
C16	0.26	0.25	0.24	0.24	0.23	0.24	0.24	0.24	0.23	0.26	0.23	0.22	0.24	0.22	0.23	0.28	0.22	0.21	0.27	0.29	0.27	0.27	0.24	5.63
C17	0.28	0.28	0.26	0.27	0.26	0.27	0.26	0.26	0.25	0.28	0.25	0.25	0.27	0.24	0.25	0.27	0.28	0.24	0.30	0.32	0.30	0.30	0.27	6.21
C18	0.25	0.24	0.23	0.24	0.23	0.24	0.23	0.22	0.23	0.25	0.22	0.21	0.24	0.21	0.22	0.24	0.22	0.24	0.26	0.28	0.26	0.27	0.23	5.47
C19	0.30	0.30	0.28	0.28	0.28	0.28	0.29	0.28	0.27	0.30	0.26	0.26	0.28	0.25	0.26	0.29	0.27	0.25	0.33	0.33	0.32	0.32	0.28	6.54
C20	0.33	0.33	0.31	0.31	0.30	0.31	0.31	0.30	0.29	0.33	0.29	0.29	0.31	0.28	0.30	0.32	0.29	0.27	0.34	0.38	0.34	0.35	0.31	7.19
C21	0.31	0.31	0.29	0.29	0.28	0.28	0.29	0.28	0.27	0.30	0.28	0.27	0.29	0.26	0.27	0.29	0.28	0.25	0.32	0.34	0.34	0.33	0.29	6.71
C22	0.33	0.33	0.31	0.30	0.30	0.30	0.31	0.29	0.28	0.32	0.29	0.29	0.31	0.28	0.29	0.31	0.29	0.27	0.34	0.36	0.34	0.36	0.31	7.10
C23	0.28	0.28	0.26	0.26	0.25	0.25	0.25	0.24	0.24	0.26	0.25	0.24	0.26	0.23	0.24	0.26	0.24	0.22	0.28	0.30	0.28	0.29	0.28	5.92
R	6.50	6.48	6.05	6.10	5.98	6.07	6.11	5.92	5.77	6.44	5.83	5.61	6.14	5.54	5.83	6.22	5.76	5.36	6.81	7.23	6.79	6.90	6.07	

Criteria	D	R	D + R	D – R
C1	6.5604	6.5048	13.0652	0.0556
C2	6.5833	6.4785	13.0617	0.1048
C3	6.5785	6.0511	12.6297	0.5274
C4	5.9827	6.1002	12.0829	(0.1175)
C5	5.9289	5.9799	11.9088	(0.0510)
C6	6.0231	6.0657	12.0888	(0.0426)
C7	5.7731	6.1054	11.8786	(0.3323)
C8	5.9671	5.9162	11.8833	0.0509
C9	5.9694	5.7749	11.7443	0.1945
C10	6.4294	6.4357	12.8650	(0.0063)
C11	6.0644	5.8267	11.8911	0.2377
C12	5.6024	5.6123	11.2147	(0.0099)
C13	5.8937	6.1368	12.0305	(0.2430)
C14	5.6961	5.5423	11.2384	0.1537
C15	5.6894	5.8296	11.5189	(0.1402)
C16	5.6338	6.2239	11.8577	(0.5901)
C17	6.2131	5.7636	11.9767	0.4496
C18	5.4683	5.3628	10.8311	0.1055
C19	6.5373	6.8099	13.3472	(0.2726)
C20	7.1897	7.2302	14.4199	(0.0405)
C21	6.7064	6.7864	13.4929	(0.0800)
C22	7.1016	6.8993	14.0009	0.2023
C23	5.9172	6.0732	11.9904	(0.1560)
Max			14.4199	0.5274
Min			10.8311	(0.5901)
Average			12.3052	0.0000

911 Table 7. Criteria's relation and prominence axis



914 Figure 1. Causal effect interrelationship of aspects





Figure 2. Waste sorting engagement criteria

919 Appendix 1. List of proposed criteria

Criteria		References
IC1	Sorting willingness	Meng et al., 2019; Wang et al., 2020a; Liu et al., 2019
102	Pro-environment	Meng et al., 2019; Wang et al., 2020b; Chen and Gao, 2020;
	TTO environment	Shan et al, 2020
IC3	Example to others	Lin et al., 2017
IC4	Social responsibility	Liu et al., 2019; Meng et al., 2019
105	Time spent	Liu et al., 2018; Meng et al., 2019; Chen and Gao, 2020;
	Time spent	Meng et al., 2018
IC6	House storage space	Liu et al., 2018; Meng et al., 2019
IC7	Abundant rubbish bins	Liu et al., 2019
IC8	Waste sorting complexity	Passafaro and Livi, 2017
IC9	Recycling facilities accessibility	Meng et al., 2019; Zhang et al., 2019; Fan et al., 2019
IC10	Facilities appearance	Miller et al., 2016; Leebai et al., 2019; Knickmeyer, 2020
IC11	Trash bin arrangement	Leebai et al., 2019
IC12	Waste collection distance	Erfani et al., 2017; Leebai et al., 2019; Chen and Gao, 2020
IC13	Product packaging	Schanes et al., 2018
IC14	Family waste separation	Lin et al., 2017; Liu et al., 2019; Sujata et al., 2019
IC15	Friends and colleges waste separation	Lin et al., 2017; Liu et al., 2019; Chen and Gao, 2020
IC16	Community support	Lin et al., 2017; Meng et al., 2018; Liu et al., 2019; Chen and
		Gao, 2020
IC17	Recycling website	Sujata et al., 2019
IC18	Video recycling events	Sujata et al., 2019
IC19	Recycling activities statement	Sujata et al., 2019
IC20	Mobile apps	Knickmeyer, 2020
IC21	Social media influence	Sujata et al., 2019
IC22	Neighborhood ties	Crociata et al., 2016; Pei, 2019
IC23	Community attachment	Crociata et al., 2016; Pei, 2019
IC24	Waste cosorting	Wang et al., 2020a
IC25	Cost-saving	Meng et al., 2019; Meng et al., 2018
IC26	Waste sorting remuneration	Lin et al., 2017
IC27	Garbage disposal fee	Meng et al., 2018
IC28	Formal collector	Li et al., 2017; Wang et al., 2020b
IC29	Informal collector	Li et al., 2017; Wang et al., 2020b
1030	Recyclers separation	Fan et al., 2019
1031	Recyclers mess up	Fan et al., 2019
1032	Recyclers interest	Fan et al., 2019
1033	Environmental publicity	ivieng et al., 2019; ivieng et al., 2018; Zhang et al., 2019
1034	Organization encouragement	Lin et al., 2017; Liu et al., 2019
1035	Environmental organization encouragement	Lin et al., 2017; Sujata et al., 2019
1030	Separation campaigns	Lin et al., 2017 Lin et al., 2017: Mong et al., 2019: Chan and Cap. 2020
1637	Government waste laws and regulations	Lin et al., 2017; Weng et al., 2018; Chen and Gao, 2020
IC38	Government reward policies	weng et al., 2016; Liu et al., 2019; 20ang et al., 2019; Chen
1020	Covernment nunishment policies	anu Ga0, 2020 Liu at al. 2010
1039	Bollution control cost	Lin et al. $2017$
1040	Foliation control cost	LIII Et dl., 2017 Grazhdani, 2016: Mong et al., 2010: Mong et al., 2019
1041	Wasto congration information	Grazinani, 2010, weng et al., 2019; Weng et al., 2018
1042	waste separation mormation	Liu et al., 2019 Craciata at al. 2016
1043	Facilities trust	Cruciată et al., 2010 Descafare and Livi, 2017: Fon et al., 2010
IC44	Disposal IIIIOIIIation Escilitios management	rassaidiu dilu Livi, 2017; rdfi et dl., 2019 Fan at al., 2010
1040		raii et al., 2019
	waste disposal facility	wang et al., 2020a
1047	Environmental cost	
1048	Environmental pollution reduction	wang et al., 2020a
IC49	Environmental literacy	Meng et al., 2019; Wang et al., 2020a
IC50	Waste and separation knowledge	Meng et al., 2019; Lin et al., 2017; Liu et al., 2019; Chen and
		Gao, 2020
IC51	Recycled consumption	Sujata et al., 2019

# 920 Appendix 2. Round - 1 FDM results

		u	Db	Decision
IC1	(0.4075)	0.9075	0.3519	Accepted
IC2	(0.0433)	0.9183	0.4483	Accepted
IC3	0.0000	0.5000	0.2500	Unaccepted
IC4	(0.3555)	0.8555	0.3389	Accepted
IC5	(0.3671)	0.8671	0.3418	Accepted
IC6	(0.3410)	0.8410	0.3352	Accepted
IC7	0.0157	0.8593	0.4336	Accepted
IC8	(0.3373)	0.8373	0.3343	Accepted
IC9	(0.3631)	0.8631	0.3408	Accepted
IC10	(0.4075)	0.9075	0.3519	Accepted
IC11	0.0212	0.8538	0.4322	Accepted
IC12	0.0000	0.5000	0.2500	Unaccepted
IC13	0.0000	0.5000	0.2500	Unaccepted
IC14	(0.3593)	0.8593	0.3398	Accepted
IC15	(0.2373)	0 7373	0 3093	Unaccented
IC16	(0.2373)	0 7373	0 3093	Unaccented
IC17	(0.2373)	0.7373	0.3093	Unaccepted
IC18	0.0000	0.5000	0.2500	Unaccepted
1010	(0.2527)	0.7527	0.3132	Unaccented
1019	0.0000	0.5000	0.2500	Unaccented
1020	(0 3167)	0.8167	0.3292	Accented
1021	(0.2822)	0.7822	0.3206	Accepted
1022	(0.2022)	0.7022	0.3280	Accepted
1023	(0.3053)	0.8053	0.3260	Accepted
1024	(0.3826)	0.8826	0.3255	Accepted
1025	(0.3820)	0.8820	0.3437	Unaccented
1020	(0 3337)	0.3000	0.2300	Accepted
1027	(0.3500)	0.8500	0.3354	Accepted
1028	(0.3300)	0.8500	0.3375	Unaccented
1029	(0.2622)	0.3000	0.2500	Accepted
1030	(0.2022)	0.7022	0.3135	Linaccontod
1031	0.0000	0.3000	0.2300	Accepted
1032	(0.3337)	0.8337	0.3334	Accepted
1033	0.000	0.8373	0.3343	Unaccented
1034	0.0000	0.5000	0.2500	Unaccepted
1035	0.0000	0.5000	0.2500	Unaccepted
1030	0.0000	0.3000	0.2500	Accepted
1037	(0.4032)	0.9032	0.3308	Accepted
1030	(0.3088)	0.8088	0.3422	Linaccontod
1039	0.0000	0.5000	0.2300	Accepted
1C40	(0.3300)	0.8300	0.3375	Linaccontod
1041	0.0000	0.5000	0.2500	Unaccepted
1042	0.0000	0.5000	0.2500	Unaccepted
	0.0000	0.5000	0.2500	Unaccepted
	0.0000	0.5000	0.2500	Unaccepted
1043	0.0000	0.5000	0.2500	Unaccepted
	0.0000	0.3000	0.200	Accorted
1047	(0.3728)	0.075	0.3432	Accepted
1048	(0.4075)	0.9075	0.3519	Accepted
1049	(0.0705)	0.9455	0.4551	Accepted
1050	(U.3688)	0.0070	0.3422	Accepted
1051	(0.0220)	0.8970	0.4430	Accepted
Threshold ( $\gamma$ )			0.3149	

# 923 Appendix 3. Round - 2 FDM results

		u	D <sub>b</sub>	Decision
IC1	(0.0590)	0.9340	0.4523	Accepted
IC2	(0.0433)	0.9183	0.4483	Accepted
IC4	(0.0036)	0.8786	0.4384	Accepted
IC5	(0.0159)	0.8909	0.4415	Accepted
IC6	(0.0118)	0.8868	0.4404	Accepted
IC7	0.0157	0.8593	0.4336	Accepted
IC8	0.0157	0.8593	0.4336	Accepted
IC9	(0.0118)	0.8868	0.4404	Accepted
IC10	(0.0590)	0.9340	0.4523	Accepted
IC11	0.0212	0.8538	0.4322	Accepted
IC14	(0.0076)	0.8826	0.4394	Accepted
IC21	0.0157	0.8593	0.4336	Accepted
IC22	0.0549	0.8201	0.4238	Accepted
IC23	0.0212	0.8538	0.4322	Accepted
IC24	0.0287	0.8463	0.4303	Accepted
IC25	(0.0325)	0.9075	0.4456	Accepted
IC27	(0.0036)	0.8786	0.4384	Accepted
IC28	(0.3500)	0.8500	0.3375	Unaccepted
IC30	(0.2622)	0.7622	0.3155	Unaccepted
IC32	(0.3337)	0.8337	0.3334	Unaccepted
IC33	(0.0076)	0.8826	0.4394	Accepted
IC37	(0.4032)	0.9032	0.3508	Unaccepted
IC38	(0.3688)	0.8688	0.3422	Unaccepted
IC40	(0.3500)	0.8500	0.3375	Unaccepted
IC47	(0.0220)	0.8970	0.4430	Accepted
IC48	(0.0590)	0.9340	0.4523	Accepted
IC49	(0.0705)	0.9455	0.4551	Accepted
IC50	(0.0178)	0.8928	0.4419	Accepted
IC51	(0.0220)	0.8970	0.4430	Accepted
Threshold ( $\gamma$ )			0.4189	

	$[sz_{A1l}^1$	$SZ^{1}_{A1m}$	$sz_{A1u}^1$ ]	$[sz_{A2l}^1$	$SZ^{1}_{A2m}$	$SZ_{A2u}^1$ ]	$[sz_{A3l}^1$	$SZ^{1}_{A3m}$	$SZ^1_{A3u}$ ]	$[sz_{A4l}^1$	$SZ^1_{A4m}$	$SZ_{A4u}^1$ ]	$[sz^1_{A5l}]$	$SZ^{1}_{A5m}$	$SZ^{1}_{A5u}]$
A1	[1.000	0.714	0.429]	[0.000	0.000	0.000]	[0.286	0.000	0.286]	[0.000	0.000	0.000]	[0.400	0.400	0.200]
A2	[0.286	0.286	0.286]	[1.000	0.714	0.429]	[0.286	0.000	0.286]	[0.286	0.286	0.286]	[0.400	0.400	0.200]
A3	[0.000	0.000	0.000]	[0.000	0.000	0.000]	[1.000	0.000	0.429]	[0.286	0.286	0.286]	[0.400	0.400	0.200]
A4	[0.000	0.000	0.000]	[0.286	0.286	0.286]	[0.000	0.000	0.000]	[1.000	0.714	0.429]	[0.000	0.000	0.000]
A5	[0.286	0.286	0.286]	[0.571	0.571	0.429]	[0.286	0.000	0.286]	[0.286	0.286	0.286]	[1.000	0.600	0.200]
	$S_{ltA1}^1$	$S_{rtA1}^1$		$S^1_{ltA2}$	$S_{rtA2}^1$		$S^1_{ltA3}$	$S_{rtA3}^1$		$S_{ltA4}^1$	$S_{rtA4}^1$		$S^1_{ltA5}$	$S^1_{rtA5}$	
A1	1.000	0.600		0.000	0.000		0.000	0.222		0.000	0.000		0.400	0.250	
A2	0.286	0.286		1.000	0.600		0.000	0.222		0.286	0.286		0.400	0.250	
A3	0.000	0.000		0.000	0.000		0.000	0.300		0.286	0.286		0.400	0.250	
A4	0.000	0.000		0.286	0.286		0.000	0.000		1.000	0.600		0.000	0.000	
A5	0.286	0.286		0.571	0.500		0.000	0.222		0.286	0.286		1.000	0.333	
		$S_{A1}^1$		S	1 A2			$S^{1}_{A3}$			$S_{A4}^{1}$			$S_{A5}^{1}$	
A1	0.600			0.000			0.040			0.000			0.356		
A2	0.286			0.600			0.040			0.286			0.356		
A3	0.000			0.000			0.069			0.286			0.356		
A4	0.000			0.286			0.000			0.600			0.000		
A5	0.286			0.533			0.040			0.286			0.333		

925 Appendix 4. Aspect's directional matrix and defuzzied from Respondent 1

927 Appendix 5. TFNs matrix of Respondent 1

	C1			C2			C3			C4			C5			C6			C7			C8			 C23		
	(x	у	z)	 (x	у	z)																					
C1	1.0	1.0	1.0	0.7	0.9	1.0	0.5	0.7	0.9	0.7	0.9	1.0	0.7	0.9	1.0	0.5	0.7	0.9	0.7	0.9	1.0	0.7	0.9	1.0	 0.0	0.1	0.3
C2	0.7	0.9	1.0	1.0	1.0	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	 0.0	0.1	0.3
C3	0.7	0.9	1.0	0.5	0.7	0.9	1.0	1.0	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.5	0.7	0.9	0.7	0.9	1.0	 0.1	0.3	0.5
C4	0.7	0.9	1.0	0.5	0.7	0.9	0.3	0.5	0.7	1.0	1.0	1.0	0.5	0.7	0.9	0.3	0.5	0.7	0.7	0.9	1.0	0.5	0.7	0.9	 0.1	0.3	0.5
C5	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	1.0	1.0	1.0	0.3	0.5	0.7	0.5	0.7	0.9	0.3	0.5	0.7	 0.0	0.1	0.3
C6	0.7	0.9	1.0	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	0.5	0.7	0.9	1.0	1.0	1.0	0.3	0.5	0.7	0.3	0.5	0.7	 0.0	0.1	0.3
C7	0.7	0.9	1.0	0.7	0.9	1.0	0.5	0.7	0.9	0.7	0.9	1.0	0.5	0.7	0.9	0.5	0.7	0.9	1.0	1.0	1.0	0.5	0.7	0.9	 0.3	0.5	0.7
C8	0.7	0.9	1.0	0.7	0.9	1.0	0.7	0.9	1.0	0.7	0.9	1.0	0.7	0.9	1.0	0.5	0.7	0.9	0.7	0.9	1.0	1.0	1.0	1.0	 0.3	0.5	0.7
C9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	 0.1	0.3	0.5
C10	0.7	0.9	1.0	0.7	0.9	1.0	0.7	0.9	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	 0.3	0.5	0.7
C11	0.7	0.9	1.0	0.7	0.9	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.7	0.9	1.0	0.7	0.9	1.0	 0.1	0.3	0.5
C12	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	0.1	0.3	0.5	0.5	0.7	0.9	0.3	0.5	0.7	 0.1	0.3	0.5
C13	0.7	0.9	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	0.7	0.9	1.0	0.5	0.7	0.9	 0.5	0.7	0.9
C14	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	0.1	0.3	0.5	0.1	0.3	0.5	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	 0.0	0.1	0.3
C15	0.5	0.7	0.9	0.3	0.5	0.7	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	 0.1	0.3	0.5
C16	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	0.7	0.9	1.0	0.7	0.9	1.0	 0.0	0.1	0.3
C17	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	0.3	0.5	0.7	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	 0.0	0.1	0.3
C18	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.0	0.1	0.3	0.0	0.1	0.3	 0.0	0.1	0.3
C19	0.3	0.5	0.7	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.5	0.7	0.9	0.5	0.7	0.9	0.3	0.5	0.7	0.3	0.5	0.7	 0.1	0.3	0.5
C20	0.7	0.9	1.0	0.5	0.7	0.9	0.7	0.9	1.0	0.7	0.9	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.7	0.9	1.0	0.7	0.9	1.0	 0.3	0.5	0.7
C21	0.7	0.9	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.7	0.9	1.0	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	0.5	0.7	0.9	 0.0	0.1	0.3
C22	0.7	0.9	1.0	0.5	0.7	0.9	0.7	0.9	1.0	0.7	0.9	1.0	0.7	0.9	1.0	0.7	0.9	1.0	0.7	0.9	1.0	0.5	0.7	0.9	 0.1	0.3	0.5
C23	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.1	0.3	0.5	0.0	0.1	0.3	 1.0	1.0	1.0

929 Appendix 6. Crisp Value ( $\theta$ ) of Respondent 1

	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11	C12	C13	C14	C15	C16	C17	C18	C19	C20	C21	C22	C23
C1	0.71	0.64	0.44	0.64	0.64	0.44	0.76	0.76	0.38	0.58	0.76	0.58	0.44	0.00	0.64	0.19	0.58	0.00	0.58	0.76	0.76	0.76	0.00
C2	0.64	0.71	0.44	0.44	0.44	0.44	0.58	0.58	0.38	0.58	0.76	0.58	0.22	0.19	0.22	0.38	0.38	0.00	0.58	0.76	0.76	0.76	0.00
C3	0.64	0.44	0.71	0.44	0.44	0.22	0.58	0.76	0.38	0.38	0.58	0.58	0.22	0.38	0.44	0.38	0.38	0.00	0.58	0.58	0.58	0.58	0.19
C4	0.64	0.44	0.22	0.71	0.44	0.22	0.76	0.58	0.38	0.58	0.76	0.58	0.22	0.19	0.22	0.38	0.38	0.00	0.58	0.58	0.38	0.58	0.19
C5	0.44	0.44	0.44	0.44	0.71	0.22	0.58	0.38	0.19	0.38	0.38	0.38	0.22	0.38	0.22	0.58	0.38	0.00	0.38	0.38	0.38	0.38	0.00
C6	0.64	0.44	0.22	0.22	0.44	0.71	0.38	0.38	0.19	0.38	0.19	0.19	0.00	0.38	0.44	0.38	0.19	0.00	0.19	0.38	0.38	0.19	0.00
C7	0.64	0.64	0.44	0.64	0.44	0.44	0.88	0.58	0.38	0.58	0.58	0.58	0.44	0.38	0.00	0.58	0.38	0.00	0.38	0.38	0.58	0.58	0.38
C8	0.64	0.64	0.64	0.64	0.64	0.44	0.76	0.88	0.38	0.76	0.58	0.76	0.44	0.38	0.44	0.58	0.38	0.19	0.38	0.38	0.58	0.58	0.38
C9	0.44	0.44	0.44	0.44	0.22	0.22	0.38	0.38	0.88	0.38	0.38	0.38	0.22	0.19	0.22	0.19	0.19	0.00	0.19	0.19	0.38	0.38	0.19
C10	0.64	0.64	0.64	0.44	0.44	0.44	0.58	0.58	0.38	0.88	0.58	0.38	0.44	0.38	0.00	0.38	0.38	0.38	0.38	0.38	0.58	0.58	0.38
C11	0.64	0.64	0.44	0.44	0.44	0.22	0.76	0.76	0.38	0.58	0.88	0.58	0.22	0.00	0.22	0.38	0.38	0.00	0.38	0.58	0.76	0.76	0.19
C12	0.44	0.44	0.22	0.22	0.22	0.00	0.58	0.38	0.38	0.38	0.38	0.88	0.22	0.00	0.22	0.19	0.19	0.00	0.19	0.38	0.58	0.58	0.19
C13	0.64	0.44	0.44	0.44	0.22	0.22	0.76	0.58	0.38	0.38	0.58	0.58	0.71	0.58	0.44	0.38	0.38	0.00	0.38	0.58	0.76	0.76	0.58
C14	0.44	0.22	0.22	0.00	0.00	0.44	0.38	0.38	0.19	0.38	0.19	0.38	0.22	0.88	0.22	0.38	0.19	0.00	0.19	0.19	0.38	0.38	0.00
C15	0.44	0.22	0.00	0.00	0.00	0.22	0.38	0.38	0.38	0.38	0.38	0.38	0.22	0.38	0.22	0.58	0.19	0.00	0.19	0.38	0.38	0.58	0.19
C16	0.22	0.22	0.22	0.22	0.22	0.22	0.76	0.76	0.38	0.38	0.58	0.38	0.44	0.38	0.22	0.88	0.38	0.00	0.19	0.38	0.38	0.38	0.00
C17	0.44	0.44	0.22	0.22	0.22	0.44	0.38	0.38	0.19	0.58	0.58	0.38	0.22	0.38	0.22	0.38	0.88	0.00	0.19	0.38	0.38	0.19	0.00
C18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.44	0.00	0.00	0.88	0.00	0.00	0.00	0.00	0.00
C19	0.22	0.44	0.44	0.22	0.44	0.44	0.38	0.38	0.19	0.58	0.38	0.38	0.44	0.38	0.00	0.38	0.38	0.00	0.88	0.38	0.58	0.38	0.19
C20	0.64	0.44	0.64	0.64	0.44	0.44	0.76	0.76	0.38	0.58	0.38	0.58	0.44	0.19	0.44	0.58	0.38	0.19	0.38	0.88	0.58	0.58	0.38
C21	0.64	0.44	0.44	0.64	0.44	0.44	0.58	0.58	0.19	0.58	0.38	0.38	0.44	0.38	0.22	0.58	0.38	0.19	0.38	0.58	0.88	0.38	0.00
C22	0.64	0.44	0.64	0.64	0.64	0.64	0.76	0.58	0.38	0.76	0.58	0.58	0.64	0.58	0.22	0.58	0.38	0.00	0.58	0.76	0.76	0.88	0.19
C23	0.00	0.00	0.00	0.00	0.00	0.00	0.19	0.00	0.00	0.00	0.00	0.19	0.22	0.00	0.22	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.88